

Center Code: AZSJ SRTR Program-Specific Report Transplant Program (Organ): Lung Feedback?: SRTR@SRTR.org Release Date: July 6, 2021 (Corrected July 16, 2021) 1.877.970.SRTR (7787) Based on Data Available: April 30, 2021 http://www.srtr.org

#### **Correction Notice**

The lung risk-adjustment models for patient mortality after listing were updated on July 16, 2021, following initial release on July 6, 2021. Previously, the models included candidate pCO2 captured on the transplant candidate registration (TCR) form. This variable was removed in 2015 from the TCR form, but was kept in the risk-adjustment models resulting in a significant amount of missing values. As a result, the expected number of events for most lung patients was unrealistically low due to the least beneficial value adjustment for missing data.

Now, the lung risk-adjustment models no longer include the pCO2 variable captured on the TCR form, resulting in a better evaluation of patient mortality after listing for lung transplant candidates. This correction affects Figures B7 and B8.



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#### COVID-19 Guide

Adjustments to Transplant Program and OPO Evaluation Metrics

The Scientific Registry of Transplant Recipients (SRTR), under contract from the Health Resources and Services Administration (HRSA), is charged with evaluating the performance of the nation's transplant system through publication of semi-annual transplant program-specific reports (PSRs) and organ procurement organization (OPO)-specific reports (OSRs). These reports contain performance metrics covering various time periods. For OPOs, these metrics include eligible death conversion rates and deceased donor organ yield. For transplant programs, they include pre-transplant mortality rates (formerly called waitlist mortality rates), transplant rates, organ offer acceptance rates, patient mortality after listing, and 1-month, 1-year, and 3-year posttransplant outcomes including graft survival and patient survival.

In response to the current global pandemic, SRTR modified the evaluation metrics for transplant programs and OPOs for the reports released in January 2021. The reports released in January 2021 made adjustments to transplant program and OPO performance metrics so that data beyond the declaration of a national public health emergency on March 13, 2020, were not included in the metrics.

The SRTR Review Committee (SRC) reviewed the metrics at its meetings on January 20, 2021, and February 9, 2021, with the goal of determining whether continued adjustments are necessary, or if the SRTR should resume regular reporting of transplant program and OPO performance metrics. The committee reviewed data on how the pandemic has affected the nation's transplant system, and the extent to which the effects varied geographically and temporally. The committee made the following recommendations to SRTR. These recommendations were reviewed by the Health Resources and Services Administration's (HRSA's) Division of Transplantation, which oversees the SRTR. HRSA approved of these recommendations which the SRTR will implement for the July 2021 reporting cycle:

Posttransplant Outcomes (including 1-month, 1-year, and 3-year graft and patient survival): Evaluations cohorts will continue to exclude transplants and follow-up time beyond March 12, 2020.

1-month & 1-year Patient and Graft Survival Evaluations: Transplants 1/1/2018-3/12/2020; follow-up through 3/12/2020.

3-year Patient and Graft Survival Evaluations: Transplants 1/1/2015-12/31/2017; follow-up through 3/12/2020.

Pre-Transplant Mortality Rate (formerly called Waitlist Mortality Rate): Evaluation cohorts will be modified on an organ-specific basis:

Kidney and Lung: Candidates on the waitlist 1/1/2019-3/12/2020.

Liver, Heart, Pancreas, and Intestine: Candidates on the waitlist 1/1/2019-12/31/2020.

Transplant Rate: The first quarter following declaration of a national emergency will be excluded from the transplant rate evaluations for all organ types.

Candidates on the waitlist 1/1/2019-3/12/2020 and 6/13/2020-12/31/2020.



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Overall Rate of Mortality After Listing: Patient follow-up will continue to be truncated on 3/12/2020:

Evaluation period: 1/1/2019-3/12/2020.

Offer Acceptance Rate: These evaluations will return to normal reporting cohorts.

Offers received 1/1/2020-12/31/2020.

These decisions will apply to the evaluations released in the SRTR's semi-annual program-specific reports scheduled for release on July 6, 2021. These changes have been communicated to the leadership of the Organ Procurement and Transplantation Network's Membership and Professional Standards Committee (MSPC). These decisions will then be re-evaluated as more information becomes available in preparation for the release scheduled for January 2022.

As with the January 2021 reports, SRTR will continue to report descriptive data beyond March 12, 2020, e.g., waitlist counts, transplant counts, recipient characteristics, donor counts, donor characteristics, etc., but will alter data for performance evaluation metrics as described above.



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#### **User Guide**

This report contains a wide range of useful information about the lung transplant program at St. Joseph's Hospital and Medical Center (AZSJ). The report has three main sections:

- A. Program Summary
- B. Waiting List Information
- C. Transplant Information

The Program Summary is a one-page summary highlighting characteristics of the program, including the number of candidates on the waiting list, the number of transplants performed at the program, the number of patients being cared for by the program, and patient outcomes, including outcomes while on the waiting list (the transplant rate and the death rate while on the waiting list) and outcomes after transplant (patient and graft survival probabilities). If the program performed transplants in both adults and children, survival probabilities for adults and children (pediatrics) are provided separately. For each of the outcomes measures presented, a comparison is provided showing what would be expected at this program if it were performing as similar programs around the country perform when treating similar patients. More details regarding these outcome measures are provided in Sections B and C of the report.

The Waiting List Information section contains more detailed information on how many candidates are on the waiting list at the program, the types of candidates on the waiting list, how long candidates typically have to wait for a transplant at this program, how frequently candidates successfully receive a transplant, and how often candidates on the waiting list die before receiving a transplant.

Table B1 shows the activity on this program's waiting list during two recent 1-year periods and provides comparisons to all programs within this program's OPTN region (see http://optn.transplant.hrsa.gov/members/regions.asp for information on OPTN regions) and the nation as a whole. Tables B2 and B3 describe the candidates on the waiting list at this program, with comparisons to candidates waiting in the same donor service area (OPO/DSA) the OPTN region, and the nation as a whole.

Table B4 shows how many candidates were removed from the waiting list because they received a transplant. The program's transplant rate is calculated as the number of candidates who received a transplant divided by the person-years observed at the program (person-years is a combination of how many candidates were on the waiting list along with how long each candidate was followed since some candidates are not on the waiting list for the entire year). The transplant rate and comparisons to what would be expected at this program are presented in Figures B1 and B2. Figure B1 shows the transplant rate compared to what was expected at this program. The expected transplant rate is an estimate of what we would expect at this program if it were performing transplants at rates similar to other programs in the US with similar candidates on their waiting lists. The expected rate is only an estimate, and is made with a certain level of uncertainty. This uncertainty is shown in Figure B2. Figure B2 displays the ratio of the observed to the expected transplant rate. A ratio of 1 indicates that the observed transplant rate was equal to the expected transplant rate, while a ratio less than 1 indicates the observed rate was lower than expected rate and a ratio greater than 1 indicates the observed rate was higher than the expected rate. However, the level of uncertainty must be considered when interpreting these numbers. The 95% interval is also shown on Figure B2. This interval provides a range within which the true ratio of observed to expected transplant rates is likely to be. If this



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confidence interval includes (crosses) 1.0, then we cannot say that this program's observed transplant rate is different from what would be expected. The observed deceased donor transplant rate at this program was 1,057.7 per 100 person-years. Transplant rates are also provided for adult and pediatric patients separately along with comparisons to adult and pediatric rates in the DSA, the OPTN region, and the nation. Please refer to the PSR Technical Methods documentation available at http://www.srtr.org for more detail regarding how expected rates are calculated.

The pre-transplant mortality rate (previously called the waiting list mortality rate) for candidates on the waiting list is presented in Table B5 and Figures B4-B6. These data are presented in the same way as the transplant rate data in the previous section. The intent of this table and figures is to describe risk of death once candidates are listed rather than while they are listed, but before they are transplanted. Therefore, time at risk and deaths after removal from the waiting list for reasons other than transplant, transfer to another transplant program, or recovery (no longer needing a transplant), and before any subsequent transplant, are included. As with transplant rates, mortality rates should be interpreted carefully taking into consideration the interval displayed in Figure B5. For a complete description of how observed and expected mortality rates are calculated, please refer to the technical documentation available at http://www.srtr.org.

Survival from listing is presented in Table B6 and Figures B7-B9. These data are presented in the same way as the pre-transplant mortality rate data in the previous section. The intent of this table and figures is to describe risk of death once candidates are listed rather than while they are listed, including after a transplant. As with transplant rates, mortality rates should be interpreted carefully taking into consideration the interval displayed in Figure B8. For a complete description of how observed and expected mortality rates are calculated, please refer to the technical documentation available at http://www.srtr.org.

