

REGISTRY OFCenter Code: PAUPTRANSPLANTTransplant Program (Organ): Heart
Release Date: January 5, 2021RECIPIENTSBased on Data Available: October 31, 2020

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Due to the pandemic's effect on the transplant system, adjustments have been made for this data reporting cycle and potentially future reporting cycles as well. For transplant programs, this means that SRTR will not include patient follow-up starting from March 12, 2020, the day prior to the emergency declaration, i.e., waitlist survival, transplant rate, and outcomes will not be assessed after that date.

This report contains a wide range of useful information about the heart transplant program at Hospital of the University of Pennsylvania (PAUP). The report has three main sections:

- A. Program Summary
- B. Waiting List Information
- C. Transplant Information

The Program Summary is a one-page summary highlighting characteristics of the program, including the number of candidates on the waiting list, the number of transplants performed at the program, the number of patients being cared for by the program, and patient outcomes, including outcomes while on the waiting list (the transplant rate and the death rate while on the waiting list) and outcomes after transplant (patient and graft survival probabilities). If the program performed transplants in both adults and children, survival probabilities for adults and children (pediatrics) are provided separately. For each of the outcomes measures presented, a comparison is provided showing what would be expected at this program if it were performing as similar programs around the country perform when treating similar patients. More details regarding these outcome measures are provided in Sections B and C of the report.

The Waiting List Information section contains more detailed information on how many candidates are on the waiting list at the program, the types of candidates on the waiting list, how long candidates typically have to wait for a transplant at this program, how frequently candidates successfully receive a transplant, and how often candidates on the waiting list die before receiving a transplant.

Table B1 shows the activity on this program's waiting list during two recent 1-year periods and provides comparisons to all programs within this program's OPTN region (see http://optn.transplant.hrsa.gov/members/regions.asp for information on OPTN regions) and the nation as a whole. Tables B2 and B3 describe the candidates on the waiting list at this program, with comparisons to candidates waiting in the same donor service area (OPO/DSA) the OPTN region, and the nation as a whole.

Table B4 shows how many candidates were removed from the waiting list because they received a transplant. The program's transplant rate is calculated as the number of candidates who received a transplant divided by the person-years observed at the program (person-years is a combination of how many candidates were on the waiting list along with how long each candidate was followed since some candidates are not on the waiting list for the entire year). The transplant rate and comparisons to what would be expected at this program are presented in Figures B1 and B2. Figure B1 shows the transplant rate compared to what was expected at this program. The expected transplant rate is an estimate of what we would expect at this program if it were performing transplants at rates similar to other programs in the US with similar candidates on their waiting lists. The expected rate is only an estimate, and is made with a certain level of uncertainty. This uncertainty is shown in Figure B2. Figure B2 displays the ratio of the observed to the expected transplant rate. A ratio of 1 indicates that the

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observed transplant rate was equal to the expected transplant rate, while a ratio less than 1 indicates the observed rate was lower than expected rate and a ratio greater than 1 indicates the observed rate was higher than the expected rate. However, the level of uncertainty must be considered when interpreting these numbers. The 95% interval is also shown on Figure B2. This interval provides a range within which the true ratio of observed to expected transplant rates is likely to be. If this confidence interval includes (crosses) 1.0, then we cannot say that this program's observed transplant rate is different from what would be expected. The observed deceased donor transplant rate at this program was 86.6 per 100 person-years. Transplant rates are also provided for adult and pediatric patients separately along with comparisons to adult and pediatric rates in the DSA, the OPTN region, and the nation. Please refer to the PSR Technical Methods documentation available at http://www.srtr.org for more detail regarding how expected rates are calculated.

The death rate (also known as the mortality rate) for candidates on the waiting list is presented in Table B5 and Figures B4-B6. These data are presented in the same way as the transplant rate data in the previous section. The intent of this table and figures is to describe risk of death once candidates are listed rather than while they are listed, but before they are transplanted. Therefore, time at risk and deaths after removal from the waiting list for reasons other than transplant, transfer to another transplant program, or recovery (no longer needing a transplant), and before any subsequent transplant, are included. As with transplant rates, mortality rates should be interpreted carefully taking into consideration the interval displayed in Figure B5. For a complete description of how observed and expected mortality rates are calculated, please refer to the technical documentation available at http://www.srtr.org.

