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## User Guide

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This report contains a wide range of useful information about the lung transplant program at UT Southwestern Medical Center/ (TXSP). The report has three main sections:

- A. Program Summary
- B. Waiting List Information
- C. Transplant Information

The Program Summary is a one-page summary highlighting characteristics of the program, including the number of candidates on the waiting list, the number of transplants performed at the program, the number of patients being cared for by the program, and patient outcomes, including outcomes while on the waiting list (the transplant rate and the death rate while on the waiting list) and outcomes after transplant (patient and graft survival probabilities). If the program performed transplants in both adults and children, survival probabilities for adults and children (pediatrics) are provided separately. For each of the outcomes measures presented, a comparison is provided showing what would be expected at this program if it were performing as similar programs around the country perform when treating similar patients. As part of this comparison, we provide a measure of how certain we are that this program is performing as expected or significantly better or worse than expected. These statements of certainty are provided as footnotes to the figures, so please interpret the numbers in the figures carefully after considering the information in the footnotes. More details regarding these outcome measures are provided in Sections B and C of the report.

The Waiting List Information section contains more detailed information on how many candidates are on the waiting list at the program, the types of candidates on the waiting list, how long candidates typically have to wait for a transplant at this program, how frequently candidates successfully receive a transplant, and how often candidates on the waiting list die before receiving a transplant.

Table B1 shows the activity on this program's waiting list during two recent 1-year periods and provides comparisons to all programs within this program's OPTN region (see <http://optn.transplant.hrsa.gov/members/regions.asp> for information on OPTN regions) and the nation as a whole. Tables B2 and B3 describe the candidates on the waiting list at this program, with comparisons to candidates waiting in the same donor service area (OPO/DSA) the OPTN region, and the nation as a whole.

Table B4 shows how many candidates were removed from the waiting list because they received a transplant. The program's transplant rate is calculated as the number of candidates who received a transplant divided by the person-years observed at the program (person-years is a combination of how many candidates were on the waiting list along with how long each candidate was followed since some candidates are not on the waiting list for the entire year). The transplant rate and comparisons to what would be expected at this program are presented in Figures B1 and B2. Figure B1 shows the transplant rate compared to what was expected at this program. The expected transplant rate is an estimate of what we would expect at this program if it were performing transplants at rates similar to other programs in the US with similar candidates on their waiting lists. The expected rate is only an estimate, and is made with a certain level of uncertainty. This uncertainty is shown in Figure B2. Figure B2 displays the ratio of the observed to the expected transplant rate. A ratio of 1 indicates that the observed transplant rate was equal to the expected transplant rate, while a ratio less than 1 indicates the observed rate was lower than expected rate and a ratio greater than 1 indicates the observed rate



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was higher than the expected rate. However, the level of uncertainty must be considered when interpreting these numbers. The 95% confidence interval is also shown on Figure B2. This confidence interval provides a range within which the true ratio of observed to expected transplant rates is likely to be. If this confidence interval includes (crosses) 1.0, then we cannot say that this program's observed transplant rate is different from what would be expected. The observed deceased donor transplant rate at this program was 176.3 per 100 person-years, and this was not significantly different from what would be expected with a 95% confidence interval of [0.89, 1.40] for the ratio of observed to expected transplant rates. Transplant rates are also provided for adult and pediatric patients separately along with comparisons to adult and pediatric rates in the DSA, the OPTN region, and the nation. Please refer to the PSR Technical Methods documentation available at <http://www.srtr.org> for more detail regarding how expected rates are calculated.

The death rate (also known as the mortality rate) for candidates on the waiting list is presented in Table B5 and Figures B4-B6. These data are presented in the same way as the transplant rate data in the previous section. The intent of these tables and figures is to describe risk of death once candidates are listed rather than while they are listed. Therefore, time at risk and deaths after removal from the waiting list for reasons other than transplant, transfer to another transplant program, or recovery (no longer needing a transplant), and before any subsequent transplant, are included. As with transplant rates, mortality rates should be interpreted carefully taking into consideration the confidence interval displayed in Figure B5. For a complete description of how observed and expected mortality rates are calculated, please refer to the technical documentation available at <http://www.srtr.org>.

Table B6 presents information on what happens to candidates on the waiting list by three different time points after listing: 6 months, 12 months, and 18 months. The table displays percentages of candidates who have died, been removed from the waiting list, been transplanted, or been transferred or lost-to-follow-up. Tables B7 and B8 provide more detail regarding how many candidates have received a deceased donor transplant by certain time points during the first 3 years after being put on the transplant waiting list. Each row of Tables B7 and B8 presents the percent of candidates who received a deceased donor transplant by each time point. Table B9 presents data on the time it took for different percentages of patients to be transplanted for candidates added to the list between 01/01/2011 and 06/30/2016. The time it took for 5% (the 5th percentile) of patients to receive a transplant at this program was 0.2 months. If "Not Observed" is displayed in the table, then too few candidates received transplants before 12/31/2016 to calculate a particular percentile of transplant times.

The Transplant Information section begins with descriptions of transplant recipients in Tables C1 and C2. Data on recipients of deceased donor transplants are presented (Tables C1D and C2D); if applicable, data on recipients of living donor transplants are presented separately (Tables C1L and C2L). Comparisons to the region and the nation as a whole are provided. A description of the deceased donors used at this program is provided in Table C3D, along with characteristics of living donors in Table C3L, if applicable. Finally, information on the transplant procedure for deceased and living donor transplants is presented in Tables C4D and C4L, respectively.

Starting with Table C5, transplant outcomes are presented along with comparisons to what would be expected at this program and what happened in the nation as a whole. Tables C5-C10 present information on graft survival (survival of the transplanted organ), with data presented separately for adult and pediatric recipients. Patients are followed from the time of transplant until either failure of the



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transplanted organ or death, whichever comes first. Please refer to the technical methods for more information on these calculations (<http://www.srtr.org>).

While Tables C5-C10 present data on graft survival, Tables C11-C16 present information on patient survival. For these tables, patients are followed from the time of transplant until death, regardless of whether the transplant is functioning or the patient required another transplant to survive.

Tables C17 and C18 summarize the multiorgan transplant outcomes at this program. The summary statistics in these tables are descriptive and are not risk-adjusted for different donor and candidate characteristics.

Additional information regarding the technical methods and the risk adjustment models used to estimate expected event rates is available on the SRTR website at <http://www.srtr.org>. We welcome and encourage feedback on these reports. Please feel free to share feedback with the SRTR at the following e-mail: [srtr@srtr.org](mailto:srtr@srtr.org).



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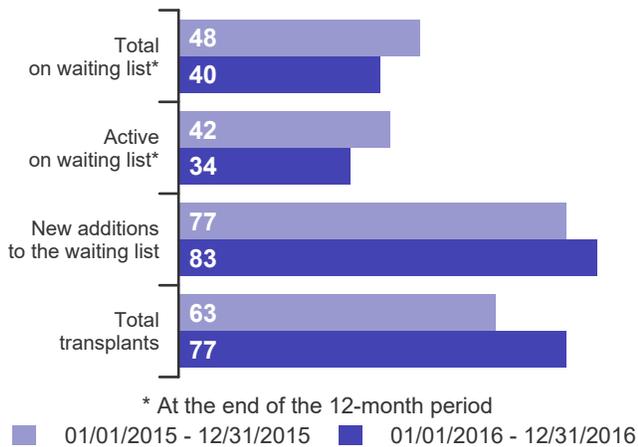
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## A. Program Summary

**Figure A1. Waiting list and transplant activity**

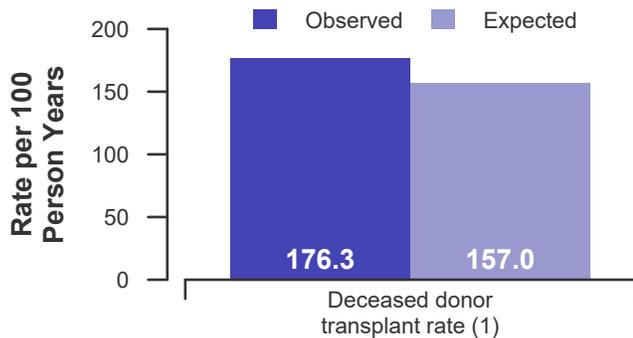


**Table A1. Census of transplant recipients**

Recipients	01/01/2015-12/31/2015	01/01/2016-12/31/2016
Transplanted at this center	63	77
Followed by this center*	221	263
...transplanted at this program	216	258
...transplanted elsewhere	5	5

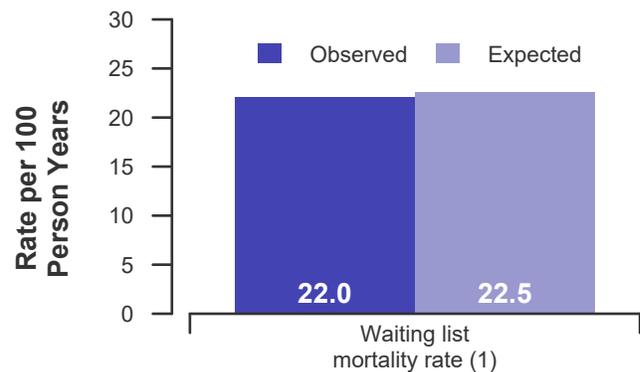
\* Recipients followed are transplant recipients for whom the center has submitted a post-transplant follow-up form for a transplant that took place before the 12-month interval for each column.