Table B7 presents information on what happens to candidates on the waiting list by three different time points after listing: 6 months, 12 months, and 18 months. The table displays percentages of candidates who have died, been removed from the waiting list, been transplanted, or been transferred or lost-to-follow-up. Tables B8 and B9 provide more detail regarding how many candidates have received a deceased donor transplant by certain time points during the first 3 years after being put on the transplant waiting list. Each row of Tables B8 and B9 presents the percent of candidates who received a deceased donor transplant by each time point. Table B10 presents data on the time it took for different percentages of patients to be transplanted for candidates added to the list between 01/01/2015 and 06/30/2020. The time it took for 5% (the 5th percentile) of patients to receive a transplant at this program was 0.1 months. If "Not Observed" is displayed in the table, then too few candidates received transplants before 12/31/2020 to calculate a particular percentile of transplant times.

Table B11 contains a summary of the offer acceptance practices of the program. The offer acceptance ratio indicates whether the program is more or less likely to accept offers than the average program. If the offer acceptance ratio is greater than 1.0, then the program tends to accept more offers than average; if the offer acceptance ratio is less than 1.0, then the program tends to accept fewer offers than average. Figure B10 shows the distribution of program offer acceptance rates as well as the offer acceptance rate for this program. Figures B11 - B15 similarly show offer acceptance rates for subsets of offers.



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The Transplant Information section begins with descriptions of transplant recipients in Tables C1 and C2. Data on recipients of deceased donor transplants are presented (Tables C1D and C2D); if applicable, data on recipients of living donor transplants are presented separately (Tables C1L and C2L). Comparisons to the region and the nation as a whole are provided. A description of the deceased donors used at this program is provided in Table C3D, along with characteristics of living donors in Table C3L, if applicable. Finally, information on the transplant procedure for deceased and living donor transplants is presented in Tables C4D and C4L, respectively.

Starting with Table C5, transplant outcomes are presented along with comparisons to what would be expected at this program and what happened in the nation as a whole. Tables C5-C10 present information on graft survival (survival of the transplanted organ), with data presented separately for adult and pediatric recipients. Patients are followed from the time of transplant until either failure of the transplanted organ or death, whichever comes first. Please refer to the technical methods for more information on these calculations (http://www.srtr.org).

While Tables C5-C10 present data on graft survival, Tables C11-C16 present information on patient survival. For these tables, patients are followed from the time of transplant until death, regardless of whether the transplant is functioning or the patient required another transplant to survive.

Tables C17 and C18 summarize the multiorgan transplant outcomes at this program. The summary statistics in these tables are descriptive and are not risk-adjusted for different donor and candidate characteristics.

Additional information regarding the technical methods and the risk adjustment models used to estimate expected event rates is available on the SRTR website at http://www.srtr.org. We welcome and encourage feedback on these reports. Please feel free to share feedback with the SRTR at the following e-mail: srtr@srtr.org.



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# **A. Program Summary**

Figure A1. Waiting list and transplant activity

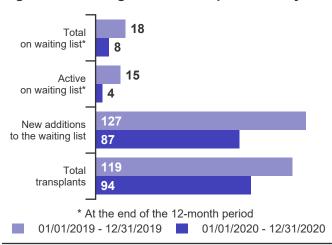


Table A1. Census of transplant recipients

Recipients	01/01/2019- 12/31/2019	01/01/2020- 12/31/2020
Transplanted at this center	119	94
Followed by this center*	406	456
transplanted at this program	n 390	440
transplanted elsewhere	16	16

<sup>\*</sup> Recipients followed are transplant recipients for whom the center has submitted a post-transplant follow-up form for a transplant that took place before the 12-month interval for each column.

Figure A2. Transplant rates 01/01/2019 - 03/12/2020, 06/13/2020 - 12/31/2020

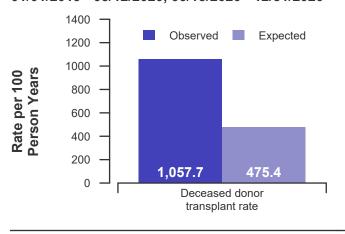


Figure A3. Pre-transplant mortality rates 01/01/2019 - 03/12/2020

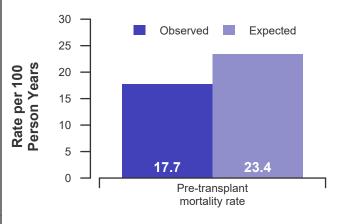


Figure A4. First-year adult graft and patient survival: 01/01/2018 - 03/12/2020

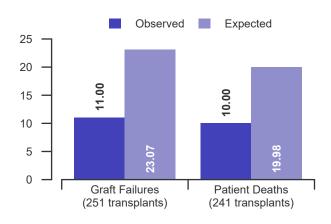
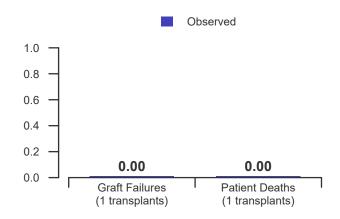


Figure A5. First-year pediatric graft and patient survival: 01/01/2018 - 03/12/2020





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Table B1. Waiting list activity summary: 01/01/2019 - 12/31/2020

		its for center	Activity for 01/01/2020 to 12/31/2020 as percent of registrants on waiting lis on 01/01/2020			
Waiting List Registrations	01/01/2019- 12/31/2019	01/01/2020- 12/31/2020	This Center (%)	OPTN Region (%)	U.S. (%)	
On waiting list at start Additions	16	18	100.0	100.0	100.0	
New listings at this center	127	87	483.3	163.6	190.0	
Removals						
Transferred to another center	0	0	0.0	1.5	1.4	
Received living donor transplant*	0	0	0.0	0.0	0.0	
Received deceased donor transplant*	119	94	522.2	174.5	180.3	
Died	0	0	0.0	8.7	10.6	
Transplanted at another center	0	0	0.0	1.5	2.3	
Deteriorated	4	3	16.7	6.9	9.2	
Recovered	0	0	0.0	2.9	4.6	
Other reasons	2	0	0.0	9.1	11.4	
On waiting list at end of period	18	8	44.4	58.5	70.1	

<sup>\*</sup> These patients were removed from waiting list with removal code indicating transplant; this may not equal the number of transplants performed at this center during the specified period.



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Table B2. Demographic characteristics of waiting list candidates Candidates registered on the waiting list between 01/01/2020 and 12/31/2020

Domographia Characteristic		ting List Regis		All Waiting List Registrations on 12/31/2020 (%)			
Demographic Characteristic	This Center (N=87)	OPTN Region (N=450)	U.S. (N=2,675)	This Center (N=8)	OPTN Region (N=161)	U.S. (N=987)	
All (%)	100.0	100.0	100.0	100.0	100.0	100.0	
Ethnicity/Race (%)*							
White	74.7	57.1	73.2	62.5	41.0	65.7	
African-American	1.1	5.3	10.2	12.5	8.1	13.8	
Hispanic/Latino	19.5	29.6	12.6	25.0	42.9	15.8	
Asian	2.3	6.9	3.3	0.0	8.1	3.7	
Other	2.3	1.1	8.0	0.0	0.0	1.0	
Unknown	0.0	0.0	0.0	0.0	0.0	0.0	
Age (%)							
<2 years	0.0	0.7	0.6	0.0	1.2	8.0	
2-11 years	0.0	0.2	0.3	0.0	0.6	0.6	
12-17 years	1.1	0.7	0.9	0.0	0.0	0.4	
18-34 years	8.0	9.1	6.5	12.5	13.7	9.1	
35-49 years	4.6	12.4	10.4	25.0	19.3	13.6	
50-64 years	35.6	42.9	45.5	25.0	45.3	48.0	
65-69 years	24.1	21.1	23.9	12.5	16.1	20.3	
70+ years	26.4	12.9	12.0	25.0	3.7	7.2	
Gender (%)							
Male	58.6	55.8	59.7	25.0	32.3	41.4	
Female	41.4	44.2	40.3	75.0	67.7	58.6	

<sup>\*</sup> Race and ethnicity are reported together as a single data element, reflecting their data collection (either race or ethnicity is required, but not both). Patients formerly coded as white and Hispanic are coded as Hispanic. Race and ethnicity sum to 100%.



