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Making Sense of SRTR Risk Adjustment Models

Jon Snyder, PhD Andrew Wey, PhD Nicholas Salkowski, PhD



















Kidney Program A Survival: 95% Average Recipient Age: 40



Kidney Program B Survival: 85% Average Recipient Age: 55









Kidney Program A Survival: 95% Average Recipient Age: 40

Kidney Program B Survival: 85% Average Recipient Age: 55





Kidney Program A Survival: 95% Average Recipient Age: 40 Average KDPI: 25%



Kidney Program B Survival: 85%

Average Recipient Age: 55 Average KDPI: 75%







Kidney Program A Survival: 95% Average Recipient Age: 40 Average KDPI: 25%

Kidney Program B Survival: 85%

Average Recipient Age: 55 Average KDPI: 75%



SRTR Risk Adjustment Model Family Tree





SRTR Risk Adjustment Model Family Tree





SRTR Risk Adjustment Model Family Tree





The Process for Building Models



Snyder JJ, Salkowski N, Kim SJ, Zaun D, Xiong H, Israni AK, Kasiske BL. Developing statistical models to assess transplant outcomes using national registries: The process in the United States Transplantation. 2016;100:288-294.



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Posttransplant Risk-Adjustment Models

Nicholas Salkowski, PhD

Risk Adjustment Models Available Under "Reports and Tools"



SCIENTIFIC REGISTRY OF TRANSPLANT RECIPIENTS



Search by Postal Code or Program Name (optional)

SEARCH





SRTR Risk Adjustment Model Documentation: Posttransplant Outcomes

Choose a PSR Release Date:

January 2018 🗸			
Heart, Kidney, Liver, and Lung Kidney-Pancreas and Pancreas			
Choose a transplant type:	Model Elements Mode	l Coefficients Model Element Plots Baseline Cumulative Hazard Other El	lements Additional info
 Heart Kidney Liver Lung 	these elements were fou improve the model can b Note: the list of predictors may	R refits the models for graft and patient survival. Many potential predic nd to produce the best predictive model. Other potential predictors the be found on the "Other Elements Considered" tab. include indicators for multiorgan transplant types. The SRTR is building new models so the loutcomes, although they are not currently included in the data presented in the program	at were not found to nat multiorgan transplants can be
Choose an outcome: • Graft Survival • Patient Survival	Show 50 📀 entries	Element	Search:
Choose an age group: Adult (18+) 	Candidate Candidate	Candidate Diabetes Type Candidate Highest Education	
O Pediatric (<18)	Candidate	Candidate Race	
Choose a donor type:	Donor	Donor Age (yr)	
Deceased Donor	Donor	Donor BMI	



The Model Elements Table:

Model Elements

Model Coefficients

Model Element Plots

Baseline Cumulative Hazard

Other Elements Additional info

Every PSR cycle, the SRTR refits the models for graft and patient survival. Many potential predictors were considered, and these elements were found to produce the best predictive model. Other potential predictors that were not found to improve the model can be found on the "Other Elements Considered" tab.

Note: the list of predictors may include indicators for multiorgan transplant types. The SRTR is building new models so that multiorgan transplants can be included in future risk-adjusted outcomes, although they are not currently included in the data presented in the program-specific reports.

Contains a list of all factors currently included in the risk adjustment model.

Show 50 ᅌ entries		Search:	
Element Type	Element		¢
Candidate	Candidate Diabetes Type		
Candidate	Candidate Highest Education		
Candidate	Candidate Race		
Donor	Donor Age (yr)		
Donor	Donor BMI		
Donor	Donor BUN		
Donor	Donor Cause of Death		



The Model Coefficients Table:

Model Elements

Model Coefficients

Model Element Plots

Baseline Cumulative Hazard

Other Elements Additional info

This table shows the coefficients for each level of the risk adjusters included in the model. These coefficients are from a Cox proportional hazards model. To better understand the relationship between each element and modeled risk, click on the 'Model Element Plots' tab. To download a .CSV file of the model, click the button above.

Note: the list of predictors may include indicators for multiorgan transplant types. The SRTR is building new models so that multiorgan transplants can be included in future risk-adjusted outcomes, although they are not currently included in the data presented in the program-specific reports.

Coefficients:

📥 Download .CSV File

Show 50 😌 entries		Search:	Search:	
Element	🔶 Level	Coefficient	4	
Candidate Diabetes Type	None	0.000000		
Candidate Diabetes Type	Type I	0.000000		
Candidate Diabetes Type	Type II	0.055544		
Candidate Diabetes Type	Type Other/Unknown	0.000000		
Candidate Diabetes Type	Missing	0.000000		
Candidate Highest Education	Grade School/None	0.233273		
Candidate Highest Education	High School	0.000000		

Contains the actual statistical model along with a downloadable CSV file if you would like to work with the model directly.



The Model Element Plots:



Allows you to visualize the relationship between the element and predicted risk of graft failure or death.



The Baseline Cumulative Hazard:

Model Elements Model Coefficients Model Element Plots **Baseline Cumulative Hazard** Other Elements Additional info Baseline Hazard: Lownload .CSV File **Baseline Cumulative Hazard** 0.1 0.08 Hazard 0.06 Cumulative 0.04 0.02 0 50 100 150 250 300 350 Time (Days) Posttransplant

Needed by a statistician if working with the actual model. The function is provided as a downloadable CSV file.



The Other Elements Tab:

Model Elements

Model Coefficients Model Element Plots

ots Baseline Cumulative Hazard

Other Elements

Additional info

Many potential predictors of graft survival were considered, and the elements that were found to produce the best predictive model can be found on the "Model Elements" tab. The predictors listed here were not found to improve the model, but may be included in future models.