Survival from listing is presented in Table B6 and Figures B7-B9. These data are presented in the same way as the waiting list mortality rate data in the previous section. The intent of this table and figures is to describe risk of death once candidates are listed rather than while they are listed, including after a transplant. As with transplant rates, mortality rates should be interpreted carefully taking into consideration the interval displayed in Figure B8. For a complete description of how observed and expected mortality rates are calculated, please refer to the technical documentation available at http://www.srtr.org.

Table B7 presents information on what happens to candidates on the waiting list by three different time points after listing: 6 months, 12 months, and 18 months. The table displays percentages of candidates who have died, been removed from the waiting list, been transplanted, or been transferred or lost-to-follow-up. Tables B8 and B9 provide more detail regarding how many candidates have received a deceased donor transplant by certain time points during the first 3 years after being put on the transplant waiting list. Each row of Tables B8 and B9 presents the percent of candidates who received a deceased donor transplant by each time point. Table B10 presents data on the time it took for different percentages of patients to be transplanted for candidates added to the list between 07/01/2014 and 12/31/2019. The time it took for 5% (the 5th percentile) of patients to receive a transplant at this program was 0.2 months. If "Not Observed" is displayed in the table, then too few candidates received transplants before 06/30/2020 to calculate a particular percentile of transplant times.

Table B11 contains a summary of the offer acceptance practices of the program. The offer acceptance ratio indicates whether the program is more or less likely to accept offers than the average program. If

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the offer acceptance ratio is greater than 1.0, then the program tends to accept more offers than average; if the offer acceptance ratio is less than 1.0, then the program tends to accept fewer offers than average. Figure B10 shows the distribution of program offer acceptance rates as well as the offer acceptance rate for this program. Figures B11 - B15 similarly show offer acceptance rates for subsets of offers.

The Transplant Information section begins with descriptions of transplant recipients in Tables C1 and C2. Data on recipients of deceased donor transplants are presented (Tables C1D and C2D); if applicable, data on recipients of living donor transplants are presented separately (Tables C1L and C2L). Comparisons to the region and the nation as a whole are provided. A description of the deceased donors used at this program is provided in Table C3D, along with characteristics of living donors in Table C3L, if applicable. Finally, information on the transplant procedure for deceased and living donor transplants is presented in Tables C4D and C4L, respectively.

Starting with Table C5, transplant outcomes are presented along with comparisons to what would be expected at this program and what happened in the nation as a whole. Tables C5-C10 present information on graft survival (survival of the transplanted organ), with data presented separately for adult and pediatric recipients. Patients are followed from the time of transplant until either failure of the transplanted organ or death, whichever comes first. Please refer to the technical methods for more information on these calculations (http://www.srtr.org).

While Tables C5-C10 present data on graft survival, Tables C11-C16 present information on patient survival. For these tables, patients are followed from the time of transplant until death, regardless of whether the transplant is functioning or the patient required another transplant to survive.

Tables C17 and C18 summarize the multiorgan transplant outcomes at this program. The summary statistics in these tables are descriptive and are not risk-adjusted for different donor and candidate characteristics.

Additional information regarding the technical methods and the risk adjustment models used to estimate expected event rates is available on the SRTR website at http://www.srtr.org. We welcome and encourage feedback on these reports. Please feel free to share feedback with the SRTR at the following e-mail: srtr@srtr.org.



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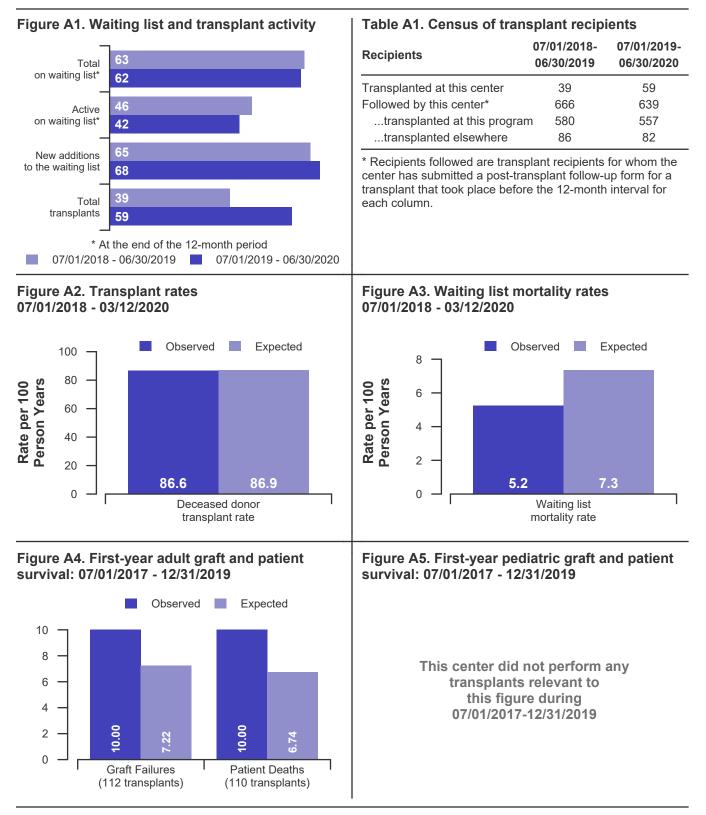