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Table B3. Medical characteristics of waiting list candidates
Candidates registered on the waiting list between 01/01/2020 and 12/31/2020

Madical Characteristic		ting List Regis 020 to 12/31/20		All Waiting List Registrations on 12/31/2020 (%)			
Medical Characteristic	This Center (N=87)	OPTN Region (N=450)	U.S. (N=2,675)	This Center (N=8)	OPTN Region (N=161)	U.S. (N=987)	
All (%)	100.0	100.0	100.0	100.0	100.0	100.0	
Blood Type (%)							
0	54.0	50.9	47.0	62.5	67.1	59.5	
A	32.2	35.1	37.8	25.0	24.2	29.2	
В	9.2	11.6	11.5	0.0	6.8	9.3	
AB	4.6	2.4	3.7	12.5	1.9	2.0	
Unknown	0.0	0.0	0.0	0.0	0.0	0.0	
Previous Transplant (%)							
Yes	8.0	6.4	5.0	0.0	4.3	3.6	
No	92.0	93.6	95.0	100.0	95.7	96.4	
Unknown	0.0	0.0	0.0	0.0	0.0	0.0	
Primary Disease (%)							
Idiopathic Pulmonary Arterial Hypertension	1.1	8.2	8.0	0.0	13.0	10.1	
Cystic Fibrosis	3.4	2.7	2.7	12.5	6.8	5.4	
Idiopathic Pulmonary Fibrosis	82.8	73.1	67.0	62.5	53.4	48.0	
Emphysema/COPD	11.5	14.4	19.6	12.5	23.0	31.4	
Other	1.1	1.6	2.8	12.5	3.7	5.1	
Missing	0.0	0.0	0.0	0.0	0.0	0.0	



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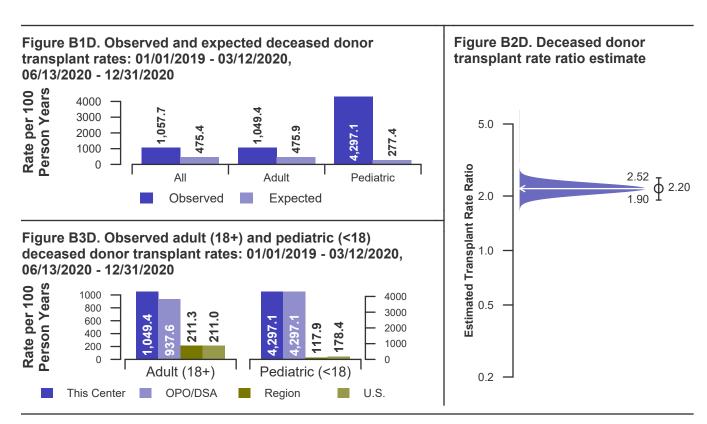
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Table B4D. Deceased donor transplant rates: 01/01/2019 - 03/12/2020, 06/13/2020 - 12/31/2020

Waiting List Registrations	This Center	OPO/DSA	Region	U.S.
All Candidates				
Count on waiting list at start*	16	18	242	1,445
Person Years**	18.2	26.7	386.8	2,280.2
Removals for Transplant	192	252	814	4,795
Adult (18+) Candidates				
Count on waiting list at start*	16	18	241	1,407
Person Years**	18.1	26.7	383.4	2,231.4
Removals for transpant	190	250	810	4,708
Pediatric (<18) Candidates				
Count on waiting list at start*	0	0	1	38
Person Years**	0.0	0.0	3.4	48.8
Removals for transplant	2	2	4	87

<sup>\*</sup> Counts in this table may be lower than similar counts in other waiting list tables, such as Table B1. A small percentage (~1%) of patients are found to have died or been transplanted before being removed from the waiting list, so these patients are excluded if the event occurs prior to the start of the study period. Inactive time on the waiting list is included in the calculations for this table.

<sup>\*\*</sup> Person years are calculated as days (converted to fractional years). The number of days from January 1 or from the date of first wait listing until death, transplant, removal from the waiting list or December 31.



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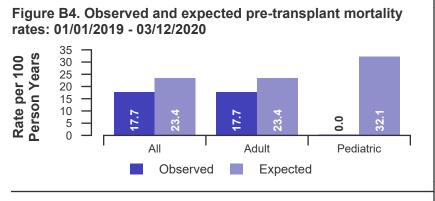
# **B. Waiting List Information**

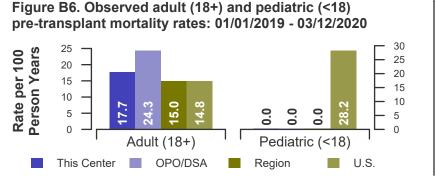
Table B5. Pre-transplant mortality rates: 01/01/2019 - 03/12/2020

Waiting List Registrations	This Center	OPO/DSA	Region	U.S.
All Candidates				
Count on waiting list at start*	16	18	242	1,445
Person Years**	16.9	24.8	322.6	1,882.1
Number of deaths	3	6	48	285
Adult (18+) Candidates				
Count on waiting list at start*	16	18	241	1,407
Person Years**	16.9	24.7	320.6	1,839.5
Number of deaths	3	6	48	273
Pediatric (<18) Candidates				
Count on waiting list at start*	0	0	1	38
Person Years**	0.0	0.0	2.0	42.6
Number of deaths	0	0	0	12

<sup>\*</sup> Counts in this table may be lower than similar counts in other waiting list tables, such as Table B1. A small percentage (~1%) of patients are found to have died or been transplanted before being removed from the waiting list, so these patients are excluded if the event occurs prior to the start of the study period. Inactive time on the waiting list is included in the calculations for this table.

<sup>\*\*</sup> Person years are calculated as days (converted to fractional years). The number of days from January 1 or from the date of first wait listing until death, transplant, 60 days after recovery, transfer or March 12, 2020.





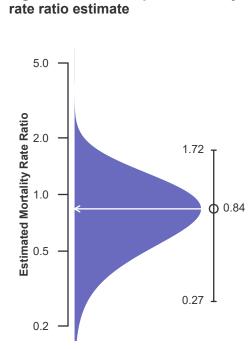


Figure B5. Pre-transplant mortality



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## **B.** Waiting List Information

Table B6. Rates of patient mortality after listing: 01/01/2019 - 03/12/2020

Waiting List Registrations	This Center	OPO/DSA	Region	U.S.
All Patients				
Count at risk during the evaluation period	536	661	2,318	14,137
Person-years*	500.5	615.1	2,128.4	12,881.5
Number of Deaths	40	57	198	1,365
Adult (18+) Patients				
Count at risk during the evaluation period	533	657	2,296	13,862
Person-years*	497.2	611.1	2,107.1	12,636.9
Number of Deaths	40	56	195	1,331
Pediatric (<18) Patients				
Count at risk during the evaluation period	3	4	22	275
Person-years*	3.3	4.0	21.3	244.6
Number of Deaths	0	1	3	34

<sup>\*</sup> Person-years are calculated as days (converted to fractional years). The number of days from 01/01/2019, or from the date of first wait listing until death, reaching 5 years after listing or March 12, 2020.

Correction: Figures B7 and B8 have been corrected. See the Correction Notice on for a detailed explanation of the changes.

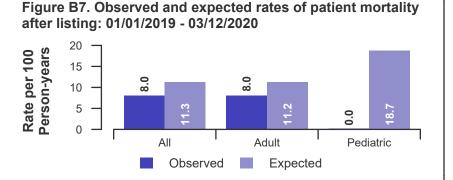


Figure B9. Observed adult (18+) and pediatric (<18) rates of patient mortality after listing: 01/01/2019 - 03/12/2020

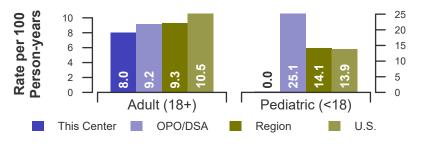
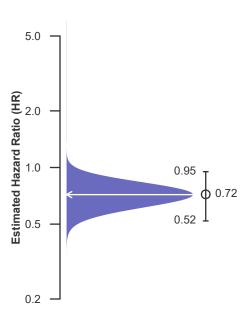


Figure B8. HR estimate of patient mortality after listing



<sup>\*\*</sup> Patient mortality after listing describes the relative survival experience of patients after listing. It depends on many factors, some of which are outside of the control of the transplant program. For example, availability of organs may not be the same in every part of the country.



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Table B7. Waiting list candidate status after listing Candidates registered on waiting list between 07/01/2018 and 06/30/2019

Waiting list status (survival status)		Center (Nans Since L	•		S. (N=3,15 ns Since L	,
	6	12	18	6	12	18
Alive on waiting list (%)	1.7	0.0	0.0	24.0	12.0	6.4
Died on the waiting list without transplant (%)	0.0	0.0	0.0	3.7	4.3	4.6
Removed without transplant (%):						
Condition worsened (status unknown)	2.6	2.6	2.6	3.4	4.1	4.4
Condition improved (status unknown)	0.0	0.0	0.0	0.3	0.6	1.3
Refused transplant (status unknown)	0.0	0.0	0.0	0.3	0.3	0.3
Other	2.6	2.6	2.6	1.6	2.5	3.0
Transplant (living or deceased donor) (%):						
Functioning (alive)	89.6	80.0	60.0	62.0	64.4	45.7
Failed-Retransplanted (alive)	0.0	0.0	0.0	0.1	0.1	0.2
Failed-alive not retransplanted	0.0	0.0	0.0	0.1	0.0	0.1
Died	3.5	7.0	8.7	3.9	7.0	10.0
Status Yet Unknown*	0.0	7.8	26.1	0.6	4.4	23.6
Lost or Transferred (status unknown) (%)	0.0	0.0	0.0	0.2	0.4	0.4
TOTAL (%)	100.0	100.0	100.0	100.0	100.0	100.0
Total % known died on waiting list or after transplant	3.5	7.0	8.7	7.6	11.4	14.6
Total % known died or removed as unstable	6.1	9.6	11.3	11.0	15.4	19.0
Total % removed for transplant	93.0	94.8	94.8	66.6	75.8	79.5
Total % with known functioning transplant (alive)	89.6	80.0	60.0	62.0	64.4	45.7

<sup>\*</sup> Follow-up form covering specified time period not yet completed, and possibly has not become due.