Show 50 ᅌ entries		Search:
Element Type	Excluded Element	\$
Candidate	Candidate history of portal vein thrombosis	
Candidate	Candidate last SRTR MELD/PELD given	
Donor	Donor blood type	
Donor	Donor clinical lung infection	
Donor	Donor ethnicity	
Donor	Donor history of cancer	
Donor	Donor log(INR)	
Donor	Donor other infection	

Provides a listing of other elements considered during model development but not found to add predictive value.



Additional Info tab:

Model Elements

Model Coefficients Model Element Plots

nt Plots Baseli

Baseline Cumulative Hazard

Other Elements Additional info

Additional Model Information

This document contains additional information that you may find useful in understanding how the SRTR calculates certain variables used in the models.

Body Mass Index (BMI)

SRTR calculates recipient and donor body mass index (BMI) using height (cm) and weight (kg) as follows:

 $BMI = \frac{weigt(kg)}{height(m)^2}$

Race and Hispanic/Latino Ethnicity

SRTR considers racial groups separately from Hispanic Ethnicity. Racial groupings are collected within the UNetSM system include the following:

- American Indian or Alaska Native
- Asian



Provides additional information about the model.

How well to the models account for measured risk?



Snyder, et al. Effects of High-Risk Kidneys on Scientific Registry of Transplant Recipients Program Quality Reports. Am J Transplant 2016;16:2646-53.



Risk Adjustment

The SRTR uses risk-adjusted models when:

- There are enough events to build a model
- There are available factors that predict the outcome

So, some models aren't risk-adjusted. For example:

- Pediatric Kidney Deceased-Donor 1-Year Graft Survival isn't adjusted because no predictors were identified
- Pediatric Kidney Deceased-Donor 1-Year Survival Patient Survival isn't adjusted because there are few events



Multiple Adjustments

Usually, risk adjusted models include more than one predictor, which can make interpretation complicated.

We often get questions like "Everybody knows that patients in group X have worse outcomes, but the adjustment for X says that X is protective. What's wrong with the model?"

Of course, the models adjust for may things in addition to X, and a protective effect for X doesn't mean that patients in group X do better, only that they do better than expected based on all the other factors in the model.



Combining Models

For Kidney and Liver programs, the expected number of events is calculated separately for each donor type (deceased or living), then the sum of the expected events is used for the overall evaluation.



Adult and Pediatric Recipients

The SRTR has implemented a new definitions for adult and pediatric recipients:

- An *adult recipient* is a transplant recipient who was 18 or older when *listed* at the transplant program which performed the transplant
- A *pediatric recipient* is a transplant recipient who was 17 or younger when *listed* at the transplant program which performed the transplant

The old definitions were based on the age at transplant, rather than the age at listing. Switching to the age at listing allows the SRTR to use similar definitions for posttransplant and pretransplant metrics, and also helps patients identify programs who only list pediatric candidates.



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Pretransplant Risk-Adjustment Models

Andrew Wey, PhD Sally Gustafson, MS

What pretransplant models are published by SRTR?

SRTR currently publishes pretransplant models for:

- Waitlist mortality rates
- Overall and deceased donor transplant rates
- Offer acceptance practices

We will cover frequently asked questions about (1) the concepts measured by the models and (2) the data used in the models.



Intuitively, programs with high transplant rates are more likely to transplant their candidates before they die on the waiting list.

However, the waitlist mortality rate does not measure the probability of dying on the waiting list. Instead, it is measuring the probability of waitlist mortality on a single day given a candidate was alive at the beginning of the day.

There is no inherent or mathematical reason for a program with a high transplant rate to have a low waitlist mortality rate.

Wey et al., "Program-specific transplant rate ratios: Association with allocation priority at listing and posttransplant outcomes," *American Journal of Transplantation*, 2018.





Transplant Rate Ratio

Transplant Rate Ratio







Risk-adjusted transplant and waitlist mortality rate ratios are <u>not</u> associated with each other. Therefore, they measure different processes of transplant program care, and it possible to have good outcomes for both.



Q2: Can I improve my transplant rate ratio by listing candidates with high allocation priority?

There is a common perception that programs that list candidates with higher allocation priority will have better transplant rate ratios. In general, this is not true because the models adjust for components of allocation priority.



Q2: Can I improve my transplant rate ratio by listing candidates with high allocation priority?





Q2: Can I improve my transplant rate ratio by listing candidates with high allocation priority?





Q3: Why are there different data sources for the waiting list models?

SRTR Waiting List Risk Adjustment Models

Organ	Model Elements Model Coefficients Model Element Plots Baseline Hazard Ad	ditional Info	
Kidney			
◎ Liver	Model Elements Table		
Heart	This table lists the elements included in the risk adjustment model and each element's data source. For additional information on the data		
Lung Lung	sources, click the Additional Info tab.		
Pancreas	Show 25 - entries	Search:	
Simultaneous Kidney-Pancreas			
◎ Intestine	Element	♦ Source ♦	
Simultaneous Heart-Lung	Candidate age at listing	TCR	
Outcome	Candidate blood type	TCR	
Iransplant Rate			
Waitlist Mortality	Accept a hepatitis B core antibody positive donor?	TCR	
Choose an age group:	Accept a HCV antibody positive donor?	TCR	
Pediatric: <12 Pediatric: 12-<18	Accept a HTLV I/II positive donor?	TCR	
 Adult (18+) 	Candidate BMI	Calculated	
	Candidate cardiac surgery	TCR	
	Candidate diabetes type	TCR/Status History	