**Figure A2. Transplant rates  
01/01/2016 - 12/31/2016**



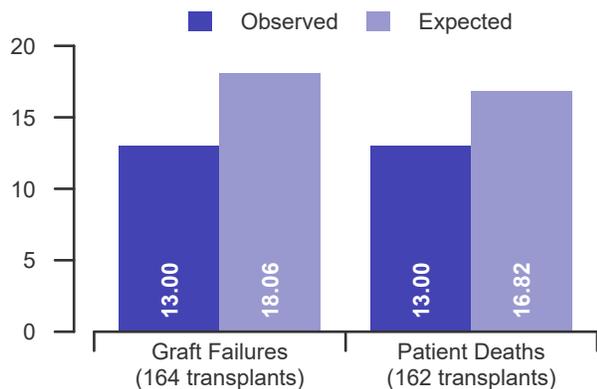
(1) Not significantly different (p=0.338)

**Figure A3. Waiting list mortality rates  
01/01/2016 - 12/31/2016**

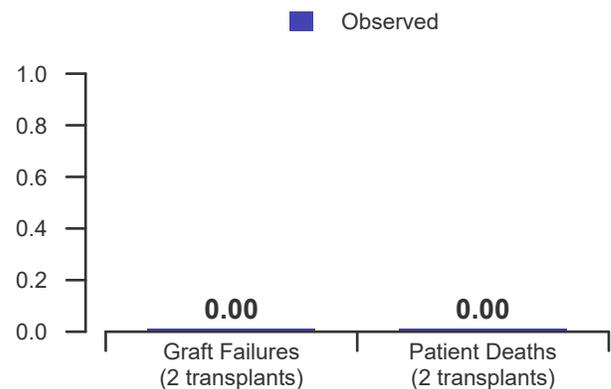


(1) Not significantly different (p=0.999)

**Figure A4. First-year adult graft and patient survival: 01/01/2014 - 06/30/2016**



**Figure A5. First-year pediatric graft and patient survival: 01/01/2014 - 06/30/2016**





## B. Waiting List Information

**Table B1. Waiting list activity summary: 01/01/2015 - 12/31/2016**

Waiting List Registrations	Counts for this center		Activity for 01/01/2016 to 12/31/2016 as percent of registrants on waiting list on 01/01/2016		
	01/01/2015-12/31/2015	01/01/2016-12/31/2016	This Center (%)	OPTN Region (%)	U.S. (%)
<b>On waiting list at start</b>	47	48	100.0	100.0	100.0
<b>Additions</b>					
New listings at this center	77	83	172.9	199.4	180.1
<b>Removals</b>					
Transferred to another center	0	0	0.0	1.8	1.4
Received living donor transplant*	0	0	0.0	0.0	0.0
Received deceased donor transplant*	63	77	160.4	176.0	150.2
Died	4	6	12.5	9.9	10.9
Transplanted at another center	0	1	2.1	0.6	3.2
Deteriorated	3	5	10.4	11.7	11.0
Recovered	2	0	0.0	0.0	1.9
Other reasons	4	2	4.2	11.1	9.5
<b>On waiting list at end of period</b>	48	40	83.3	88.3	91.9

\* These patients were removed from waiting list with removal code indicating transplant; this may not equal the number of transplants performed at this center during the specified period.



## B. Waiting List Information

**Table B2. Demographic characteristics of waiting list candidates**  
Candidates registered on the waiting list between 01/01/2016 and 12/31/2016

Demographic Characteristic	New Waiting List Registrations 01/01/2016 to 12/31/2016 (%)			All Waiting List Registrations on 12/31/2016 (%)		
	This Center (N=83)	OPTN Region (N=341)	U.S. (N=2,789)	This Center (N=40)	OPTN Region (N=151)	U.S. (N=1,424)
<b>All (%)</b>	100.0	100.0	100.0	100.0	100.0	100.0
<b>Ethnicity/Race (%)*</b>						
White	73.5	71.6	79.5	55.0	60.9	78.0
African-American	8.4	10.9	9.5	20.0	19.2	10.5
Hispanic/Latino	16.9	15.8	7.9	25.0	19.2	7.4
Asian	0.0	1.5	2.3	0.0	0.7	3.2
Other	1.2	0.3	0.8	0.0	0.0	1.0
Unknown	0.0	0.0	0.0	0.0	0.0	0.0
<b>Age (%)</b>						
<2 years	0.0	0.3	0.4	0.0	0.0	0.5
2-11 years	0.0	0.0	0.5	0.0	0.0	1.0
12-17 years	0.0	1.8	1.3	0.0	2.0	1.2
18-34 years	7.2	6.7	10.5	17.5	13.2	10.4
35-49 years	20.5	14.4	11.5	32.5	18.5	17.1
50-64 years	43.4	47.2	48.4	37.5	48.3	50.8
65+ years	28.9	29.6	27.6	12.5	17.9	19.0
Other (includes prenatal)	0.0	0.0	0.0	0.0	0.0	0.0
<b>Gender (%)</b>						
Male	61.4	55.4	56.3	30.0	31.8	39.1
Female	38.6	44.6	43.7	70.0	68.2	60.9

\* Race and ethnicity are reported together as a single data element, reflecting their data collection (either race or ethnicity is required, but not both). Patients formerly coded as white and Hispanic are coded as Hispanic. Race and ethnicity sum to 100%.



## B. Waiting List Information

**Table B3. Medical characteristics of waiting list candidates**

Candidates registered on the waiting list between 01/01/2016 and 12/31/2016

Medical Characteristic	New Waiting List Registrations 01/01/2016 to 12/31/2016 (%)			All Waiting List Registrations on 12/31/2016 (%)		
	This Center	OPTN Region	U.S.	This Center	OPTN Region	U.S.
	(N=83)	(N=341)	(N=2,789)	(N=40)	(N=151)	(N=1,424)
<b>All (%)</b>	100.0	100.0	100.0	100.0	100.0	100.0
<b>Blood Type (%)</b>						
O	53.0	47.8	45.8	65.0	52.3	49.6
A	34.9	39.9	40.1	25.0	37.1	36.9
B	9.6	9.7	10.8	7.5	8.6	10.8
AB	2.4	2.6	3.3	2.5	2.0	2.7
Unknown	0.0	0.0	0.0	0.0	0.0	0.0
<b>Previous Transplant (%)</b>						
Yes	1.2	1.5	3.9	0.0	1.3	2.4
No	98.8	98.5	96.1	100.0	98.7	97.6
Unknown	0.0	0.0	0.0	0.0	0.0	0.0
<b>Primary Disease (%)</b>						
Idiopathic Pulmonary Arterial Hypertension	8.4	5.0	4.6	10.0	8.6	6.7
Cystic Fibrosis	10.8	9.7	11.3	17.5	10.6	10.5
Idiopathic Pulmonary Fibrosis	56.6	53.4	55.6	47.5	43.0	37.9
Emphysema/COPD	22.9	28.4	24.8	20.0	32.5	40.5
Other	1.2	3.5	3.7	5.0	5.3	4.4
Missing	0.0	0.0	0.0	0.0	0.0	0.0



## B. Waiting List Information

Table B4D. Deceased donor transplant rates: 01/01/2016 - 12/31/2016

Waiting List Registrations	This Center	OPO/DSA	Region	U.S.
<b>All Candidates</b>				
Count on waiting list at start*	48	67	170	1,548
Person Years**	43.7	59.9	148.8	1,455.8
Removals for Transplant	77	117	301	2,327
<b>Adult (18+) Candidates</b>				
Count on waiting list at start*	48	67	166	1,513
Person Years**	43.7	59.9	147.0	1,420.5
Removals for transplant	77	117	293	2,288
<b>Pediatric (&lt;18) Candidates</b>				
Count on waiting list at start*	--	--	--	--
Person Years**	--	--	--	--
Removals for transplant	--	--	--	--

\* Counts in this table may be lower than similar counts in other waiting list tables, such as Table B1. A small percentage (~1%) of patients are found to have died or been transplanted before being removed from the waiting list, so these patients are excluded if the event occurs prior to the start of the study period. Inactive time on the waiting list is included in the calculations for this table.

\*\* Person years are calculated as days (converted to fractional years). The number of days from January 1 or from the date of first wait listing until death, transplant, removal from the waiting list or December 31.