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### **B.** Waiting List Information

Table B8. Percent of candidates with deceased donor transplants: demographic characteristics Candidates registered on the waiting list between 01/01/2015 and 12/31/2017

Oh ava ata viatia				-	nted at t	ime pei	riods sin		_	
Characteristic	N		nis Cent 1 year		3 years	N		ited Sta 1 year		3 years
All	306	75.8	96.7	96.7	96.7	8,456	29.8	72.2	77.4	79.1
Ethnicity/Race*										
White	245	74.7	96.7	96.7	96.7	6,620	30.2	73.7	78.8	80.5
African-American	7	100.0	100.0	100.0	100.0	842	27.0	69.1	74.6	76.2
Hispanic/Latino	38	76.3	97.4	97.4	97.4	713	31.4	66.5	72.1	73.6
Asian	8	75.0	100.0	100.0	100.0	227	25.1	60.4	67.0	68.3
Other	8	87.5	87.5	87.5	87.5	54	22.2	59.3	64.8	70.4
Unknown	0					0				
Age										
<2 years	0					27	7.4	51.9	55.6	55.6
2-11 years	0					51	3.9	54.9	62.7	64.7
12-17 years	3	100.0	100.0	100.0	100.0	118	25.4	64.4	69.5	69.5
18-34 years	21	81.0	90.5	90.5	90.5	833	31.9	71.7	77.2	78.5
35-49 years	23	73.9	95.7	95.7	95.7	1,021	26.9	66.8	72.5	74.1
50-64 years	127	74.0	96.9	96.9	96.9	3,942	28.2	71.8	77.7	79.9
65-69 years	75	76.0	97.3	97.3	97.3	1,775	32.0	74.4	79.0	80.2
70+ years	57	77.2	98.2	98.2	98.2	689	38.5	80.3	82.6	83.0
Gender										
Male	170	85.3	96.5	96.5	96.5	4,742	36.1	78.6	82.4	83.3
Female	136	64.0	97.1	97.1	97.1	3,714	21.8	63.9	71.1	73.7

<sup>\*</sup> Race and ethnicity are reported together as a single data element, reflecting their data collection (either race or ethnicity is required, but not both). Patients formerly coded as white and Hispanic are coded as Hispanic. Race and ethnicity sum to 100%.



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Percent transplanted at time periods since listing

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Table B9. Percent of candidates with deceased donor transplants: medical characteristics Candidates registered on the waiting list between 01/01/2015 and 12/31/2017

Characteristic			nis Cent		illeu al i	iiile pei	Un	ited Sta	•	
	N	30 day	1 year	2 years	3 years	N	30 day	1 year	2 years	3 years
All	306	75.8	96.7	96.7	96.7	8,456	29.8	72.2	77.4	79.1
Blood Type										
0	153	72.5	95.4	95.4	95.4	3,891	28.5	69.0	75.0	76.8
A	119	81.5	99.2	99.2	99.2	3,267	31.4	75.8	80.1	81.7
В	26	65.4	92.3	92.3	92.3	992	27.9	70.7	76.9	78.5
AB	8	87.5	100.0	100.0	100.0	306	36.6	78.8	81.7	82.4
Previous Transplant										
Yes	19	73.7	94.7	94.7	94.7	324	32.7	67.9	68.8	69.4
No	287	76.0	96.9	96.9	96.9	8,132	29.7	72.3	77.8	79.5
Primary Disease										
Congenital Disease	0					0				
Retransplant/Graft Failure	0					0				
Idiopathic Pulmonary Arterial Hypertension	15	46.7	86.7	86.7	86.7	445	19.6	53.3	57.8	60.0
Cystic Fibrosis	12	100.0	100.0	100.0	100.0	914	29.8	74.4	80.7	81.8
Idiopathic Pulmonary Fibrosis	179	84.4	97.2	97.2	97.2	4,801	35.0	75.0	78.5	79.5
Alpha-1-Antitrypsin Deficiency	0					0				
Emphysema/COPD	94	60.6	96.8	96.8	96.8	2,051	20.6	69.6	78.4	81.9
Other	6	83.3	100.0	100.0	100.0	245	24.5	64.1	70.2	71.8
Missing	0					0				



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# **B.** Waiting List Information

Table B10. Time to transplant for waiting list candidates\*

Candidates registered on the waiting list between 01/01/2015 and 06/30/2020

Percentile	Center	OPO/DSA	Region	U.S.
5th	0.1	0.1	0.1	0.2
10th	0.1	0.1	0.2	0.2
25th	0.2	0.2	0.5	0.7
50th (median time to transplant)	0.5	0.5	2.2	2.6
75th	1.1	1.4	10.7	12.0

<sup>\*</sup> If cells contain "Not Observed" fewer than that percentile of patients had received a transplant. For example, the 50th percentile of time to transplant is the time when 50% of candidates have received transplants. If waiting times are long, then the 50th percentile may not be observed during the follow-up period for this table. Also, if more than 50% of candidates are removed from the list due to death or other reasons before receiving transplants, then the 50th percentile of time to transplant will not be observed.

<sup>\*\*</sup> Censored on 12/31/2020. Calculated as the months after listing, during which the corresponding percent of all patients initially listed had received a transplant.



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Table B11. Offer Acceptance Practices: 01/01/2020 - 12/31/2020

Offers Acceptance Characteristics	This Center	OPO/DSA	Region	U.S.
Overall				
Number of Offers	396	482	4,213	45,155
Number of Acceptances	94	130	476	2,507
Expected Acceptances	41.4	52.7	294.6	2,506.3
Offer Acceptance Ratio*	2.21	2.41	1.61	1.00
95% Credible Interval**	[1.79, 2.68]			
PHS increased infectious risk				
Number of Offers	121	139	1,088	13,299
Number of Acceptances	24	32	113	712
Expected Acceptances	12.2	14.2	67.0	715.4
Offer Acceptance Ratio*	1.83	2.09	1.67	1.00
95% Credible Interval**	[1.20, 2.60]			
Donor was current smoker				
Number of Offers	28	28	253	5,300
Number of Acceptances	3	3	18	170
Expected Acceptances	1.5	1.5	11.0	171.1
Offer Acceptance Ratio*	1.43	1.43	1.53	0.99
95% Credible Interval**	[0.46, 2.92]			
Donor age >= 55				
Number of Offers	61	68	732	5,849
Number of Acceptances	10	12	59	268
Expected Acceptances	4.7	5.3	39.3	272.2
Offer Acceptance Ratio*	1.80	1.92	1.48	0.98
95% Credible Interval**	[0.93, 2.96]			
Hard-to-Place Lungs (Over 50 Offers)				
Number of Offers	66	86	781	11,874
Number of Acceptances	11	15	29	260
Expected Acceptances	2.1	2.7	18.5	263.3
Offer Acceptance Ratio*	3.16	3.60	1.51	0.99
95% Credible Interval**	[1.68, 5.09]			
Donor more than 500 miles away				
Number of Offers	146	169	829	10,389
Number of Acceptances	26	37	59	445
Expected Acceptances	7.8	9.0	38.6	413.9
Offer Acceptance Ratio*	2.86	3.56	1.50	1.07
95% Credible Interval**	[1.90, 4.01]			

<sup>\*</sup> The offer acceptance ratio estimates the relative offer acceptance practice of St. Joseph's Hospital and Medical Center (AZSJ) compared to the national offer acceptance practice. A ratio above one indicates the program is more likely to accept an offer compared to national offer acceptance practices (e.g., an offer acceptance ratio of 1.25 indicates a 25% more likely to accept an offer), while a ratio below one indicates the program is less likely to accept an offer compared to national offer acceptance practices (e.g., an offer acceptance ratio of 0.75 indicates a 25% less likely to accept an offer).

<sup>\*\*</sup> As an example, the 95% Credible Interval for the overall offer acceptance ratio, [1.79, 2.68], indicates the location of AZSJ's true offer acceptance ratio with 95% probability. The best estimate is 121% more likely to accept an offer compared to national acceptance behavior, but AZSJ's performance could plausibly range from 79% higher acceptance up to 168% higher acceptance.