SRTR Waiting List Risk Adjustment Models

Organ	Model Elements	Model Coefficients	Model Element Plots	Baseline Hazard	Additional Info	
 Kidney Liver Heart Lung 	Model Eleme This table lists the el sources, click the Ad	ements included in the ris	sk adjustment model and	l each element's data so	ource. For additional information on	the data
Pancreas	Show 25 🔹 entri	25			Search:	
 Simultaneous Kidney-Pancreas Intestine 	Element				♦ Source	\$
 Simultaneous Heart-Lung 	Candidate age at li	sting			TCR	
Outcome	Candidate blood ty				TCR	
 Transplant Rate Waitlist Mortality 	Accept a hepatitis	3 core antibody positive d	onor?		TCR	
Choose an age group:	Accept a HCV antib	ody positive donor?			TCR	
 Pediatric: <12 Pediatric: 12-<18 	Accept a HTLV I/II p	ositive donor?	TCR			
 Adult (18+) 	Candidate BMI		Calculated			
	Candidate cardiac	surgery			TCR	
	Candidate diabetes	type			TCR/Status History	

SRTR Waiting List Risk Adjustment Models

Organ	Model Elements	Model Coefficients Model Eleme	ent Plots Baseline Hazard	Additional Info	
Kidney					
© Liver	Model Eleme	ents Table			
Heart	This table lists the el	ements included in the risk adjustment r	model and each element's data	source. For additional information or	n the data
• Lung	sources, click the Ade	ditional Info tab.			
Pancreas	Show 25 - entrie	25		Search:	
Simultaneous Kidney-Pancreas					
Intestine	Element			Source	\$
Simultaneous Heart-Lung	Candidate age at lis	sting		TCR	
Outcome	Candidate blood ty	pe		TCR	
Transplant Rate		F -			
Waitlist Mortality	Accept a hepatitis E	core antibody positive donor?		TCR	
Choose an age group:	Accept a HCV antib	ody positive donor?		TCR	
Pediatric: <12	Accept a HTLV I/II p	ositive donor?		TCR	
Pediatric: 12-<18Adult (18+)	Candidate BMI		Calculated		
	Candidate cardiac	surgery		TCR	
	Candidate diabetes	type		TCR/Status History	



SRTR Waiting List Risk Adjustment Models

Organ	Model Elements Model Coefficients Model Element Plots Baseline Hazard Additional Info						
© Kidney							
© Liver	Additional Model Information						
Heart	Multi-organ Candidates						
• Lung	The status of waiting for a non-lung transplant is determined by being listed on a non-lung waiting list within 30 days of listing on the lung						
Pancreas	waiting list. Similarly, the status of having undergone non-lung transplant is determined up to 30 days after placement on the lung waiting list.						
Simultaneous Kidney-Pancreas	Waiting for a heart includes heart and heart-lung listings. Waiting for a kidney-pancreas includes kidney-pancreas and pancreas listings. Waiting						
Intestine	for a liver includes liver and intestine listings.						
Simultaneous Heart-Lung	The variable for having undergone heart transplant includes heart and heart-lung transplants. The variable for having undergone kidney-						
Outcome	pancreas transplant includes kidney-pancreas and pancreas transplants. The variable for having undergone liver transplant includes liver and						
Transplant Rate	intestine transplants.						
Waitlist Mortality	LAS (Lung Allocation Score)						
Choose an age group:	For candidates listed before implementation of the LAS (May 4, 2005), the earliest non-missing LAS after listing is used. For candidates listed after implementation of the LAS, the LAS within 1 day of listing is used.						
 Pediatric: <12 Pediatric: 12-<18 A L I: (10.1) 	For the components of the LAS, the first value recorded on or after the LAS date is used. If the LAS value is missing, then the TCR value is used. These variables are identified by TCR/Status History in the Source column of the Model Elements table.						
Adult (18+)	Data Sources						
	The Source column in the Model Elements table identifies, if it exists, the location of the variable in the OPTN database. "TCR" corresponds to the Transplant Candidate Registration form. "Status History" variables are typically used in allocation and values may change over time. "TCR/Status History" variables are derived from either the Transplant Candidate Registration or Status History; the algorithm for selecting the source is described in the above LAS section. "Calculated" variables are derived from variables in the TCR or Status History.						



SRTR Waiting List Risk Adjustment Models

© Kidney
Liver Additional Model Information

Data Sources

The Source column in the Model Elements table identifies, if it exists, the location of the variable in the OPTN database. "TCR" corresponds to the Transplant Candidate Registration form. "Status History" variables are typically used in allocation and values may change over time. "TCR/Status History" variables are derived from either the Transplant Candidate Registration or Status History; the algorithm for selecting the source is described in the above LAS section. "Calculated" variables are derived from variables in the TCR or Status History.

 Pediatric: 12≺18 Adult (18+) 	For the components of the LAS, the first value recorded on or after the LAS date is used. If the LAS value is missing, then the TCR value is used. These variables are identified by TCR/Status History in the Source column of the Model Elements table. Data Sources
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SRTR Waiting List Risk Adjustment Models

	Organ ◎ Kidney ◎ Liver	Model Elements Model Coefficients Model Element Plots Baseline Hazard Additional Info Additional Model Information Additional Model Information Additional Info Additional Info
Data Sources		
the Transplant Car "TCR/Status Histor	ndidate Registration form. "Status I ry" variables are derived from eithe	tifies, if it exists, the location of the variable in the OPTN database. "TCR" corresponds to History" variables are typically used in allocation and values may change over time. er the Transplant Candidate Registration or Status History; the algorithm for selecting the ated" variables are derived from variables in the TCR or Status History.
	◎ Pediatric: 12-<18	For the components of the LAS, the first value recorded on or after the LAS date is used. If the LAS value is missing, then the TCR value is used.