Figure B1D. Observed and expected deceased donor transplant rates: 01/01/2016 - 12/31/2016

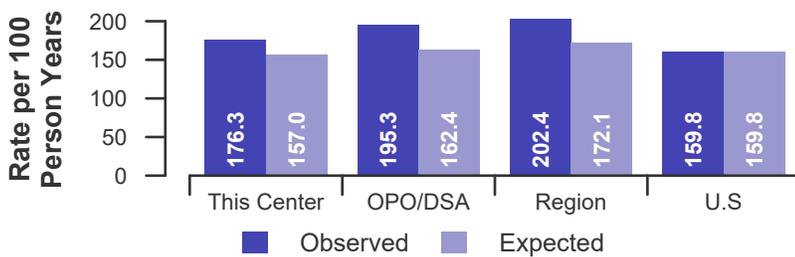


Figure B2D. Ratio of observed to expected deceased donor transplant rates

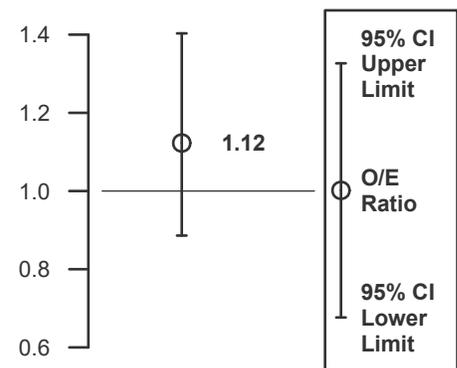
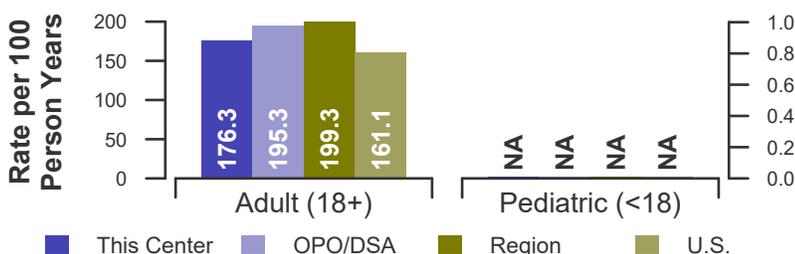


Figure B3D. Observed adult (18+) and pediatric (<18) deceased donor transplant rates: 01/01/2016 - 12/31/2016



(1) Not significantly different (p=0.338, 95% CI=[0.89, 1.40])



## B. Waiting List Information

Table B5. Waiting list mortality rates: 01/01/2016 - 12/31/2016

Waiting List Registrations	This Center	OPO/DSA	Region	U.S.
<b>All Candidates</b>				
Count on waiting list at start*	48	67	170	1,548
Person Years**	45.4	63.6	160.5	1,550.9
Number of deaths	10	12	35	305
<b>Adult (18+) Candidates</b>				
Count on waiting list at start*	48	67	166	1,513
Person Years**	45.4	63.6	158.7	1,513.1
Number of deaths	10	12	35	294
<b>Pediatric (&lt;18) Candidates</b>				
Count on waiting list at start*	--	--	--	--
Person Years**	--	--	--	--
Number of deaths	--	--	--	--

\* Counts in this table may be lower than similar counts in other waiting list tables, such as Table B1. A small percentage (~1%) of patients are found to have died or been transplanted before being removed from the waiting list, so these patients are excluded if the event occurs prior to the start of the study period. Inactive time on the waiting list is included in the calculations for this table.

\*\* Person years are calculated as days (converted to fractional years). The number of days from January 1 or from the date of first wait listing until death, transplant, 60 days after recovery, transfer or December 31.

Figure B4. Observed and expected waiting list mortality rates: 01/01/2016 - 12/31/2016

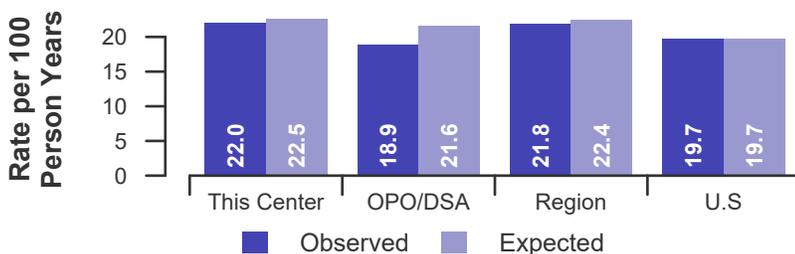
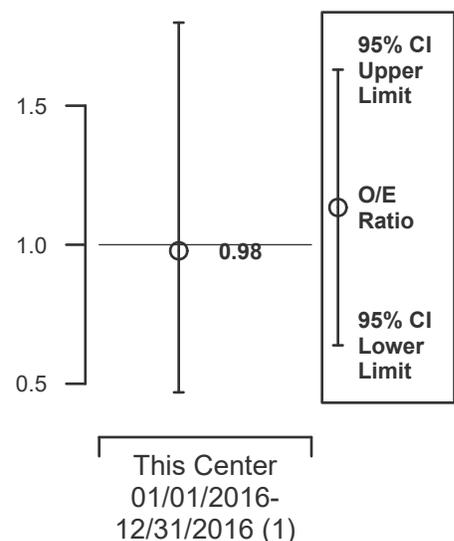
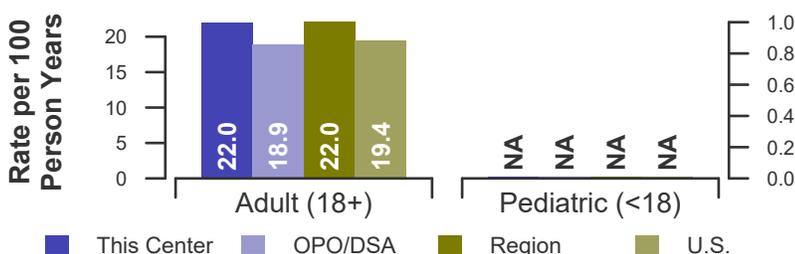


Figure B5. Ratio of observed to expected waiting list mortality rates



(1) Not significantly different (p=0.999, 95% CI=[0.47, 1.80])

Figure B6. Observed adult (18+) and pediatric (<18) waiting list mortality rates: 01/01/2016 - 12/31/2016





## B. Waiting List Information

**Table B6. Waiting list candidate status after listing**  
Candidates registered on waiting list between 07/01/2014 and 06/30/2015

Waiting list status (survival status)	This Center (N=102)			U.S. (N=2,555)		
	Months Since Listing			Months Since Listing		
	6	12	18	6	12	18
<b>Alive on waiting list (%)</b>	32.4	18.6	13.7	30.1	15.7	9.7
<b>Died on the waiting list without transplant (%)</b>	3.9	5.9	5.9	5.4	6.4	7.0
<b>Removed without transplant (%):</b>						
Condition worsened (status unknown)	3.9	4.9	5.9	3.9	5.5	5.9
Condition improved (status unknown)	1.0	1.0	1.0	0.2	0.4	0.4
Refused transplant (status unknown)	0.0	0.0	0.0	0.1	0.1	0.2
Other	1.0	2.0	2.0	1.6	2.4	3.1
<b>Transplant (living or deceased donor) (%):</b>						
Functioning (alive)	55.9	64.7	52.0	53.9	58.7	40.7
Failed-Retransplanted (alive)	0.0	0.0	0.0	0.1	0.1	0.2
Failed-alive not retransplanted	0.0	0.0	0.0	0.0	0.0	0.0
Died	1.0	2.0	7.8	3.8	7.0	10.5
Status Yet Unknown*	0.0	0.0	10.8	0.8	3.1	21.7
<b>Lost or Transferred (status unknown) (%)</b>	1.0	1.0	1.0	0.3	0.5	0.5
<b>TOTAL (%)</b>	100.0	100.0	100.0	100.0	100.0	100.0
Total % known died on waiting list or after transplant	4.9	7.8	13.7	9.2	13.4	17.6
Total % known died or removed as unstable	8.8	12.7	19.6	13.0	18.9	23.5
Total % removed for transplant	56.9	66.7	70.6	58.5	69.0	73.1
Total % with known functioning transplant (alive)	55.9	64.7	52.0	53.9	58.7	40.7

\* Follow-up form covering specified time period not yet completed, and possibly has not become due.



## B. Waiting List Information

**Table B7. Percent of candidates with deceased donor transplants: demographic characteristics**  
Candidates registered on the waiting list between 01/01/2011 and 12/31/2013

Characteristic	N	Percent transplanted at time periods since listing								
		This Center				United States				
		30 day	1 year	2 years	3 years	N	30 day	1 year	2 years	3 years
<b>All</b>	217	30.4	71.9	79.3	80.2	7,339	25.8	64.5	70.7	72.9
<b>Ethnicity/Race*</b>										
White	158	28.5	69.6	78.5	79.7	6,030	26.3	65.4	71.8	74.1
African-American	25	40.0	84.0	84.0	84.0	649	24.3	59.9	65.2	66.9
Hispanic/Latino	29	34.5	79.3	82.8	82.8	487	23.4	61.8	67.6	70.0
Asian	5	20.0	40.0	60.0	60.0	139	21.6	59.7	61.2	61.2
Other	0	--	--	--	--	34	20.6	52.9	64.7	64.7
Unknown	0	--	--	--	--	0	--	--	--	--
<b>Age</b>										
<2 years	0	--	--	--	--	39	12.8	53.8	53.8	53.8
2-11 years	0	--	--	--	--	73	8.2	45.2	46.6	47.9
12-17 years	3	33.3	100.0	100.0	100.0	131	19.1	56.5	61.1	61.8
18-34 years	19	36.8	68.4	78.9	78.9	873	22.3	58.6	64.5	67.7
35-49 years	39	28.2	71.8	79.5	79.5	971	21.4	55.8	64.0	65.9
50-64 years	87	27.6	66.7	75.9	77.0	3,488	24.5	64.2	71.5	74.1
65+ years	69	33.3	78.3	82.6	84.1	1,764	34.2	74.2	78.2	79.3
Other (includes prenatal)	0	--	--	--	--	0	--	--	--	--
<b>Gender</b>										
Male	114	35.1	81.6	86.8	88.6	4,088	31.1	72.0	77.1	78.6
Female	103	25.2	61.2	70.9	70.9	3,251	19.2	55.1	62.7	65.8

\* Race and ethnicity are reported together as a single data element, reflecting their data collection (either race or ethnicity is required, but not both). Patients formerly coded as white and Hispanic are coded as Hispanic. Race and ethnicity sum to 100%.