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Figure B10. Offer acceptance: Overall

Figure B11. Offer acceptance: PHS increased infectious risk

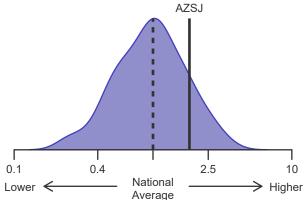
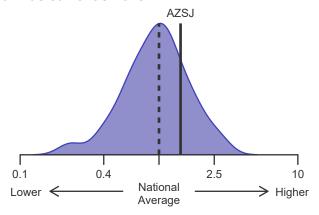


Figure B12. Offer acceptance: Donor was current smoker

Figure B13. Offer acceptance: Donor age >= 55



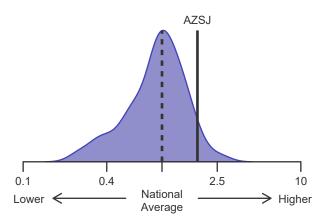
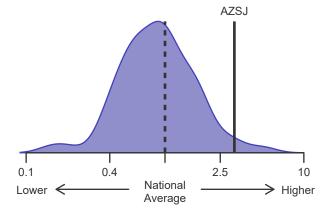
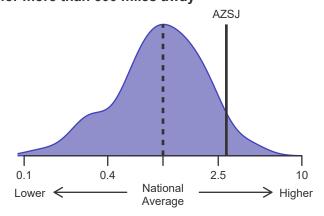


Figure B14. Offer acceptance: Offer number > 50 Figure B15. Offer acceptance: Donor more than 500 miles away







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# **C. Transplant Information**

# Table C1D. Deceased donor transplant recipient demographic characteristics Patients transplanted between 01/01/2020 and 12/31/2020

	Percei	Percentage in each category		
Characteristic	Center (N=94)	Region (N=480)	U.S. (N=2,539)	
Ethnicity/Race (%)*				
White	79.8	63.8	75.1	
African-American	0.0	5.0	10.1	
Hispanic/Latino	16.0	24.0	10.9	
Asian	2.1	5.6	3.0	
Other	2.1	1.7	0.9	
Unknown	0.0	0.0	0.0	
Age (%)				
<2 years	0.0	0.0	0.4	
2-11 years	0.0	0.0	0.2	
12-17	1.1	0.2	0.7	
18-34	5.3	6.9	5.3	
35-49 years	2.1	10.0	9.6	
50-64 years	37.2	44.4	45.2	
65-69 years	23.4	23.1	25.1	
70+ years	30.9	15.4	13.5	
Gender (%)				
Male	63.8	58.3	58.7	
Female	36.2	41.7	41.3	

<sup>\*</sup> Race and ethnicity are reported together as a single data element, reflecting their data collection (either race or ethnicity is required, but not both). Patients formerly coded as white and Hispanic are coded as Hispanic. Race and ethnicity sum to 100%.



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### **C. Transplant Information**

#### Table C2D. Deceased donor transplant recipient medical characteristics Patients transplanted between 01/01/2020 and 12/31/2020

	Percei	Percentage in each category		
Characteristic	Center (N=94)	Region (N=480)	U.S. (N=2,539)	
Blood Type (%)				
0	53.2	51.9	45.9	
A	36.2	34.6	39.4	
В	8.5	10.8	10.9	
AB	2.1	2.7	3.8	
Previous Transplant (%)				
Yes	5.3	6.0	4.1	
No	94.7	94.0	95.9	
Body Mass Index (%)				
0-20	11.7	18.8	16.3	
21-25	23.4	32.1	31.0	
26-30	38.3	37.1	39.1	
31-35	25.5	11.7	12.9	
36-40	1.1	0.4	0.5	
41+	0.0	0.0	0.2	
Unknown	0.0	0.0	0.0	
Primary Disease (%)				
Idiopathic Pulmonary Arterial Hypertension	4.3	6.7	6.9	
Cystic Fibrosis	3.2	4.4	4.2	
Idiopathic Pulmonary Fibrosis	75.5	65.8	61.1	
Emphysema/COPD	14.9	21.0	24.5	
Other	2.1	1.9	3.2	
Missing	0.0	0.2	0.1	
Recipient Medical Condition at Transplant (%)				
Not Hospitalized	76.6	71.5	77.3	
Hospitalized	8.5	11.7	9.0	
ICU	14.9	16.9	13.7	
Unknown	0.0	0.0	0.0	



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## **C. Transplant Information**

# Table C3D. Deceased donor characteristics Transplants performed between 01/01/2020 and 12/31/2020

	Percentage in each category		
Donor Characteristic	Center (N=94)	Region (N=480)	U.S. (N=2,539)
Cause of Death (%)			
Deceased: Stroke	27.7	24.0	25.1
Deceased: MVA	22.3	18.5	13.5
Deceased: Other	50.0	57.5	61.4
Ethnicity/Race (%)*			
White	46.8	45.4	58.4
African-American	7.4	5.8	18.9
Hispanic/Latino	37.2	37.7	18.2
Asian	5.3	8.1	3.4
Other	3.2	2.9	1.0
Not Reported	0.0	0.0	0.0
Age (%)			
<2 years	0.0	0.0	0.3
2-11 years	0.0	0.2	0.6
12-17	9.6	5.6	5.9
18-34	48.9	50.6	46.1
35-49 years	26.6	24.2	28.7
50-64 years	13.8	18.1	17.3
65-69 years	1.1	0.8	1.0
70+ years	0.0	0.4	0.2
Gender (%)			
Male	60.6	64.2	61.1
Female	39.4	35.8	38.9
Blood Type (%)			
0	62.8	59.4	51.9
A	30.9	30.4	36.0
В	5.3	9.2	10.6
AB	1.1	1.0	1.5
Unknown	0.0	0.0	0.0

<sup>\*</sup> Race and ethnicity are reported together as a single data element, reflecting their data collection (either race or ethnicity is required, but not both). Patients formerly coded as white and Hispanic are coded as Hispanic. Race and ethnicity sum to 100%.



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# **C. Transplant Information**

#### Table C4D. Deceased donor transplant characteristics Transplants performed between 01/01/2020 and 12/31/2020

	Percei	Percentage in each category		
Transplant Characteristic	Center (N=94)	Region (N=480)	U.S. (N=2,539)	
Total Ischemic Time (Minutes): Local (%)				
Deceased: 0-90 min	0.0	0.9	0.3	
Deceased: 91-180 min	34.3	9.0	8.7	
Deceased: 181-270 min	54.3	48.9	40.0	
Deceased: 271-360 min	8.6	30.9	32.0	
Deceased: 361+ min	2.9	10.3	18.3	
Not Reported	0.0	0.0	0.8	
Total Ischemic Time (Minutes): Shared (%)				
Deceased: 0-90 min	0.0	1.6	0.5	
Deceased: 91-180 min	0.0	1.2	1.3	
Deceased: 181-270 min	25.4	17.4	13.9	
Deceased: 271-360 min	44.1	47.0	37.5	
Deceased: 361+ min	30.5	32.8	46.1	
Not Reported	0.0	0.0	0.7	
Procedure Type (%)				
Single organ	100.0	99.2	98.7	
Multi organ	0.0	8.0	1.3	
Donor Location (%)				
Local Donation Service Area (DSA)	37.2	48.5	28.5	
Another Donation Service Area (DSA)	62.8	51.5	71.5	
Median Time in Hospital After Transplant*	17.0 Days	16.0 Days	19.0 Days	

<sup>\*</sup> Multi organ transplants are excluded from this statistic.

#### St. Joseph's Hospital and Medical Center

Center Code: AZSJ Transplant Program (Organ): Lung

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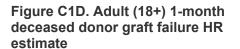
## C. Transplant Information

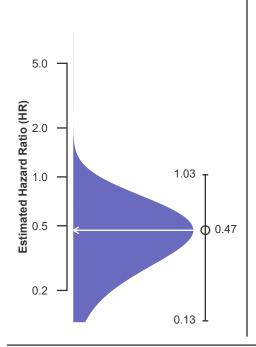
Table C5D. Adult (18+) 1-month survival with a functioning deceased donor graft Single organ transplants performed between 01/01/2018 and 03/12/2020 Deaths and retransplants are considered graft failures

Deaths and retransplants are considered graft failures	AZSJ	U.S.
Number of transplants evaluated	251	5,674
Estimated probability of surviving with a functioning graft at 1 month (unadjusted for patient and donor characteristics)	99.20%	97.38%
Expected probability of surviving with a functioning graft at 1 month (adjusted for patient and donor characteristics)	97.32%	
Number of observed graft failures (including deaths) during the first month after transplant	2	146
Number of expected graft failures (including deaths) during the first month after transplant	6.52	
Estimated hazard ratio*	0.47	
95% credible interval for the hazard ratio**	[0.13, 1.03]	

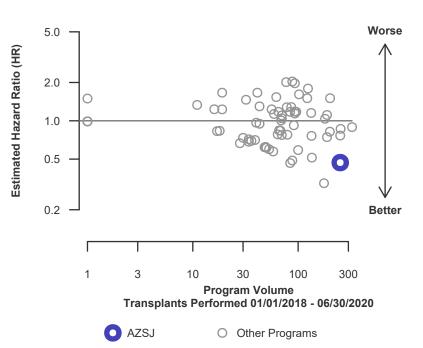
<sup>\*</sup> The hazard ratio provides an estimate of how St. Joseph's Hospital and Medical Center (AZSJ)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected graft failure rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected graft failure rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If AZSJ's graft failure rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.13, 1.03], indicates the location of AZSJ's true hazard ratio with 95% probability. The best estimate is 53% lower risk of graft failure compared to an average program, but AZSJ's performance could plausibly range from 87% reduced risk up to 3% increased risk.