Adult (18+)

These variables are identified by TCR/Status History in the Source column of the Model Elements table.

Data Sources

The Source column in the Model Elements table identifies, if it exists, the location of the variable in the OPTN database. "TCR" corresponds to the Transplant Candidate Registration form. "Status History" variables are typically used in allocation and values may change over time. "TCR/Status History" variables are derived from either the Transplant Candidate Registration or Status History; the algorithm for selecting the source is described in the above LAS section. "Calculated" variables are derived from variables in the TCR or Status History.



SRTR Waiting List Risk Adjustment Models

	Organ	Model Elements	Model Coefficients	Model Element Plots	Baseline Hazard	Additional Info	
	Kidney						
	◎ Liver	Additional M	odel Informati	on			
_	C Heart						

Data Sources

The Source column in the Model Elements table identifies, if it exists, the location of the variable in the OPTN database. "TCR" corresponds to the Transplant Candidate Registration form. "Status History" variables are typically used in allocation and values may change over time.

"TCR/Status History" variables are derived from either the Transplant Candidate Registration or Status History; the algorithm for selecting the source is described in the above LAS section. "Calculated" variables are derived from variables in the TCR or Status History.

Pediatric: 12-<18
 Adult (18+)
 For the components of the LAS, the first value recorded on or after the LAS date is used. If the LAS value is missing, then the TCR value is used. These variables are identified by TCR/Status History in the Source column of the Model Elements table.
 Data Sources
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SRTR Waiting List Risk Adjustment Models

Organ	Model Elements	Model Coefficients	Model Element Plots	Baseline Hazard	Additional Info	
© Kidney						
© Liver	Additional Mo	odel Informatio	on			
Ø Heart	Multi-organ Candi	dates				
Lung	The status of waiting f	or a non-lung transplant	is determined by being lis	ted on a non-lung wa	iting list within 30 d	avs of listing on the lung
Pancreas	-			-	-	ment on the lung waiting list.
Simultaneous Kidney-Pancreas	Waiting for a heart inc	ludes heart and heart-lu	ng listings. Waiting for a ki	dnev-pancreas includ	es kidnev-pancreas	and pancreas listings. Waiting
Intestine		r and intestine listings.	ng abangoi Marang lor a la	ancy panercas metaa	containey partereas	and paneleas astings maring
Simultaneous Heart-Lung	The variable for having	g undergone heart transi	plant includes heart and h	eart-lung transplants.	The variable for ha	ving undergone kidnev-
Outcome						transplant includes liver and
Transplant Rate	intestine transplants.					
© Waitlist Mortality	LAS (Lung Allocati	on Score)				
Choose an age group:			f the LAS (May 4, 2005), the n 1 day of listing is used.	e earliest non-missing	LAS after listing is u	used. For candidates listed
Pediatric: <12		·	, ,			
◎ Pediatric: 12-<18		· · · · · · · · · · · · · · · · · · ·	recorded on or after the LA istory in the Source colum		0	, then the TCR value is used.
Adult (18+)		intened by renystatus fr	istory in the source column	nor the model Elemen		
	Data Sources					
	the Transplant Candid "TCR/Status History" v	ate Registration form. "S variables are derived fror	Status History" variables a	re typically used in all ndidate Registration o	ocation and values or Status History; th	e algorithm for selecting the



SRTR Waiting List Risk Adjustment Models

Organ	Model Elements Model Coefficients Model Element Plots Baseline Hazard Additional Info
Kidney	
© Liver	Additional Model Information
Heart	Multi-organ Candidates

LAS (Lung Allocation Score)

For candidates listed before implementation of the LAS (May 4, 2005), the earliest non-missing LAS after listing is used. For candidates listed after implementation of the LAS, the LAS within 1 day of listing is used.

For the components of the LAS, the first value recorded on or after the LAS date is used. If the LAS value is missing, then the TCR value is used. These variables are identified by TCR/Status History in the Source column of the Model Elements table.

 Pediatric: 12-<18 Adult (18+) 	For the components of the LAS, the first value recorded on or after the LAS date is used. If the LAS value is missing, then the TCR value is used. These variables are identified by TCR/Status History in the Source column of the Model Elements table. Data Sources
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SRTR Waiting List Risk Adjustment Models

Organ Kidney O Liver Heart Lung Pancreas Simultaneous Kid Intestine Simultaneous He Outcome Transplant Rate Waitlist Mortality Choose an age grou Pediatric: <12</p> Pediatric: 12-<18</p> Adult (18+)