## B. Waiting List Information

**Table B8. Percent of candidates with deceased donor transplants: medical characteristics**  
Candidates registered on the waiting list between 01/01/2011 and 12/31/2013

Characteristic	N	Percent transplanted at time periods since listing								
		This Center				United States				
		30 day	1 year	2 years	3 years	N	30 day	1 year	2 years	3 years
<b>All</b>	217	30.4	71.9	79.3	80.2	7,339	25.8	64.5	70.7	72.9
<b>Blood Type</b>										
O	103	31.1	75.7	80.6	80.6	3,394	25.1	64.1	70.7	72.8
A	88	28.4	64.8	73.9	75.0	2,873	25.8	64.0	70.7	73.2
B	20	45.0	85.0	95.0	100.0	807	26.8	65.6	69.9	71.1
AB	6	0.0	66.7	83.3	83.3	265	33.2	71.3	74.3	75.8
<b>Previous Transplant</b>										
Yes	5	40.0	80.0	80.0	80.0	412	27.4	60.7	63.1	63.8
No	212	30.2	71.7	79.2	80.2	6,927	25.7	64.7	71.2	73.5
<b>Primary Disease</b>										
Congenital Disease	0	--	--	--	--	0	--	--	--	--
Retransplant/Graft Failure	0	--	--	--	--	0	--	--	--	--
Idiopathic Pulmonary Arterial Hypertension	17	29.4	47.1	58.8	58.8	355	13.2	41.1	46.8	48.2
Cystic Fibrosis	19	36.8	78.9	89.5	89.5	910	23.1	64.3	71.1	73.2
Idiopathic Pulmonary Fibrosis	119	40.3	81.5	84.9	84.9	3,960	31.8	70.6	75.1	76.1
Alpha-1-Antitrypsin Deficiency	0	--	--	--	--	0	--	--	--	--
Emphysema/COPD	59	10.2	57.6	71.2	74.6	1,918	17.4	56.7	66.4	71.1
Other	3	0.0	66.7	66.7	66.7	196	22.4	61.2	66.8	69.4
Missing	0	--	--	--	--	0	--	--	--	--



## B. Waiting List Information

**Table B9. Time to transplant for waiting list candidates\***

Candidates registered on the waiting list between 01/01/2011 and 06/30/2016

Percentile	Center	Months to Transplant**		U.S.
		OPO/DSA	Region	
5th	0.2	0.2	0.1	0.2
10th	0.3	0.3	0.2	0.3
25th	0.8	0.9	0.7	0.9
50th (median time to transplant)	3.2	3.4	2.5	3.8
75th	15.8	18.3	12.3	24.9

\* If cells contain "Not Observed" fewer than that percentile of patients had received a transplant. For example, the 50th percentile of time to transplant is the time when 50% of candidates have received transplants. If waiting times are long, then the 50th percentile may not be observed during the follow-up period for this table. Also, if more than 50% of candidates are removed from the list due to death or other reasons before receiving transplants, then the 50th percentile of time to transplant will not be observed.

\*\* Censored on 12/31/2016. Calculated as the months after listing, during which the corresponding percent of all patients initially listed had received a transplant.



## C. Transplant Information

**Table C1D. Deceased donor transplant recipient demographic characteristics**  
Patients transplanted between 01/01/2016 and 12/31/2016

Characteristic	Percentage in each category		
	Center (N=77)	Region (N=301)	U.S. (N=2,327)
<b>Ethnicity/Race (%)*</b>			
White	88.3	76.1	80.7
African-American	3.9	9.6	9.4
Hispanic/Latino	7.8	13.0	7.3
Asian	0.0	1.3	2.0
Other	0.0	0.0	0.6
Unknown	0.0	0.0	0.0
<b>Age (%)</b>			
<2 years	0.0	0.3	0.3
2-11 years	0.0	0.7	0.4
12-17	0.0	1.7	0.9
18-34	7.8	7.0	10.4
35-49 years	10.4	12.3	11.3
50-64 years	45.5	45.2	47.1
65+ years	36.4	32.9	29.6
Unknown	0.0	0.0	0.0
<b>Gender (%)</b>			
Male	64.9	56.1	58.1
Female	35.1	43.9	41.9

\* Race and ethnicity are reported together as a single data element, reflecting their data collection (either race or ethnicity is required, but not both). Patients formerly coded as white and Hispanic are coded as Hispanic. Race and ethnicity sum to 100%.



## C. Transplant Information

**Table C2D. Deceased donor transplant recipient medical characteristics**  
Patients transplanted between 01/01/2016 and 12/31/2016

Characteristic	Percentage in each category		
	Center (N=77)	Region (N=301)	U.S. (N=2,327)
<b>Blood Type (%)</b>			
O	35.1	43.2	43.9
A	50.6	42.2	41.5
B	11.7	12.3	11.2
AB	2.6	2.3	3.4
<b>Previous Transplant (%)</b>			
Yes	1.3	1.0	3.6
No	98.7	99.0	96.4
<b>Body Mass Index (%)</b>			
0-20	20.8	21.9	22.4
21-25	28.6	26.9	31.2
26-30	46.8	38.2	35.5
31+	3.9	11.0	10.6
Unknown	0.0	2.0	0.3
<b>Primary Disease (%)</b>			
Idiopathic Pulmonary Arterial Hypertension	3.9	3.7	3.9
Cystic Fibrosis	13.0	12.6	13.6
Idiopathic Pulmonary Fibrosis	53.2	51.8	52.4
Emphysema/COPD	29.9	30.6	26.6
Other	0.0	1.3	3.5
Missing	0.0	0.0	0.0
<b>Recipient Medical Condition at Transplant (%)</b>			
Not Hospitalized	88.3	83.7	78.4
Hospitalized	5.2	9.6	9.9
ICU	6.5	6.3	11.6
Unknown	0.0	0.3	0.0



## C. Transplant Information

**Table C3D. Deceased donor characteristics**  
Transplants performed between 01/01/2016 and 12/31/2016

Donor Characteristic	Percentage in each category		
	Center (N=77)	Region (N=301)	U.S. (N=2,327)
<b>Cause of Death (%)</b>			
Deceased: Stroke	20.8	27.9	31.3
Deceased: MVA	22.1	23.9	15.9
Deceased: Other	57.1	48.2	52.9
<b>Ethnicity/Race (%)*</b>			
White	53.2	47.8	61.5
African-American	16.9	18.3	19.6
Hispanic/Latino	24.7	29.2	14.6
Asian	3.9	3.3	3.4
Other	1.3	1.3	0.8
Not Reported	0.0	0.0	0.0
<b>Age (%)</b>			
<2 years	0.0	0.3	0.3
2-11 years	0.0	1.7	0.9
12-17	5.2	8.3	7.8
18-34	50.6	48.8	45.5
35-49 years	35.1	31.2	27.3
50-64 years	9.1	9.6	17.1
65+ years	0.0	0.0	1.2
Unknown	0.0	0.0	0.0
<b>Gender (%)</b>			
Male	64.9	59.8	61.0
Female	35.1	40.2	39.0
<b>Blood Type (%)</b>			
O	45.5	52.2	50.2
A	42.9	35.9	37.6
B	10.4	10.6	10.4
AB	1.3	1.3	1.8
Unknown	0.0	0.0	0.0

\* Race and ethnicity are reported together as a single data element, reflecting their data collection (either race or ethnicity is required, but not both). Patients formerly coded as white and Hispanic are coded as Hispanic. Race and ethnicity sum to 100%.



## C. Transplant Information

**Table C4D. Deceased donor transplant characteristics**  
Transplants performed between 01/01/2016 and 12/31/2016

Transplant Characteristic	Percentage in each category		
	Center (N=77)	Region (N=301)	U.S. (N=2,327)
<b>Total Ischemic Time (Minutes): Local (%)</b>			
Deceased: 0-90 min	0.0	0.5	0.5
Deceased: 91-180 min	12.0	18.4	11.2
Deceased: 181-270 min	42.0	37.3	35.6
Deceased: 271-360 min	38.0	35.7	33.5
Deceased: 361+ min	8.0	7.0	18.3
Not Reported	0.0	1.1	0.9
<b>Total Ischemic Time (Minutes): Shared (%)</b>			
Deceased: 0-90 min	0.0	0.0	0.5
Deceased: 91-180 min	3.7	4.3	1.3
Deceased: 181-270 min	37.0	43.1	18.1
Deceased: 271-360 min	48.1	37.9	39.4
Deceased: 361+ min	11.1	14.7	40.1
Not Reported	0.0	0.0	0.6
<b>Procedure Type (%)</b>			
Lung alone	98.7	99.0	99.4
Lung and another organ	1.3	1.0	0.6
<b>Sharing (%)</b>			
Local	64.9	61.5	55.9
Shared	35.1	38.5	44.1
<b>Median Time in Hospital After Transplant*</b>	14.0 Days	15.0 Days	17.0 Days

\* Multiple organ transplants are excluded from this statistic.