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A. Program Summary





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B. Waiting List Information

Table B1. Waiting list activity summary: 07/01/2018 - 06/30/2020

		ts for enter	as percent of	vity for 07/01/2019 to 06/30/202 rcent of registrants on waiting on 07/01/2019			
Waiting List Registrations	07/01/2018- 06/30/2019	07/01/2019- 06/30/2020	This Center (%)	OPTN Region (%)	U.S. (%)		
On waiting list at start Additions	61	63	100.0	100.0	100.0		
New listings at this center	65	68	107.9	116.9	115.7		
Removals							
Transferred to another center	5	1	1.6	3.0	2.5		
Received living donor transplant*	0	0	0.0	0.0	0.0		
Received deceased donor transplant*	39	59	93.7	89.4	92.5		
Died	2	4	6.3	5.4	6.6		
Transplanted at another center	0	0	0.0	0.3	1.0		
Deteriorated	6	4	6.3	7.4	7.4		
Recovered	8	1	1.6	6.3	4.6		
Other reasons	3	0	0.0	7.9	8.6		
On waiting list at end of period	63	62	98.4	97.3	92.6		

* These patients were removed from waiting list with removal code indicating transplant; this may not equal the number of transplants performed at this center during the specified period.



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B. Waiting List Information

Table B2. Demographic characteristics of waiting list candidatesCandidates registered on the waiting list between 07/01/2019 and 06/30/2020

Domographic Characteristic		ting List Regi 019 to 06/30/2			All Waiting List Registrations on 06/30/2020 (%)			
Demographic Characteristic	This Center (N=68)	OPTN Region (N=429)	U.S. (N=4,403)	This Center (N=62)	OPTN Region (N=357)	U.S. (N=3,521)		
All (%)	100.0	100.0	100.0	100.0	100.0	100.0		
Ethnicity/Race (%)*								
White	60.3	59.4	57.6	62.9	52.4	57.3		
African-American	27.9	33.3	26.4	30.6	38.9	28.6		
Hispanic/Latino	7.4	5.1	10.9	4.8	5.3	10.3		
Asian	4.4	1.4	4.1	1.6	2.0	2.6		
Other	0.0	0.7	1.1	0.0	1.4	1.2		
Unknown	0.0	0.0	0.0	0.0	0.0	0.0		
Age (%)								
<2 years	0.0	4.0	6.4	0.0	2.8	4.6		
2-11 years	0.0	4.2	4.3	0.0	3.1	4.2		
12-17 years	0.0	2.8	4.2	0.0	2.5	3.6		
18-34 years	13.2	6.8	9.4	22.6	9.8	10.7		
35-49 years	22.1	18.9	18.8	32.3	23.0	22.4		
50-64 years	54.4	45.2	40.9	40.3	48.5	44.1		
65-69 years	10.3	16.6	13.5	4.8	9.8	9.3		
70+ years	0.0	1.6	2.5	0.0	0.6	1.1		
Gender (%)								
Male	76.5	72.7	70.9	77.4	81.8	75.1		
Female	23.5	27.3	29.1	22.6	18.2	24.9		

* Race and ethnicity are reported together as a single data element, reflecting their data collection (either race or ethnicity is required, but not both). Patients formerly coded as white and Hispanic are coded as Hispanic. Race and ethnicity sum to 100%.