	Model Elements Model Coefficients Model Element Plots Baseline Hazard Additional Info								
	Additional Model Information								
(Multi-organ Candidates								
	The status of waiting for a non-lung transplant is determined by being listed on a non-lung waiting list within 30 days of listing on the lung waiting list. Similarly, the status of having undergone non-lung transplant is determined up to 30 days after placement on the lung waiting list.								
Iney-Pancreas	Waiting for a heart includes heart and heart-lung listings. Waiting for a kidney-pancreas includes kidney-pancreas and pancreas listings. Waiting for a liver includes liver and intestine listings.								
art-Lung	The variable for having undergone heart transplant includes heart and heart-lung transplants. The variable for having undergone kidney- pancreas transplant includes kidney-pancreas and pancreas transplants. The variable for having undergone liver transplant includes liver and intestine transplants.								
	LAS (Lung Allocation Score)								
p:	For candidates listed before implementation of the LAS (May 4, 2005), the earliest non-missing LAS after listing is used. For candidates listed after implementation of the LAS, the LAS within 1 day of listing is used.								
	For the components of the LAS, the first value recorded on or after the LAS date is used. If the LAS value is missing, then the TCR value is used. These variables are identified by TCR/Status History in the Source column of the Model Elements table.								
	Data Sources								
	The Source column in the Model Elements table identifies, if it exists, the location of the variable in the OPTN database. "TCR" corresponds to the Transplant Candidate Registration form. "Status History" variables are typically used in allocation and values may change over time. "TCR/Status History" variables are derived from either the Transplant Candidate Registration or Status History; the algorithm for selecting the source is described in the above LAS section. "Calculated" variables are derived from variables in the TCR or Status History.								



SRTR Waiting List Risk Adjustment Models

Multi-organ Candidates

The status of waiting for a non-lung transplant is determined by being listed on a non-lung waiting list within 30 days of listing on the lung waiting list. Similarly, the status of having undergone non-lung transplant is determined up to 30 days after placement on the lung waiting list.

Model Coefficients

Model Element Plots

Baseline Hazard

Additional Info

Waiting for a heart includes heart and heart-lung listings. Waiting for a kidney-pancreas includes kidney-pancreas and pancreas listings. Waiting for a liver includes liver and intestine listings.

The variable for having undergone heart transplant includes heart and heart-lung transplants. The variable for having undergone kidneypancreas transplant includes kidney-pancreas and pancreas transplants. The variable for having undergone liver transplant includes liver and intestine transplants.

Data Sources

Model Elements

The Source column in the Model Elements table identifies, if it exists, the location of the variable in the OPTN database. "TCR" corresponds to the Transplant Candidate Registration form. "Status History" variables are typically used in allocation and values may change over time. "TCR/Status History" variables are derived from either the Transplant Candidate Registration or Status History; the algorithm for selecting the source is described in the above LAS section. "Calculated" variables are derived from variables in the TCR or Status History.



SRTR Waiting List Risk Adjustment Models

Organ	Model El	ments	Model Coefficients	Model Element Plots	Baseline Hazard	Additional Info
 Kidney Liver Heart Lung Pancreas Simultaneous Kidney-Pancreas Intestine 	Select a cov	ariate fro ovariate	e to Plot	relationship between the o	covariate and the trans	plant rate.
Simultaneous Heart-Lung Outcome Transplant Rate	On kidne	waiting	191	•		
Iranspant Kate Waitlist Mortality Choose an age group:						
 Pediatric: <12 Pediatric: 12 <18 Adult (18+) 	d Ratio					• On kidney waiting list
	Hazard					On ködny walting sitt Hazard Ratie = 0.346549 On ködney walting list= Yes
			N		ey waiting list	Yes



SRTR Waiting List Risk Adjustment Models





SRTR Waiting List Risk Adjustment Models

Organ Sidney O Liver Heart Clung Pancreas Simultaneous Kidney-Pancreas Intestine Simultaneous Heart-Lung Outcome Transplant Rate Deceased Donor Transplant Rate Waitlist Mortality Choose an age group: Pediatric (<18)</p> Adult (18+)

Model Elements Model Coefficients

Model Element Plots Ba

Baseline Hazard Additional Info

Model Elements Table

This table lists the elements included in the risk adjustment model and each element's data source. For additional information on the data sources, click the Additional Info tab.

Show 25 💌 entries	Search:
Element	Source
Candidate age at listing	TCR
Candidate blood type	TCR
Candidate BMI	Calculated
Candidate diabetes type	TCR
Candidate education	TCR
Candidate ethnicity	TCR
Candidate sex	TCR



SRTR Waiting List Risk Adjustment Models

	Organ
	 Kidney
	© Liver
	Heart
	© Lung
ſ	Pancreas
	Simultaneous Kidney-Pancreas
	Intestine
	Simultaneous Heart-Lung
	Outcome
	Transplant Rate
	Waitlist Mortality

Transplant Rate Model

🚣 Download





SCIENTIFIC Risk Model Documentation

Release Date: January 05, 2018 (Spring 2017 PSR Cycle)RECIPIENTSBased on Data Available: October 31, 2017

SRTR Program–Specific Report Feedback?: SRTR@SRTR.org 1.877.970.SRTR (7787) http://www.srtr.org

Pancreas Waitlist Transplant Rates, Deceased Donor Only (07/01/16-06/30/17)

Characteristic	Level	Estimate	Std. Err.	P-Value
Age	17 and less	0.4542	0.7324	0.5352
-	35-49	0.0175	0.2148	0.9349
	50-64	-0.0072	0.2415	0.9764
	65+	0.3313	0.5323	0.5337
	18-34	0.0000	(Ref.)	(Ref.)
Blood Type	А	0.2267	0.1728	0.1896
	AB	0.3121	0.3971	0.4318
	В	0.0838	0.2785	0.7635
	0	0.0000	(Ref.)	(Ref.)
Previous Tx	Yes	-0.3566	0.1636	0.0292
Time From Listing To Start	> Median	-1.5272	0.1952	<0.0001



SRTR updated the waiting list models for kidney, liver, lung, and heart in the January 2018 PSR cycle.

The pancreas, simultaneous kidney-pancreas, and intestine models are currently being updated. We anticipate previewing the models with the January 2019 release and integration of the models in the July 2019 release.