## C. Transplant Information

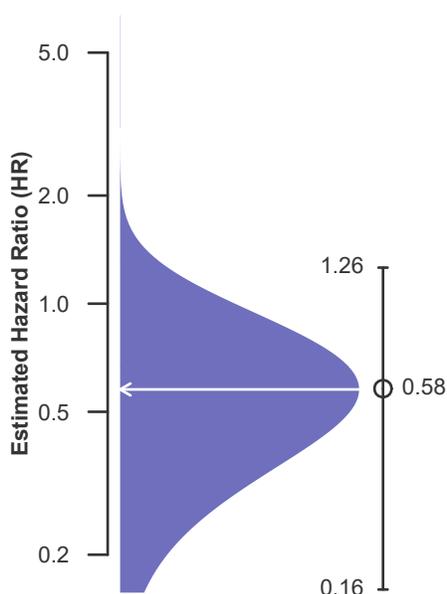
**Table C5D. Adult (18+) 1-month survival with a functioning deceased donor graft**  
**Single organ transplants performed between 01/01/2014 and 06/30/2016**  
**Deaths and retransplants are considered graft failures**

	TXSP	U.S.
Number of transplants evaluated	164	5,049
Estimated probability of surviving with a functioning graft at 1 month (unadjusted for patient and donor characteristics)	98.78%	96.83%
Expected probability of surviving with a functioning graft at 1 month (adjusted for patient and donor characteristics)	97.01%	--
Number of observed graft failures (including deaths) during the first month after transplant	2	160
Number of expected graft failures (including deaths) during the first month after transplant	4.93	--
Estimated hazard ratio*	0.58	--
95% credible interval for the hazard ratio**	[0.16, 1.26]	--

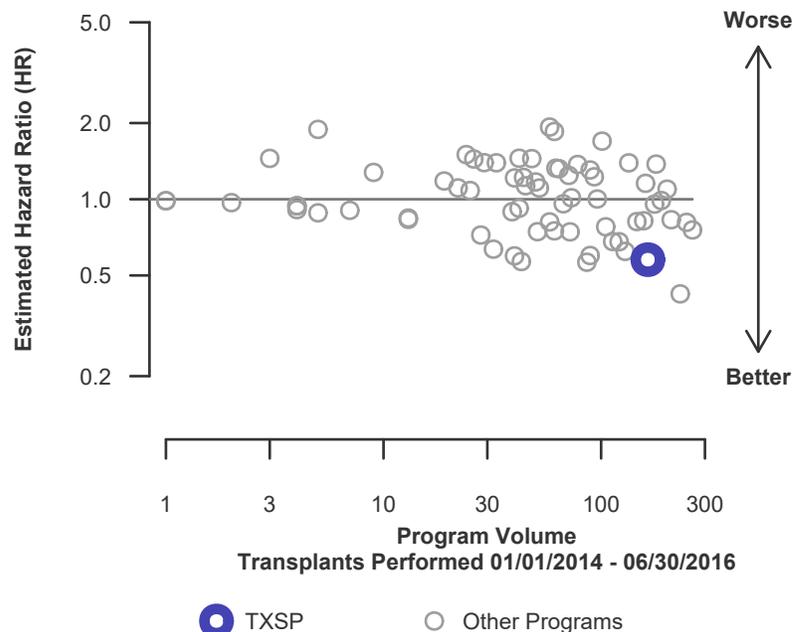
\* The hazard ratio provides an estimate of how UT Southwestern Medical Center/ (TXSP)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected graft failure rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected graft failure rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If TXSP's graft failure rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

\*\* The 95% credible interval, [0.16, 1.26], indicates the location of TXSP's true hazard ratio with 95% probability. The best estimate is 42% lower risk of graft failure compared to an average program, but TXSP's performance could plausibly range from 84% reduced risk up to 26% increased risk.

**Figure C1D. Adult (18+) 1-month deceased donor graft failure HR estimate**



**Figure C2D. Adult (18+) 1-month deceased donor graft failure HR program comparison**





## C. Transplant Information

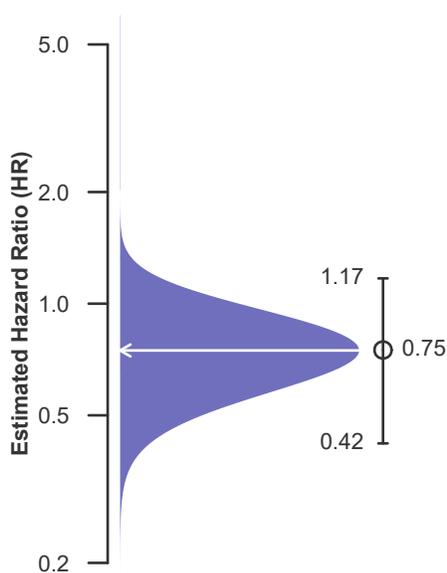
**Table C6D. Adult (18+) 1-year survival with a functioning deceased donor graft**  
**Single organ transplants performed between 01/01/2014 and 06/30/2016**  
**Deaths and retransplants are considered graft failures**

	TXSP	U.S.
Number of transplants evaluated	164	5,049
Estimated probability of surviving with a functioning graft at 1 year (unadjusted for patient and donor characteristics)	90.58%	87.47%
Expected probability of surviving with a functioning graft at 1 year (adjusted for patient and donor characteristics)	88.12%	--
Number of observed graft failures (including deaths) during the first year after transplant	13	571
Number of expected graft failures (including deaths) during the first year after transplant	18.06	--
Estimated hazard ratio*	0.75	--
95% credible interval for the hazard ratio**	[0.42, 1.17]	--

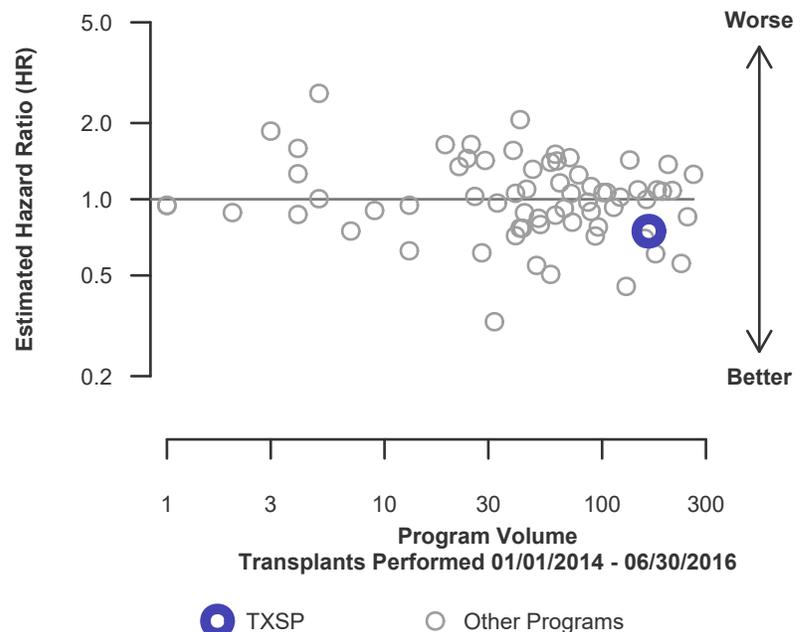
\* The hazard ratio provides an estimate of how UT Southwestern Medical Center/ (TXSP)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected graft failure rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected graft failure rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If TXSP's graft failure rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

\*\* The 95% credible interval, [0.42, 1.17], indicates the location of TXSP's true hazard ratio with 95% probability. The best estimate is 25% lower risk of graft failure compared to an average program, but TXSP's performance could plausibly range from 58% reduced risk up to 17% increased risk.