# Figure C2D. Adult (18+) 1-month deceased donor graft failure HR program comparison



#### St. Joseph's Hospital and Medical Center

Center Code: AZSJ Transplant Program (Organ): Lung

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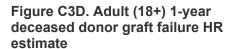
# **C. Transplant Information**

Table C6D. Adult (18+) 1-year survival with a functioning deceased donor graft Single organ transplants performed between 01/01/2018 and 03/12/2020 Deaths and retransplants are considered graft failures

Deaths and retransplants are considered graft failures	AZSJ	U.S.
Number of transplants evaluated	251	5,674
Estimated probability of surviving with a functioning graft at 1 year (unadjusted for patient and donor characteristics)	94.49%	89.07%
Expected probability of surviving with a functioning graft at 1 year (adjusted for patient and donor characteristics)	88.75%	
Number of observed graft failures (including deaths) during the first year after transplant	11	506
Number of expected graft failures (including deaths) during the first year after transplant	23.07	
Estimated hazard ratio*	0.52	
95% credible interval for the hazard ratio**	[0.28, 0.84]	

<sup>\*</sup> The hazard ratio provides an estimate of how St. Joseph's Hospital and Medical Center (AZSJ)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected graft failure rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected graft failure rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If AZSJ's graft failure rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.28, 0.84], indicates the location of AZSJ's true hazard ratio with 95% probability. The best estimate is 48% lower risk of graft failure compared to an average program, but AZSJ's performance could plausibly range from 72% reduced risk up to 16% reduced risk.



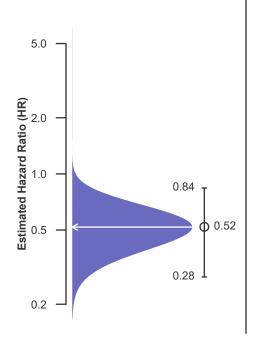
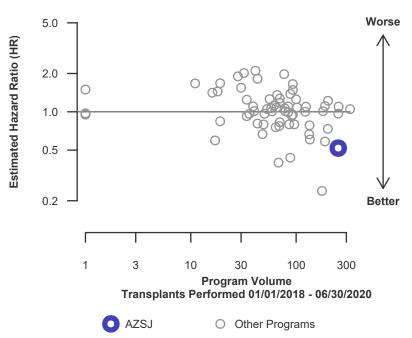


Figure C4D. Adult (18+) 1-year deceased donor graft failure HR program comparison



#### St. Joseph's Hospital and Medical Center

Center Code: AZSJ Transplant Program (Organ): Lung

Release Date: July 6, 2021 (Corrected July 16, 2021) Based on Data Available: April 30, 2021

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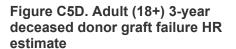
# C. Transplant Information

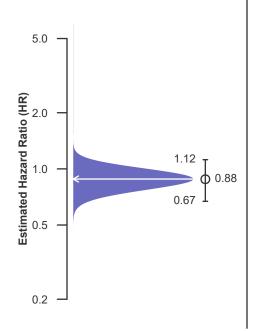
Table C7D. Adult (18+) 3-year survival with a functioning deceased donor graft Single organ transplants performed between 07/01/2015 and 12/31/2017 Deaths and retransplants are considered graft failures

Deaths and retransplants are considered graft failures	AZSJ	U.S.
Number of transplants evaluated	240	5,700
Estimated probability of surviving with a functioning graft at 3 years (unadjusted for patient and donor characteristics)	75.63%	73.63%
Expected probability of surviving with a functioning graft at 3 years (adjusted for patient and donor characteristics)	73.20%	
Number of observed graft failures (including deaths) during the first 3 years after transplant	56	1,433
Number of expected graft failures (including deaths) during the first 3 years after transplant	63.74	
Estimated hazard ratio*	0.88	
95% credible interval for the hazard ratio**	[0.67, 1.12]	

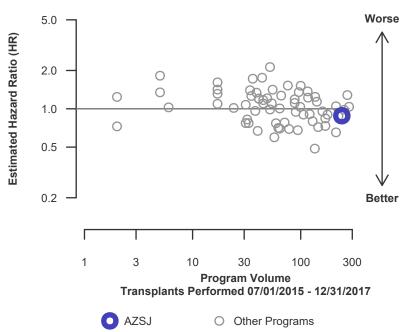
<sup>\*</sup> The hazard ratio provides an estimate of how St. Joseph's Hospital and Medical Center (AZSJ)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected graft failure rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected graft failure rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If AZSJ's graft failure rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.67, 1.12], indicates the location of AZSJ's true hazard ratio with 95% probability. The best estimate is 12% lower risk of graft failure compared to an average program, but AZSJ's performance could plausibly range from 33% reduced risk up to 12% increased risk.





# Figure C6D. Adult (18+) 3-year deceased donor graft failure HR program comparison



#### St. Joseph's Hospital and Medical Center

Center Code: AZSJ Transplant Program (Organ): Lung

Release Date: July 6, 2021 (Corrected July 16, 2021) Based on Data Available: April 30, 2021

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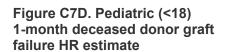
## C. Transplant Information

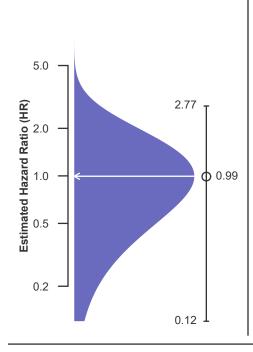
Table C8D. Pediatric (<18) 1-month survival with a functioning deceased donor graft Single organ transplants performed between 01/01/2018 and 03/12/2020 Deaths and retransplants are considered graft failures

Deaths and retransplants are considered graft failures	AZSJ	U.S.
Number of transplants evaluated	1	95
Estimated probability of surviving with a functioning graft at 1 month (unadjusted for patient and donor characteristics)	100.00%	98.94%
Expected probability of surviving with a functioning graft at 1 month (adjusted for patient and donor characteristics)	98.94%	
Number of observed graft failures (including deaths) during the first month after transplant	0	1
Number of expected graft failures (including deaths) during the first month after transplant	0.01	
Estimated hazard ratio*	0.99	
95% credible interval for the hazard ratio**	[0.12, 2.77]	

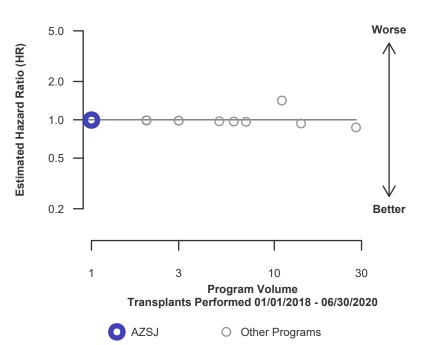
<sup>\*</sup> The hazard ratio provides an estimate of how St. Joseph's Hospital and Medical Center (AZSJ)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected graft failure rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected graft failure rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If AZSJ's graft failure rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.12, 2.77], indicates the location of AZSJ's true hazard ratio with 95% probability. The best estimate is 1% lower risk of graft failure compared to an average program, but AZSJ's performance could plausibly range from 88% reduced risk up to 177% increased risk.





# Figure C8D. Pediatric (<18) 1-month deceased donor graft failure HR program comparison



#### St. Joseph's Hospital and Medical Center

Center Code: AZSJ
Transplant Program (Organ): Lung

Release Date: July 6, 2021 (Corrected July 16, 2021) Based on Data Available: April 30, 2021

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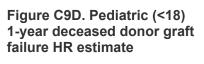
## C. Transplant Information

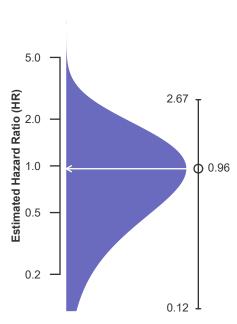
Table C9D. Pediatric (<18) 1-year survival with a functioning deceased donor graft Single organ transplants performed between 01/01/2018 and 03/12/2020 Deaths and retransplants are considered graft failures

Deaths and retransplants are considered graft failures	AZSJ	U.S.
Number of transplants evaluated	1	95
Estimated probability of surviving with a functioning graft at 1 year (unadjusted for patient and donor characteristics)	100.00%	89.49%
Expected probability of surviving with a functioning graft at 1 year (adjusted for patient and donor characteristics)	89.57%	
Number of observed graft failures (including deaths) during the first year after transplant	0	7
Number of expected graft failures (including deaths) during the first year after transplant	0.09	
Estimated hazard ratio*	0.96	
95% credible interval for the hazard ratio**	[0.12, 2.67]	

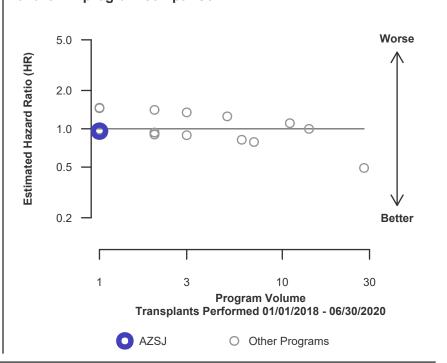
<sup>\*</sup> The hazard ratio provides an estimate of how St. Joseph's Hospital and Medical Center (AZSJ)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected graft failure rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected graft failure rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If AZSJ's graft failure rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.12, 2.67], indicates the location of AZSJ's true hazard ratio with 95% probability. The best estimate is 4% lower risk of graft failure compared to an average program, but AZSJ's performance could plausibly range from 88% reduced risk up to 167% increased risk.