SRTR Risk Adjustment Model Documentation: Offer Acceptance Models

CDC high risk

Organ	Model Element Plots Model Fitting Process Additional Info C Statistic			
Kidney				
© Liver	Model Element Plots			
Ø Heart	Here you can select a covariate from the model to see the relationship between the covariate and the likelihood of accepting a given offer.			
© Lung	Importantly, the offer acceptance model is stratified by candidate age (pediatric/adult) and donor quality for adult candidates. This means			
Kidney Model Strata	that the figures depend on the selected strata. Additionally, the estimated effects for the offer acceptance model are accessible by clicking on the download button below.			
Adult: 1.05 < KDRI < 1.75 -	s Download .CSV File			
	as Download .CSY File			
	Select a Covariate to Plot			

•





SRTR Risk Adjustment Model Documentation: Offer Acceptance Models

CDC high risk

Organ	Model Element Plots	Model Fitting Process	Additional Info	C Statistic		
ø Kidney						
© Liver	Model Element	Model Element Plots				
Heart	Here you can select a cov	ariate from the model to se	e the relationship bet	tween the covariate and the likelihood of accepting a given offer.		
© Lung	Importantly, the offer acceptance model is stratified by candidate age (pediatric/adult) and donor quality for adult candidates. This means					
Kidney Model Strata	that the figures depend on the selected strata. Additionally, the estimated effects for the offer acceptance model are accessible by clicking o the download button below.					
Adult: 1.05 < KDRI < 1.75	Lownload .CSV File					
	Select a Covariate to	Plot				

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SRTR Risk Adjustment Model Documentation: Offer Acceptance Models

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Organ	Model Element Plots Model Fitting Process Additional Info C Statistic					
Kidney Kid	Match Run Data					
© Liver	The kidney offer acceptance model was estimated with match run data for donors recovered between July 1, 2016 and June 30, 2017. Due to					
Heart	uncertainty regarding candidates who actually received offers, bypassed offers and offers after the last acceptance are removed. Additionally,					
© Lung	match runs without an acceptance are not included in the final data set. Duplicated offers from the same donor are removed, and only the					
Kidney Model Strata	first offer to a candidate is kept.					
Adult: 1.05 < KDRI < 1.75	Multi-organ Transplant Candidates					
	Offers to multi-organ transplant candidates are usually not evaluated. Kidney-pancreas candidates are the only exception because they must request kidney-alone offers due to the separate allocation policy for kidney-pancreas candidates.					
	Alignment of Offers and Transplanted Kidneys					
	Accepted kidneys are not always transplanted into the corresponding candidates. This can occur for candidate and/or donor reasons, e.g., the kidney was lower quality than expected or the candidate was unavailable. These are considered declined offers.					
	Kidneys can also be transplanted into candidates who did not appear on the match run. This typically represents 'local backup'; i.e., the intended recipient was unable to undergo transplant and the transplant was performed in a different candidate to avoid discard. In these situations, if the eventual recipient was not a multi-organ transplant candidate and was ABO compatible per kidney allocation policy, then this recipient was appended to the match run with the offer number equal to the previous maximum offer number plus one. For kidneys with no previous offers, the offer number was set to one.					
	Calculated Panel Reactive Antibodies (cPRA)					
	Candidate cPRA is determined from the candidate status history file. If the candidate was listed prior to the cohort, then the cPRA at the beginning of the cohort is used. Otherwise, the first cPRA after listing is used.					
	Calculation of Body Surface Area (BSA)					
	Body surface area is defined as the square root of weight in kilograms times height in centimeters divided by 3600.					
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SRTR Risk Adjustment Model Documentation: Offer Acceptance Models

Organ	Model Element Plots	Model Fitting Process	Additional Info	C Statistic
Kidney	Match Run Data			

Match Run Data

The kidney offer acceptance model was estimated with match run data for donors recovered between July 1, 2016 and June 30, 2017. Due to uncertainty regarding candidates who actually received offers, bypassed offers and offers after the last acceptance are removed. Additionally, match runs without an acceptance are not included in the final data set. Duplicated offers from the same donor are removed, and only the first offer to a candidate is kept.

kidney was lower quality than expected or the candidate was unavailable. These are considered declined offers.

Kidneys can also be transplanted into candidates who did not appear on the match run. This typically represents 'local backup'; i.e., the intended recipient was unable to undergo transplant and the transplant was performed in a different candidate to avoid discard. In these situations, if the eventual recipient was not a multi-organ transplant candidate and was ABO compatible per kidney allocation policy, then this recipient was appended to the match run with the offer number equal to the previous maximum offer number plus one. For kidneys with no previous offers, the offer number was set to one.

Calculated Panel Reactive Antibodies (cPRA)

Candidate cPRA is determined from the candidate status history file. If the candidate was listed prior to the cohort, then the cPRA at the beginning of the cohort is used. Otherwise, the first cPRA after listing is used.

Calculation of Body Surface Area (BSA)

Body surface area is defined as the square root of weight in kilograms times height in centimeters divided by 3600.