**Figure C3D. Adult (18+) 1-year deceased donor graft failure HR estimate**



**Figure C4D. Adult (18+) 1-year deceased donor graft failure HR program comparison**





## C. Transplant Information

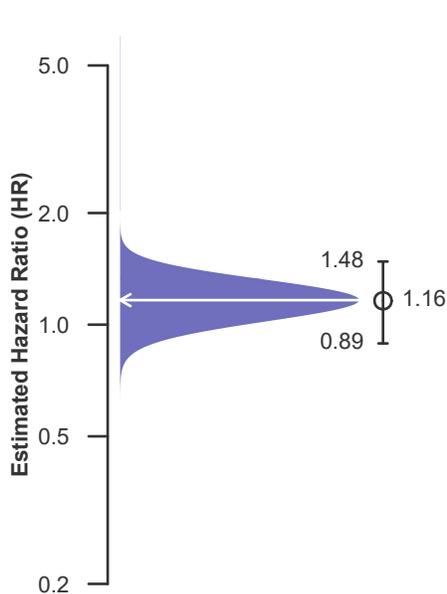
**Table C7D. Adult (18+) 3-year survival with a functioning deceased donor graft**  
**Single organ transplants performed between 07/01/2011 and 12/31/2013**  
**Deaths and retransplants are considered graft failures**

	TXSP	U.S.
Number of transplants evaluated	158	4,478
Estimated probability of surviving with a functioning graft at 3 years (unadjusted for patient and donor characteristics)	63.92%	70.26%
Expected probability of surviving with a functioning graft at 3 years (adjusted for patient and donor characteristics)	68.17%	--
Number of observed graft failures (including deaths) during the first 3 years after transplant	57	1,322
Number of expected graft failures (including deaths) during the first 3 years after transplant	48.66	--
Estimated hazard ratio*	1.16	--
95% credible interval for the hazard ratio**	[0.89, 1.48]	--

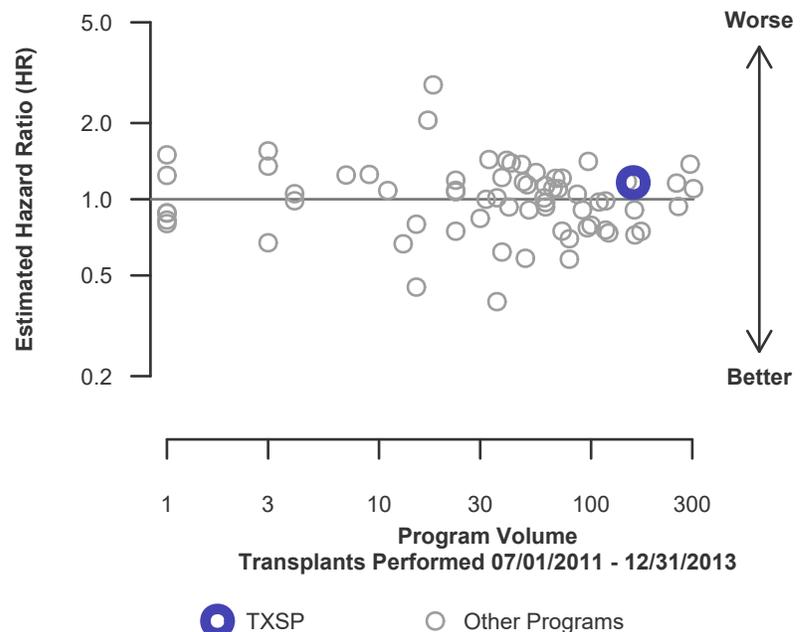
\* The hazard ratio provides an estimate of how UT Southwestern Medical Center/ (TXSP)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected graft failure rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected graft failure rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If TXSP's graft failure rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

\*\* The 95% credible interval, [0.89, 1.48], indicates the location of TXSP's true hazard ratio with 95% probability. The best estimate is 16% higher risk of graft failure compared to an average program, but TXSP's performance could plausibly range from 11% reduced risk up to 48% increased risk.

**Figure C5D. Adult (18+) 3-year deceased donor graft failure HR estimate**



**Figure C6D. Adult (18+) 3-year deceased donor graft failure HR program comparison**





## C. Transplant Information

**Table C8D. Pediatric (<18) 1-month survival with a functioning deceased donor graft**  
**Single organ transplants performed between 01/01/2014 and 06/30/2016**  
**Deaths and retransplants are considered graft failures**

	TXSP	U.S.
Number of transplants evaluated	2	102
Estimated probability of surviving with a functioning graft at 1 month (unadjusted for patient and donor characteristics)	100.00%	96.08%
Expected probability of surviving with a functioning graft at 1 month (adjusted for patient and donor characteristics)*	--%	--
Number of observed graft failures (including deaths) during the first month after transplant	0	4
Number of expected graft failures (including deaths) during the first month after transplant*	--	--
Estimated hazard ratio*	--	--
95% credible interval for the hazard ratio*	[-, -]	--

\* The expected number of graft failures, the hazard ratio, and the credible interval are not calculated for pediatric (<18) recipients.

**Figure C7D. Pediatric (<18) 1-month deceased donor graft failure HR estimate**

Expected graft failures were not calculated

**Figure C8D. Pediatric (<18) 1-month deceased donor graft failure HR program comparison**

Expected graft failures were not calculated



## C. Transplant Information

**Table C9D. Pediatric (<18) 1-year survival with a functioning deceased donor graft**  
**Single organ transplants performed between 01/01/2014 and 06/30/2016**  
**Deaths and retransplants are considered graft failures**

	TXSP	U.S.
Number of transplants evaluated	2	102
Estimated probability of surviving with a functioning graft at 1 year (unadjusted for patient and donor characteristics)	100.00%	84.22%
Expected probability of surviving with a functioning graft at 1 year (adjusted for patient and donor characteristics)*	--%	--
Number of observed graft failures (including deaths) during the first year after transplant	0	15
Number of expected graft failures (including deaths) during the first year after transplant*	--	--
Estimated hazard ratio*	--	--
95% credible interval for the hazard ratio*	[-, -]	--

\* The expected number of graft failures, the hazard ratio, and the credible interval are not calculated for pediatric (<18) recipients.

**Figure C9D. Pediatric (<18) 1-year deceased donor graft failure HR estimate**

Expected graft failures were not calculated

**Figure C10D. Pediatric (<18) 1-year deceased donor graft failure HR program comparison**

Expected graft failures were not calculated



## C. Transplant Information

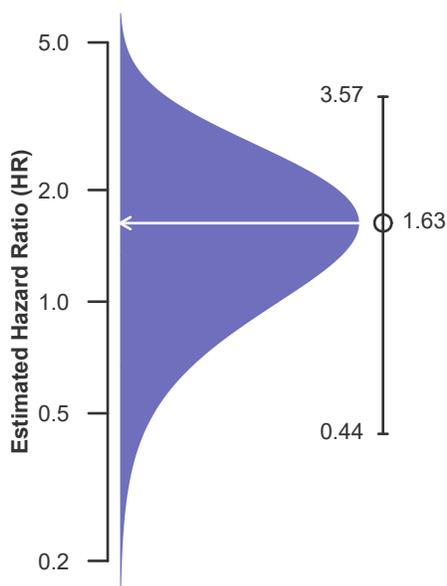
**Table C10D. Pediatric (<18) 3-year survival with a functioning deceased donor graft**  
**Single organ transplants performed between 07/01/2011 and 12/31/2013**  
**Deaths and retransplants are considered graft failures**

	TXSP	U.S.
Number of transplants evaluated	2	113
Estimated probability of surviving with a functioning graft at 3 years (unadjusted for patient and donor characteristics)	0.00%	64.29%
Expected probability of surviving with a functioning graft at 3 years (adjusted for patient and donor characteristics)	64.46%	--
Number of observed graft failures (including deaths) during the first 3 years after transplant	2	40
Number of expected graft failures (including deaths) during the first 3 years after transplant	0.46	--
Estimated hazard ratio*	1.63	--
95% credible interval for the hazard ratio**	[0.44, 3.57]	--

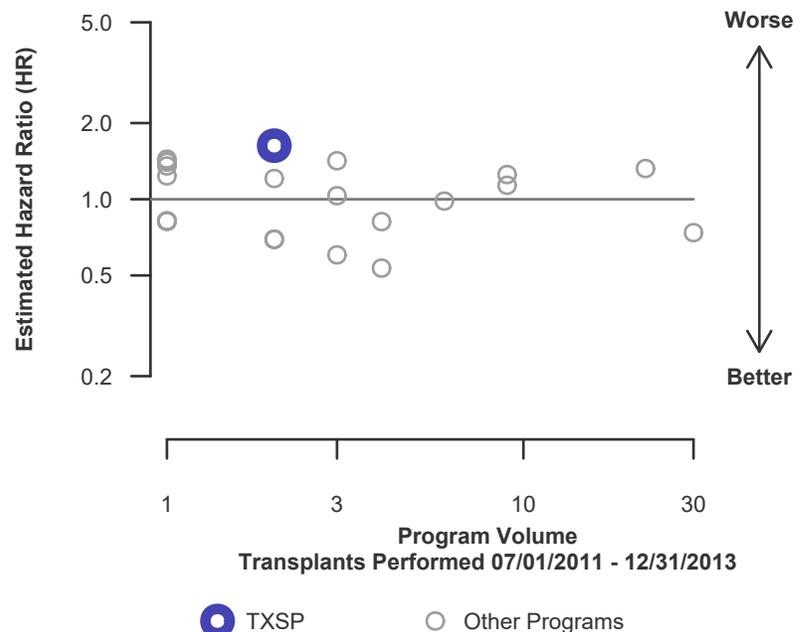
\* The hazard ratio provides an estimate of how UT Southwestern Medical Center/ (TXSP)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected graft failure rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected graft failure rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If TXSP's graft failure rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

\*\* The 95% credible interval, [0.44, 3.57], indicates the location of TXSP's true hazard ratio with 95% probability. The best estimate is 63% higher risk of graft failure compared to an average program, but TXSP's performance could plausibly range from 56% reduced risk up to 257% increased risk.