# Figure C10D. Pediatric (<18) 1-year deceased donor graft failure HR program comparison



#### St. Joseph's Hospital and Medical Center

Center Code: AZSJ Transplant Program (Organ): Lung

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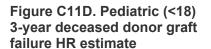
## C. Transplant Information

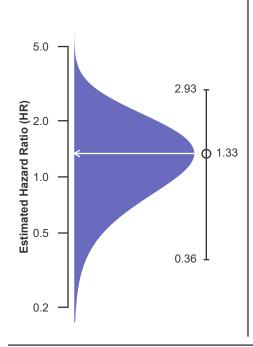
Table C10D. Pediatric (<18) 3-year survival with a functioning deceased donor graft Single organ transplants performed between 07/01/2015 and 12/31/2017 Deaths and retransplants are considered graft failures

AZSJ	U.S.
3	108
33.33%	62.78%
62.97%	
2	39
1.00	
1.33	
[0.36, 2.93]	
	3 33.33% 62.97% 2 1.00 1.33

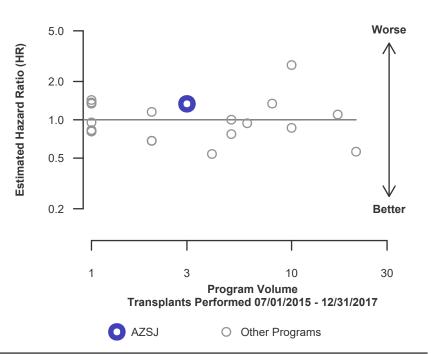
<sup>\*</sup> The hazard ratio provides an estimate of how St. Joseph's Hospital and Medical Center (AZSJ)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected graft failure rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected graft failure rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If AZSJ's graft failure rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.36, 2.93], indicates the location of AZSJ's true hazard ratio with 95% probability. The best estimate is 33% higher risk of graft failure compared to an average program, but AZSJ's performance could plausibly range from 64% reduced risk up to 193% increased risk.





# Figure C12D. Pediatric (<18) 3-year deceased donor graft failure HR program comparison



#### St. Joseph's Hospital and Medical Center

Center Code: AZSJ Transplant Program (Organ): Lung

Release Date: July 6, 2021 (Corrected July 16, 2021) Based on Data Available: April 30, 2021

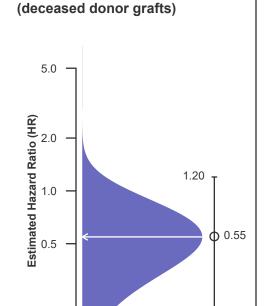
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### C. Transplant Information

Table C11D. Adult (18+) 1-month patient survival (deceased donor graft recipients) Single organ transplants performed between 01/01/2018 and 03/12/2020

Retransplants excluded	AZSJ	U.S.
Number of transplants evaluated	241	5,508
Estimated probability of surviving at 1 month (unadjusted for patient and donor characteristics)	99.16%	97.71%
Expected probability of surviving at 1 month (adjusted for patient and donor characteristics)	97.71%	
Number of observed deaths during the first month after transplant	2	124
Number of expected deaths during the first month after transplant	5.32	
Estimated hazard ratio*	0.55	
95% credible interval for the hazard ratio**	[0.15, 1.20]	

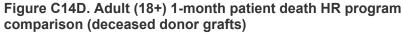
<sup>\*</sup> The hazard ratio provides an estimate of how St. Joseph's Hospital and Medical Center (AZSJ)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected patient death rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected patient death rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If AZSJ's patient death rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

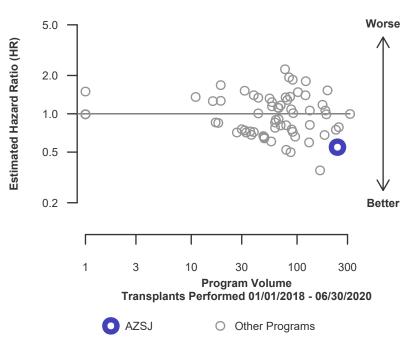


0.2

Figure C13D. Adult (18+) 1-month

patient death HR estimate





0.15

<sup>\*\*</sup> The 95% credible interval, [0.15, 1.20], indicates the location of AZSJ's true hazard ratio with 95% probability. The best estimate is 45% lower risk of patient death compared to an average program, but AZSJ's performance could plausibly range from 85% reduced risk up to 20% increased risk.

### SCIENTIFIC REGISTRY OF TRANSPLANT RECIPIENTS

#### St. Joseph's Hospital and Medical Center

Center Code: AZSJ Transplant Program (Organ): Lung

Release Date: July 6, 2021 (Corrected July 16, 2021)

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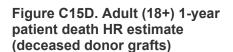
# C. Transplant Information

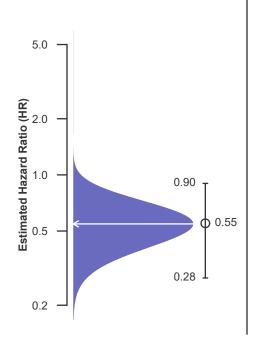
Table C12D. Adult (18+) 1-year patient survival (deceased donor graft recipients) Single organ transplants performed between 01/01/2018 and 03/12/2020

Retransplants excluded	AZSJ	U.S.
Number of transplants evaluated	241	5,508
Estimated probability of surviving at 1 year (unadjusted for patient and donor characteristics)	94.74%	89.68%
Expected probability of surviving at 1 year (adjusted for patient and donor characteristics)	89.62%	
Number of observed deaths during the first year after transplant	10	463
Number of expected deaths during the first year after transplant	19.98	
Estimated hazard ratio*	0.55	
95% credible interval for the hazard ratio**	[0.28, 0.90]	

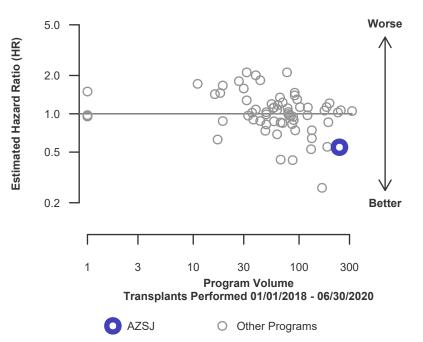
<sup>\*</sup> The hazard ratio provides an estimate of how St. Joseph's Hospital and Medical Center (AZSJ)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected patient death rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected patient death rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If AZSJ's patient death rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.28, 0.90], indicates the location of AZSJ's true hazard ratio with 95% probability. The best estimate is 45% lower risk of patient death compared to an average program, but AZSJ's performance could plausibly range from 72% reduced risk up to 10% reduced risk.





#### Figure C16D. Adult (18+) 1-year patient death HR program comparison (deceased donor grafts)



#### St. Joseph's Hospital and Medical Center

Center Code: AZSJ Transplant Program (Organ): Lung

Release Date: July 6, 2021 (Corrected July 16, 2021) Based on Data Available: April 30, 2021

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# C. Transplant Information

Table C13D. Adult (18+) 3-year patient survival (deceased donor graft recipients) Single organ transplants performed between 07/01/2015 and 12/31/2017

Retransplants excluded	AZSJ	U.S.
Number of transplants evaluated	224	5,524
Estimated probability of surviving at 3 years (unadjusted for patient and donor characteristics)	76.13%	75.21%
Expected probability of surviving at 3 years (adjusted for patient and donor characteristics)	75.43%	
Number of observed deaths during the first 3 years after transplant	52	1,321
Number of expected deaths during the first 3 years after transplant	53.90	
Estimated hazard ratio*	0.97	
95% credible interval for the hazard ratio**	[0.73, 1.24]	

<sup>\*</sup> The hazard ratio provides an estimate of how St. Joseph's Hospital and Medical Center (AZSJ)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected patient death rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected patient death rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If AZSJ's patient death rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

Figure C17D. Adult (18+) 3-year patient death HR estimate (deceased donor grafts)

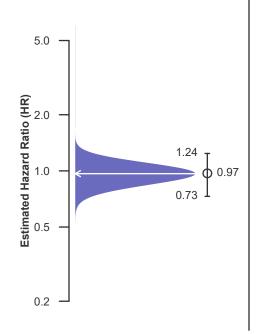
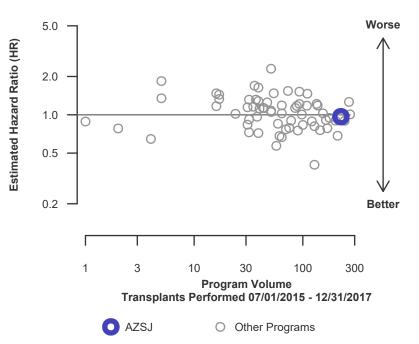


Figure C18D. Adult (18+) 3-year patient death HR program comparison (deceased donor grafts)



<sup>\*\*</sup> The 95% credible interval, [0.73, 1.24], indicates the location of AZSJ's true hazard ratio with 95% probability. The best estimate is 3% lower risk of patient death compared to an average program, but AZSJ's performance could plausibly range from 27% reduced risk up to 24% increased risk.