SRTR Risk Adjustment Model Documentation: Offer Acceptance Models

Organ Kidney Liver Heart Lung

Kidney Model Strata Adult: 1.05 < KDRI < 1.75

	Model Element Plots	Model Fitting Process	Additional Info	C Statistic
	Match Run Data			
	uncertainty regarding can	didates who actually receiv eptance are not included i	ed offers, bypassed	or donors recovered between July 1, 2016 and June 30, 2017. Due to offers and offers after the last acceptance are removed. Additionally, Duplicated offers from the same donor are removed, and only the
•	Multi-organ Transplar	nt Candidates		
	Offers to multi-organ trans request kidney-alone offer			dney-pancreas candidates are the only exception because they must ey-pancreas candidates.
	Alignment of Offers ar	nd Transplanted Kidne	eys	
				didates. This can occur for candidate and/or donor reasons, e.g., the e. These are considered declined offers.
	intended recipient was un situations, if the eventual	able to undergo transplant recipient was not a multi-o ed to the match run with th	and the transplant v rgan transplant cano	the match run. This typically represents 'local backup'; i.e., the was performed in a different candidate to avoid discard. In these didate and was ABO compatible per kidney allocation policy, then I to the previous maximum offer number plus one. For kidneys with
	Calculated Panel Rea	ctive Antibodies (cPR4	A)	
	Candidate cPRA is determi beginning of the cohort is			e candidate was listed prior to the cohort, then the cPRA at the sed.
	Calculation of Body S	urface Area (BSA)		
	Body surface area is define	ed as the square root of we	ight in kilograms tim	es height in centimeters divided by 3600.



SRTR Risk Adjustment Model Documentation: Offer Acceptance Models

Organ	Model Element Plots	Model Fitting Process	Additional Info	C Statistic
Ø Kidney	Match Run Data			
© Liver	The kidney offer acceptan	ce model was estimated w	ith match run data fo	or donors recovered between July 1, 2016 and June 30, 2017. Due to

Alignment of Offers and Transplanted Kidneys

Accepted kidneys are not always transplanted into the corresponding candidates. This can occur for candidate and/or donor reasons, e.g., the kidney was lower quality than expected or the candidate was unavailable. These are considered declined offers.

Kidneys can also be transplanted into candidates who did not appear on the match run. This typically represents 'local backup'; i.e., the intended recipient was unable to undergo transplant and the transplant was performed in a different candidate to avoid discard. In these situations, if the eventual recipient was not a multi-organ transplant candidate and was ABO compatible per kidney allocation policy, then this recipient was appended to the match run with the offer number equal to the previous maximum offer number plus one. For kidneys with no previous offers, the offer number was set to one.

Candidate cPRA is determined from the candidate status history file. If the candidate was listed prior to the cohort, then the cPRA at the beginning of the cohort is used. Otherwise, the first cPRA after listing is used.

Calculation of Body Surface Area (BSA)

Body surface area is defined as the square root of weight in kilograms times height in centimeters divided by 3600.



SRTR Risk Adjustment Model Documentation: Offer Acceptance Models

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Organ	Model Element Plots Model Fitting Process Additional Info C Statistic Match Run Data Example of the acceptance model was estimated with match run data for donors recovered between July 1, 2016 and June 30, 2017. Due to uncertainty regarding candidates who actually received offers, bypassed offers and offers after the last acceptance are removed. Additionally, match runs without an acceptance are not included in the final data set. Duplicated offers from the same donor are removed, and only the first offer to a candidate is kept. Multi-organ Transplant Candidates Multi-organ transplant candidates are usually not evaluated. Kidney-pancreas candidates are the only exception because they must request kidney-alone offers and Transplanted Kidneys Accepted kidneys are not always transplanted into the corresponding candidates. This can occur for candidate and/or donor reasons, e.g., the kidney was lower quality than expected or the candidate was unavailable. These are considered declined offers. Kidneys can also be transplanted into candidates who did not appear on the match run. This typically represents 'local backup'; i.e., the intended recipient was unable to undergo transplant and the transplant was performed in a different candidate to avoid discard. In these situations, if the eventual recipient was not a multi-organ transplant candidate and was ABO compatible per kidney allocation policy, then this recipient was appended to the match run with the offer number equal to the previous maximum offer number glucation policy, then this recipient was appended to the match run with the offer number equal to the previous maximum offer number plus one. For kidneys with no previous offers, the offer number was set to one. Calculated Panel R
	Candidate cPRA is determined from the candidate status history file. If the candidate was listed prior to the cohort, then the cPRA at the beginning of the cohort is used. Otherwise, the first cPRA after listing is used. Calculation of Body Surface Area (BSA) Body surface area is defined as the square root of weight in kilograms times height in centimeters divided by 3600.
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SRTR Risk Adjustment Model Documentation: Offer Acceptance Models

Orga	in	Model Element Plots	Model Fitting Process	Additional Info	C Statistic	
@ K	idney	Match Run Data				
© L	iver	The kidney offer accentan	ce model was estimated wi	ith match run data fo	r donors recovered between July 1, 2016 and June 30, 2017. Due to	

Multi-organ Transplant Candidates

Offers to multi-organ transplant candidates are usually not evaluated. Kidney-pancreas candidates are the only exception because they must request kidney-alone offers due to the separate allocation policy for kidney-pancreas candidates.

Augmment of Offers and Transplanted Nulleys

Accepted kidneys are not always transplanted into the corresponding candidates. This can occur for candidate and/or donor reasons, e.g., the kidney was lower quality than expected or the candidate was unavailable. These are considered declined offers.

Kidneys can also be transplanted into candidates who did not appear on the match run. This typically represents 'local backup'; i.e., the intended recipient was unable to undergo transplant and the transplant was performed in a different candidate to avoid discard. In these situations, if the eventual recipient was not a multi-organ transplant candidate and was ABO compatible per kidney allocation policy, then this recipient was appended to the match run with the offer number equal to the previous maximum offer number plus one. For kidneys with no previous offers, the offer number was set to one.