**Figure C11D. Pediatric (<18) 3-year deceased donor graft failure HR estimate**



**Figure C12D. Pediatric (<18) 3-year deceased donor graft failure HR program comparison**





## C. Transplant Information

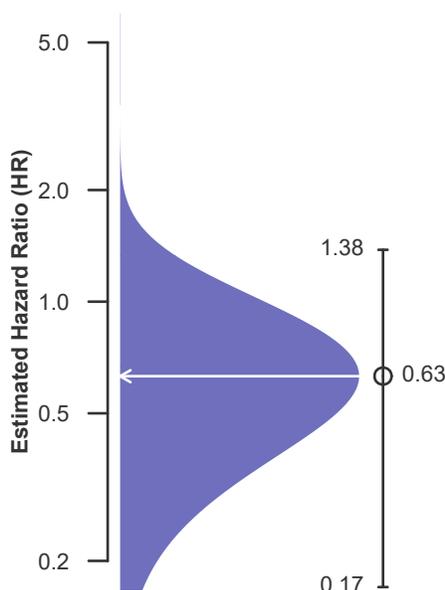
**Table C11D. Adult (18+) 1-month patient survival (deceased donor graft recipients)**  
**Single organ transplants performed between 01/01/2014 and 06/30/2016**  
**Retransplants excluded**

	TXSP	U.S.
Number of transplants evaluated	162	4,862
Estimated probability of surviving at 1 month (unadjusted for patient and donor characteristics)	98.77%	97.18%
Expected probability of surviving at 1 month (adjusted for patient and donor characteristics)	97.32%	--
Number of observed deaths during the first month after transplant	2	137
Number of expected deaths during the first month after transplant	4.35	--
Estimated hazard ratio*	0.63	--
95% credible interval for the hazard ratio**	[0.17, 1.38]	--

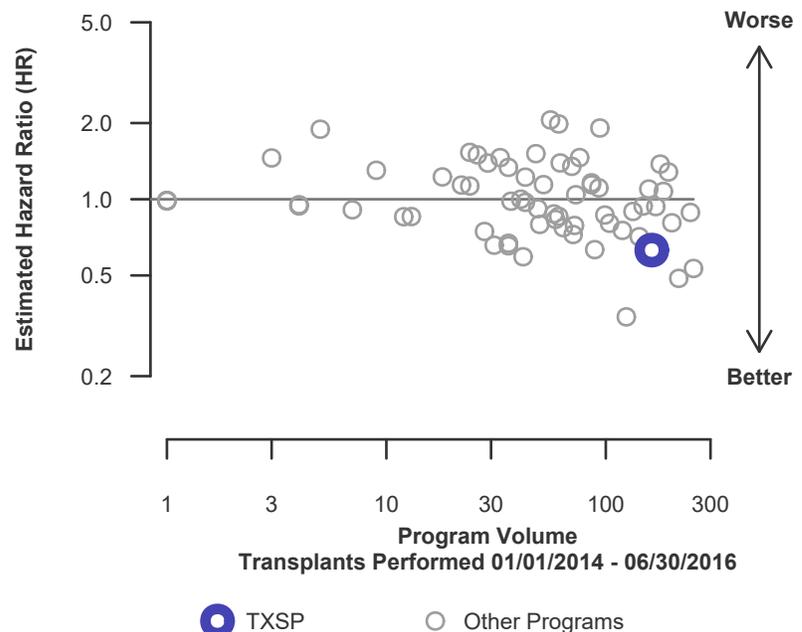
\* The hazard ratio provides an estimate of how UT Southwestern Medical Center/ (TXSP)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected patient death rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected patient death rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If TXSP's patient death rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

\*\* The 95% credible interval, [0.17, 1.38], indicates the location of TXSP's true hazard ratio with 95% probability. The best estimate is 37% lower risk of patient death compared to an average program, but TXSP's performance could plausibly range from 83% reduced risk up to 38% increased risk.

**Figure C13D. Adult (18+) 1-month patient death HR estimate (deceased donor grafts)**



**Figure C14D. Adult (18+) 1-month patient death HR program comparison (deceased donor grafts)**





## C. Transplant Information

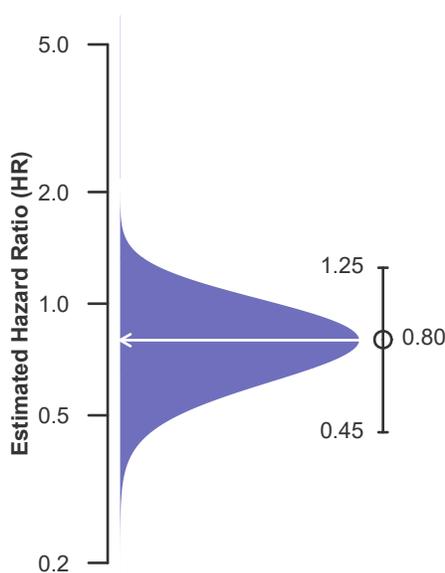
**Table C12D. Adult (18+) 1-year patient survival (deceased donor graft recipients)**  
Single organ transplants performed between 01/01/2014 and 06/30/2016  
Retransplants excluded

	TXSP	U.S.
Number of transplants evaluated	162	4,862
Estimated probability of surviving at 1 year (unadjusted for patient and donor characteristics)	90.49%	88.23%
Expected probability of surviving at 1 year (adjusted for patient and donor characteristics)	88.76%	--
Number of observed deaths during the first year after transplant	13	519
Number of expected deaths during the first year after transplant	16.82	--
Estimated hazard ratio*	0.80	--
95% credible interval for the hazard ratio**	[0.45, 1.25]	--

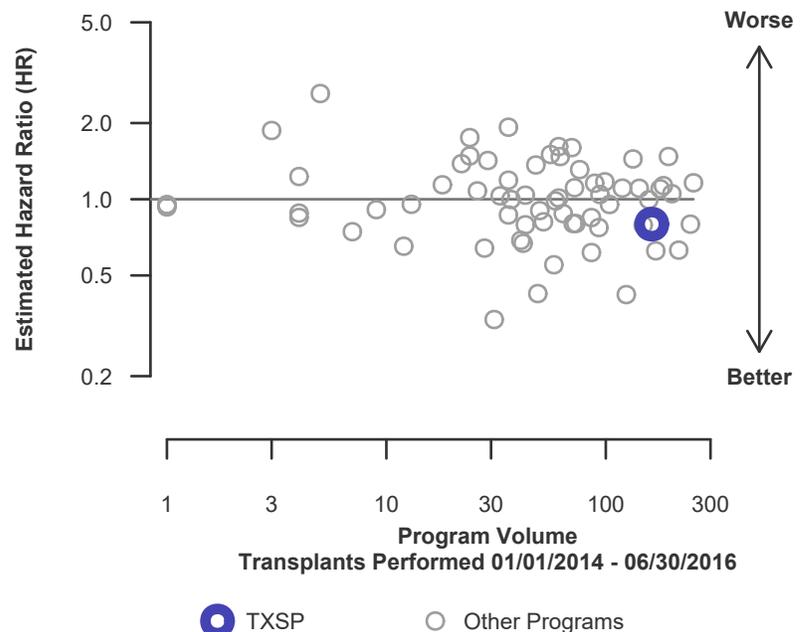
\* The hazard ratio provides an estimate of how UT Southwestern Medical Center/ (TXSP)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected patient death rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected patient death rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If TXSP's patient death rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

\*\* The 95% credible interval, [0.45, 1.25], indicates the location of TXSP's true hazard ratio with 95% probability. The best estimate is 20% lower risk of patient death compared to an average program, but TXSP's performance could plausibly range from 55% reduced risk up to 25% increased risk.

**Figure C15D. Adult (18+) 1-year patient death HR estimate (deceased donor grafts)**



**Figure C16D. Adult (18+) 1-year patient death HR program comparison (deceased donor grafts)**





## C. Transplant Information

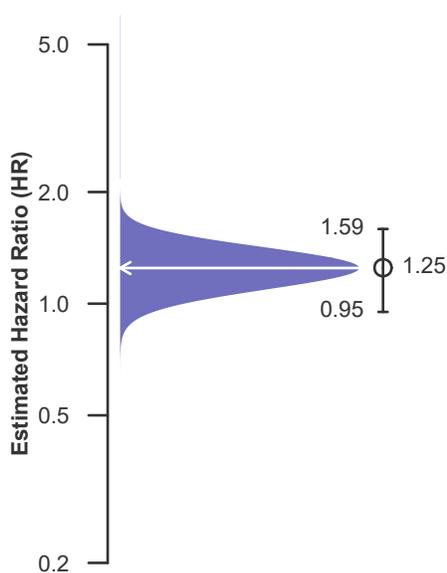
**Table C13D. Adult (18+) 3-year patient survival (deceased donor graft recipients)**  
**Single organ transplants performed between 07/01/2011 and 12/31/2013**  
**Retransplants excluded**

	TXSP	U.S.
Number of transplants evaluated	156	4,278
Estimated probability of surviving at 3 years (unadjusted for patient and donor characteristics)	64.10%	71.90%
Expected probability of surviving at 3 years (adjusted for patient and donor characteristics)	70.25%	--
Number of observed deaths during the first 3 years after transplant	56	1,202
Number of expected deaths during the first 3 years after transplant	44.49	--
Estimated hazard ratio*	1.25	--
95% credible interval for the hazard ratio**	[0.95, 1.59]	--