#### St. Joseph's Hospital and Medical Center

Center Code: AZSJ

Transplant Program (Organ): Lung

Release Date: July 6, 2021 (Corrected July 16, 2021)

Based on Data Available: April 30, 2021

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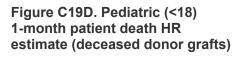
### C. Transplant Information

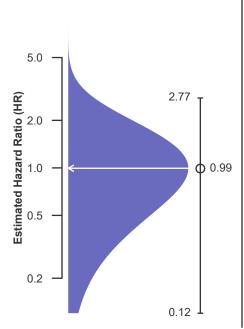
Table C14D. Pediatric (<18) 1-month patient survival (deceased donor graft recipients) Single organ transplants performed between 01/01/2018 and 03/12/2020 Retransplants excluded

Retransplants excluded	AZSJ	U.S.
Number of transplants evaluated	1	90
Estimated probability of surviving at 1 month (unadjusted for patient and donor characteristics)	100.00%	98.88%
Expected probability of surviving at 1 month (adjusted for patient and donor characteristics)	98.88%	
Number of observed deaths during the first month after transplant	0	1
Number of expected deaths during the first month after transplant	0.01	
Estimated hazard ratio*	0.99	
95% credible interval for the hazard ratio**	[0.12, 2.77]	

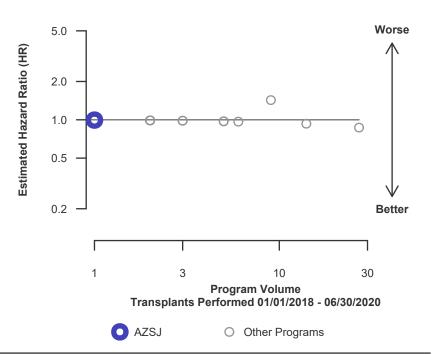
<sup>\*</sup> The hazard ratio provides an estimate of how St. Joseph's Hospital and Medical Center (AZSJ)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected patient death rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected patient death rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If AZSJ's patient death rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.12, 2.77], indicates the location of AZSJ's true hazard ratio with 95% probability. The best estimate is 1% lower risk of patient death compared to an average program, but AZSJ's performance could plausibly range from 88% reduced risk up to 177% increased risk.





# Figure C20D. Pediatric (<18) 1-month patient death HR program comparison (deceased donor grafts)



### SCIENTIFIC REGISTRY OF TRANSPLANT RECIPIENTS

#### St. Joseph's Hospital and Medical Center

Center Code: AZSJ SRTR Program-Specific Report Transplant Program (Organ): Lung Feedback?: SRTR@SRTR.org Release Date: July 6, 2021 (Corrected July 16, 2021) Based on Data Available: April 30, 2021

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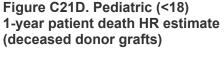
### C. Transplant Information

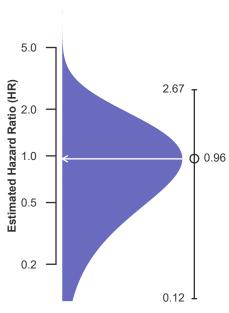
Table C15D. Pediatric (<18) 1-year patient survival (deceased donor graft recipients) Single organ transplants performed between 01/01/2018 and 03/12/2020 Retransplants excluded

Retransplants excluded	AZSJ	U.S.
Number of transplants evaluated	1	90
Estimated probability of surviving at 1 year (unadjusted for patient and donor characteristics)	100.00%	89.15%
Expected probability of surviving at 1 year (adjusted for patient and donor characteristics)	89.24%	
Number of observed deaths during the first year after transplant	0	7
Number of expected deaths during the first year after transplant	0.09	
Estimated hazard ratio*	0.96	
95% credible interval for the hazard ratio**	[0.12, 2.67]	

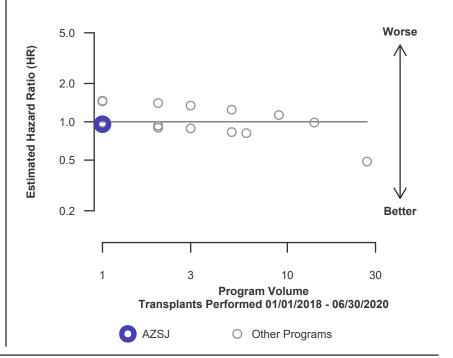
<sup>\*</sup> The hazard ratio provides an estimate of how St. Joseph's Hospital and Medical Center (AZSJ)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected patient death rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected patient death rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If AZSJ's patient death rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.12, 2.67], indicates the location of AZSJ's true hazard ratio with 95% probability. The best estimate is 4% lower risk of patient death compared to an average program, but AZSJ's performance could plausibly range from 88% reduced risk up to 167% increased risk.





#### Figure C22D. Pediatric (<18) 1-year patient death HR program comparison (deceased donor grafts)



#### St. Joseph's Hospital and Medical Center

Center Code: AZSJ
Transplant Program (Organ): Lung
Release Date: July 6, 2021 (Corrected July 16, 2021)

Release Date: July 6, 2021 (Corrected July 16, 2021) Based on Data Available: April 30, 2021

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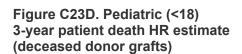
### C. Transplant Information

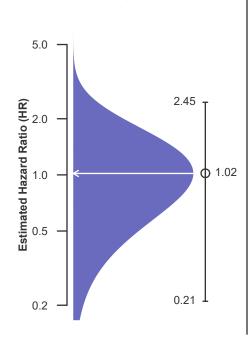
Table C16D. Pediatric (<18) 3-year patient survival (deceased donor graft recipients) Single organ transplants performed between 07/01/2015 and 12/31/2017 Retransplants excluded

Retransplants excluded	AZSJ	U.S.
Number of transplants evaluated	3	103
Estimated probability of surviving at 3 years (unadjusted for patient and donor characteristics)	66.67%	67.54%
Expected probability of surviving at 3 years (adjusted for patient and donor characteristics)	67.71%	
Number of observed deaths during the first 3 years after transplant	1	33
Number of expected deaths during the first 3 years after transplant	0.95	
Estimated hazard ratio*	1.02	
95% credible interval for the hazard ratio**	[0.21, 2.45]	

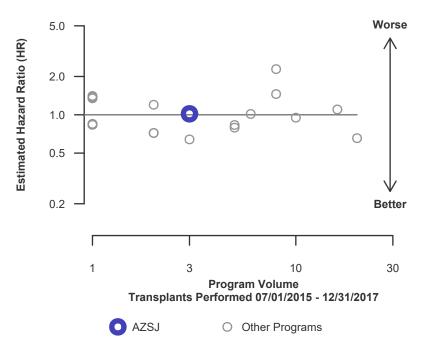
<sup>\*</sup> The hazard ratio provides an estimate of how St. Joseph's Hospital and Medical Center (AZSJ)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected patient death rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected patient death rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If AZSJ's patient death rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.21, 2.45], indicates the location of AZSJ's true hazard ratio with 95% probability. The best estimate is 2% higher risk of patient death compared to an average program, but AZSJ's performance could plausibly range from 79% reduced risk up to 145% increased risk.





# Figure C24D. Pediatric (<18) 3-year patient death HR program comparison (deceased donor grafts)





Center Code: AZSJ SRTR Program-Specific Report Transplant Program (Organ): Lung Feedback?: SRTR@SRTR.org Release Date: July 6, 2021 (Corrected July 16, 2021) 1.877.970.SRTR (7787) Based on Data Available: April 30, 2021 http://www.srtr.org

## **C. Transplant Information**

Table C17. Multi-organ transplant graft survival: 01/01/2018 - 06/30/2020 Adult (18+) Transplants

No adult (18+) multi-organ transplants were performed

Pediatric (<18) Transplants

No pediatric (<18) multi-organ transplants were performed

Table C18. Multi-organ transplant patient survival: 01/01/2018 - 06/30/2020 Adult (18+) Transplants

No adult (18+) multi-organ transplants were performed

Pediatric (<18) Transplants

No pediatric (<18) multi-organ transplants were performed