Calculated Panel Reactive Antibodies (cPRA)

Candidate cPRA is determined from the candidate status history file. If the candidate was listed prior to the cohort, then the cPRA at the beginning of the cohort is used. Otherwise, the first cPRA after listing is used.

Calculation of Body Surface Area (BSA)

Body surface area is defined as the square root of weight in kilograms times height in centimeters divided by 3600.



SRTR Risk Adjustment Model Documentation: Offer Acceptance Models

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Organ
Ø Kidney
◎ Liver
© Heart
© Lung
Kidney Model Strata
Adult: 1.05 < KDRI < 1.75

Model Element Plots Model Fitting Process Additional Info C Statistic

Match Run Data

The kidney offer acceptance model was estimated with match run data for donors recovered between July 1, 2016 and June 30, 2017. Due to uncertainty regarding candidates who actually received offers, bypassed offers and offers after the last acceptance are removed. Additionally, match runs without an acceptance are not included in the final data set. Duplicated offers from the same donor are removed, and only the first offer to a candidate is kept.

Multi-organ Transplant Candidates

Offers to multi-organ transplant candidates are usually not evaluated. Kidney-pancreas candidates are the only exception because they must request kidney-alone offers due to the separate allocation policy for kidney-pancreas candidates.

Alignment of Offers and Transplanted Kidneys

Accepted kidneys are not always transplanted into the corresponding candidates. This can occur for candidate and/or donor reasons, e.g., the kidney was lower quality than expected or the candidate was unavailable. These are considered declined offers.

Kidneys can also be transplanted into candidates who did not appear on the match run. This typically represents 'local backup'; i.e., the intended recipient was unable to undergo transplant and the transplant was performed in a different candidate to avoid discard. In these situations, if the eventual recipient was not a multi-organ transplant candidate and was ABO compatible per kidney allocation policy, then this recipient was appended to the match run with the offer number equal to the previous maximum offer number plus one. For kidneys with no previous offers, the offer number was set to one.

Calculated Panel Reactive Antibodies (cPRA)

Candidate cPRA is determined from the candidate status history file. If the candidate was listed prior to the cohort, then the cPRA at the beginning of the cohort is used. Otherwise, the first cPRA after listing is used.

Calculation of Body Surface Area (BSA)

Body surface area is defined as the square root of weight in kilograms times height in centimeters divided by 3600.



SRTR Risk Adjustment Model Documentation: Offer Acceptance Models

Organ	
Kidney	
O Liver	
Heart	
Cung	
Liver Model Strata	
Adult: Donor Age < 40	

Model Element Plots	Model Fitting Process	Additional Info	C Statistic
Match Run Data			
uncertainty regarding can	didates who actually receiv ceptance are not included i	ed offers, bypassed	donors recovered between July 1, 2016 and June 30, 2017. Due to offers and offers after the last acceptance are removed. Additionally, Duplicated offers from the same donor are removed, and only the
Multi-organ Transpla	nt Candidates		
Offers to multi-organ trans	splant candidates are not e	valuated.	
Alignment of Offers a	nd Transplanted Livers	5	

Accepted livers are not always transplanted into the corresponding candidates. This can occur for candidate and/or donor reasons, e.g., the liver was lower quality than expected or the candidate was unavailable. These are considered declined offers.

Livers can also be transplanted into candidates who did not appear on the match run. This typically represents 'local backup'; i.e., the intended recipient was unable to undergo transplant and the transplant was performed in a different candidate to avoid discard. In these situations, if the eventual recipient was not a multi-organ transplant candidate, then the eventual recipient was appended to the match run with the offer number equal to the previous maximum offer number plus one. For livers with no previous offers, the offer number was set to one.



SRTR Risk Adjustment Model Documentation: Offer Acceptance Models

KidneyLiver	Match Run Data The liver offer acceptance model was estimated with match run data for donors recovered between July 1, 2016 and June 30, 2017. Due to
Liver Liver	The liver offer accentance model was estimated with match run data for donors recovered between July 1, 2016 and June 30, 2017. Due to
	The river oner acceptance model was estimated with match fun data for donors recovered between Suty 1, 2010 and Sune S0, 2017. Due to
Heart Lung Multi-org	an Transplant Candidates
Liver Model Strata	an nansplant Candidates
Adult: Donor Age < 40 Offers to n	ulti-organ transplant candidates are not evaluated.

Accepted livers are not always transplanted into the corresponding candidates. This can occur for candidate and/or donor reasons, e.g., the liver was lower quality than expected or the candidate was unavailable. These are considered declined offers.

Livers can also be transplanted into candidates who did not appear on the match run. This typically represents 'local backup'; i.e., the intended recipient was unable to undergo transplant and the transplant was performed in a different candidate to avoid discard. In these situations, if the eventual recipient was not a multi-organ transplant candidate, then the eventual recipient was appended to the match run with the offer number equal to the previous maximum offer number plus one. For livers with no previous offers, the offer number was set to one.



Q6: Can I get the offer data used in the acceptance reports?

Yes, SRTR began saving offer-level data for programs during the Summer 2018 cycle, which were publicly released on Tuesday (October 9, 2018). These files are large and not posted to the secure site. However, the files are available to programs on request.



Upcoming changes to the pretransplant models

Waitlist mortality and transplant rate models are being developed for pancreas, kidneypancreas, and intestine. We expect to include them in the July 2019 release.

An offer acceptance model is being developed for pancreas and kidney-pancreas. We expect to include them in the July 2019 release.

SRTR is actively developing models for candidate mortality after listing for each organ. At the earliest, these would be included January 2020 release.





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@SRTRNews

Scientific Registry of Transplant Recipients