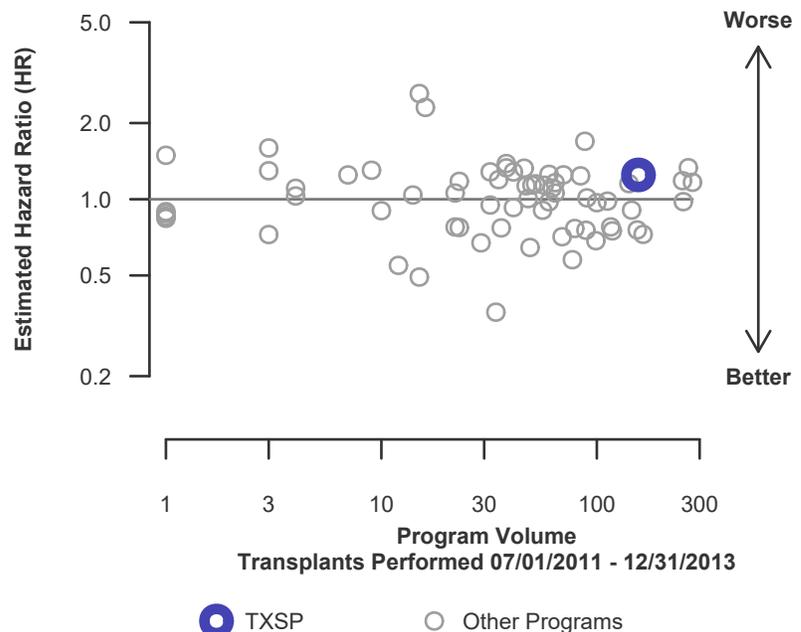
\* The hazard ratio provides an estimate of how UT Southwestern Medical Center/ (TXSP)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected patient death rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected patient death rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If TXSP's patient death rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

\*\* The 95% credible interval, [0.95, 1.59], indicates the location of TXSP's true hazard ratio with 95% probability. The best estimate is 25% higher risk of patient death compared to an average program, but TXSP's performance could plausibly range from 5% reduced risk up to 59% increased risk.

**Figure C17D. Adult (18+) 3-year patient death HR estimate (deceased donor grafts)**



**Figure C18D. Adult (18+) 3-year patient death HR program comparison (deceased donor grafts)**





## C. Transplant Information

**Table C14D. Pediatric (<18) 1-month patient survival (deceased donor graft recipients)  
Single organ transplants performed between 01/01/2014 and 06/30/2016  
Retransplants excluded**

	TXSP	U.S.
Number of transplants evaluated	2	94
Estimated probability of surviving at 1 month (unadjusted for patient and donor characteristics)	100.00%	96.81%
Expected probability of surviving at 1 month (adjusted for patient and donor characteristics)*	--%	--
Number of observed deaths during the first month after transplant	0	3
Number of expected deaths during the first month after transplant*	--	--
Estimated hazard ratio*	--	--
95% credible interval for the hazard ratio*	[-, -]	--

\* The expected number of patient deaths, the hazard ratio, and the credible interval are not calculated for pediatric (<18) recipients.

**Figure C19D. Pediatric (<18)  
1-month patient death HR  
estimate (deceased donor grafts)**

Expected patient deaths  
were not calculated

**Figure C20D. Pediatric (<18) 1-month patient death HR  
program comparison (deceased donor grafts)**

Expected patient deaths  
were not calculated



## C. Transplant Information

**Table C15D. Pediatric (<18) 1-year patient survival (deceased donor graft recipients)**  
**Single organ transplants performed between 01/01/2014 and 06/30/2016**  
**Retransplants excluded**

	TXSP	U.S.
Number of transplants evaluated	2	94
Estimated probability of surviving at 1 year (unadjusted for patient and donor characteristics)	100.00%	85.36%
Expected probability of surviving at 1 year (adjusted for patient and donor characteristics)*	--%	--
Number of observed deaths during the first year after transplant	0	13
Number of expected deaths during the first year after transplant*	--	--
Estimated hazard ratio*	--	--
95% credible interval for the hazard ratio*	[-, -]	--

\* The expected number of patient deaths, the hazard ratio, and the credible interval are not calculated for pediatric (<18) recipients.

**Figure C21D. Pediatric (<18) 1-year patient death HR estimate (deceased donor grafts)**

Expected patient deaths  
were not calculated

**Figure C22D. Pediatric (<18) 1-year patient death HR program comparison (deceased donor grafts)**

Expected patient deaths  
were not calculated



## C. Transplant Information

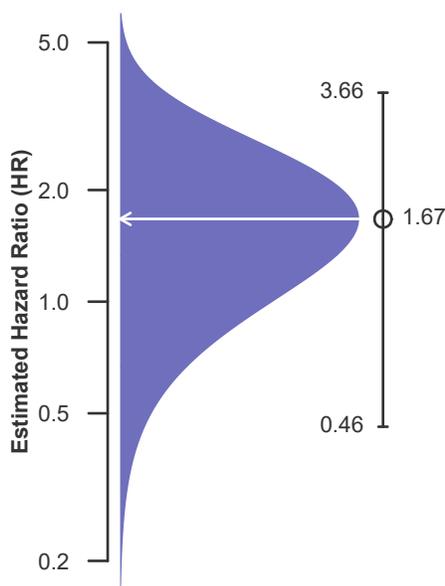
**Table C16D. Pediatric (<18) 3-year patient survival (deceased donor graft recipients)**  
Single organ transplants performed between 07/01/2011 and 12/31/2013  
Retransplants excluded

	TXSP	U.S.
Number of transplants evaluated	2	109
Estimated probability of surviving at 3 years (unadjusted for patient and donor characteristics)	0.00%	67.89%
Expected probability of surviving at 3 years (adjusted for patient and donor characteristics)	68.04%	--
Number of observed deaths during the first 3 years after transplant	2	35
Number of expected deaths during the first 3 years after transplant	0.39	--
Estimated hazard ratio*	1.67	--
95% credible interval for the hazard ratio**	[0.46, 3.66]	--

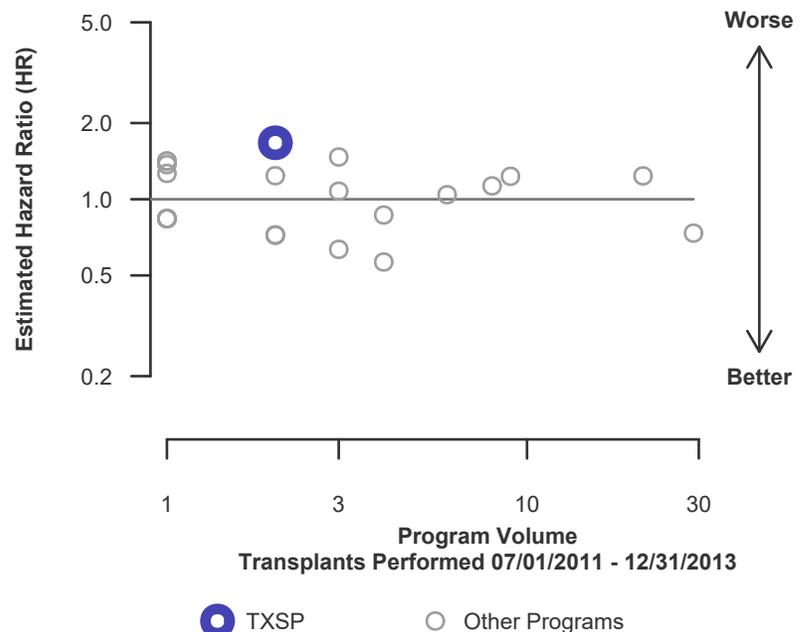
\* The hazard ratio provides an estimate of how UT Southwestern Medical Center/ (TXSP)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected patient death rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected patient death rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If TXSP's patient death rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

\*\* The 95% credible interval, [0.46, 3.66], indicates the location of TXSP's true hazard ratio with 95% probability. The best estimate is 67% higher risk of patient death compared to an average program, but TXSP's performance could plausibly range from 54% reduced risk up to 266% increased risk.

**Figure C23D. Pediatric (<18) 3-year patient death HR estimate (deceased donor grafts)**



**Figure C24D. Pediatric (<18) 3-year patient death HR program comparison (deceased donor grafts)**





## C. Transplant Information

Table C17. Multi-organ transplant graft survival: 01/01/2014 - 06/30/2016

### Adult (18+) Transplants

Transplant Type	First-Year Outcomes					
	Transplants Performed		Lung Graft Failures		Estimated Lung Graft Survival	
	TXSP-TX1	USA	TXSP-TX1	USA	TXSP-TX1	USA
Liver-Lung	1	19	0	3	100.0%	84.2%

### Pediatric (<18) Transplants

No pediatric (<18) multi-organ transplants were performed

Table C18. Multi-organ transplant patient survival: 01/01/2014 - 06/30/2016

### Adult (18+) Transplants

Transplant Type	First-Year Outcomes					
	Transplants Performed		Patient Deaths		Estimated Patient Survival	
	TXSP-TX1	USA	TXSP-TX1	USA	TXSP-TX1	USA
Liver-Lung	1	19	0	2	100.0%	89.5%

### Pediatric (<18) Transplants

No pediatric (<18) multi-organ transplants were performed