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B. Waiting List Information

Table B3. Medical characteristics of waiting list candidates Candidates registered on the waiting list between 07/01/2019 and 06/30/2020

Medical Characteristic		ting List Regis 019 to 06/30/20			All Waiting List Registrations on 06/30/2020 (%)			
	This Center (N=68)	OPTN Region (N=429)	U.S. (N=4,403)	This Center (N=62)	OPTN Region (N=357)	U.S. (N=3,521)		
All (%)	100.0	100.0	100.0	100.0	100.0	100.0		
Blood Type (%)								
0	41.2	39.6	43.3	69.4	59.7	59.9		
A	30.9	39.9	37.1	21.0	26.6	28.3		
В	25.0	14.7	14.6	6.5	11.8	10.1		
AB	2.9	5.8	5.0	3.2	2.0	1.8		
Unknown	0.0	0.0	0.0	0.0	0.0	0.0		
Previous Transplant (%)								
Yes	0.0	1.4	3.3	3.2	1.7	3.4		
No	100.0	98.6	96.7	96.8	98.3	96.6		
Unknown	0.0	0.0	0.0	0.0	0.0	0.0		
Primary Disease (%)								
Cardiomyopathy	70.6	66.0	59.5	62.9	65.3	57.8		
Coronary Artery Disease	19.1	23.3	23.4	17.7	22.4	25.4		
Retransplant/Graft Failure	0.0	0.9	2.8	3.2	0.8	2.7		
Valvular Heart Disease	1.5	0.7	1.0	0.0	0.6	0.9		
Congenital Heart Disease	8.8	8.2	11.3	16.1	10.4	11.3		
Other	0.0	0.9	2.0	0.0	0.6	1.9		
Missing	0.0	0.0	0.0	0.0	0.0	0.0		
Medical Urgency Status at Listir	ng (%)							
Status 1A	0.0	6.5	8.6	3.2	5.6	7.4		
Status 1B	0.0	2.8	3.5	14.5	14.6	17.0		
Status 2	0.0	1.4	2.4	17.7	19.0	19.9		
Adult Status 1	2.9	3.7	4.0	0.0	0.3	0.3		
Adult Status 2	19.1	17.0	19.2	3.2	1.7	2.8		
Adult Status 3	14.7	9.6	10.4	1.6	3.4	4.2		
Adult Status 4	48.5	37.3	31.0	40.3	33.6	27.3		
Adult Status 5	0.0	2.8	2.7	0.0	1.7	2.0		
Adult Status 6	14.7	18.2	16.1	16.1	18.2	15.8		
Temporarily Inactive	0.0	0.7	2.0	3.2	2.0	3.2		



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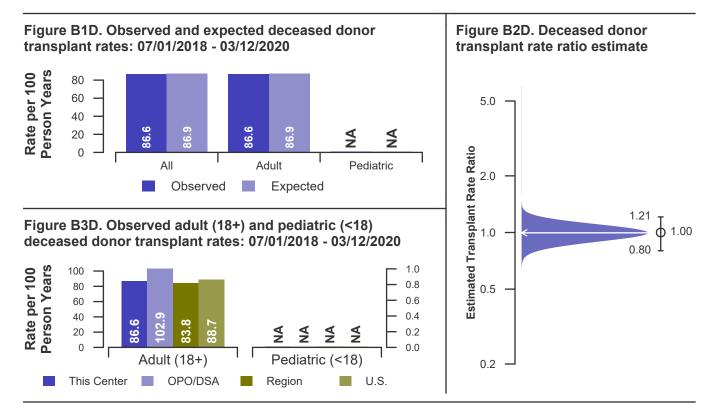
B. Waiting List Information

Table B4D	Deceased dono	r transplant rates:	07/01/2018 -	03/12/2020
		transplant rates.		

Waiting List Registrations	This Center	OPO/DSA	Region	U.S.
All Candidates				
Count on waiting list at start*	61	104	361	4,006
Person Years**	100.5	181.4	633.9	6,510.7
Removals for Transplant	87	189	547	6,017
Adult (18+) Candidates				
Count on waiting list at start*	61	91	324	3,584
Person Years**	100.5	160.4	577.3	5,820.7
Removals for transpant	87	165	484	5,163
Pediatric (<18) Candidates				
Count on waiting list at start*				
Person Years**				
Removals for transplant				

* Counts in this table may be lower than similar counts in other waiting list tables, such as Table B1. A small percentage (~1%) of patients are found to have died or been transplanted before being removed from the waiting list, so these patients are excluded if the event occurs prior to the start of the study period. Inactive time on the waiting list is included in the calculations for this table.

** Person years are calculated as days (converted to fractional years). The number of days from July 1 or from the date of first wait listing until death, transplant, removal from the waiting list or March 12, 2020.





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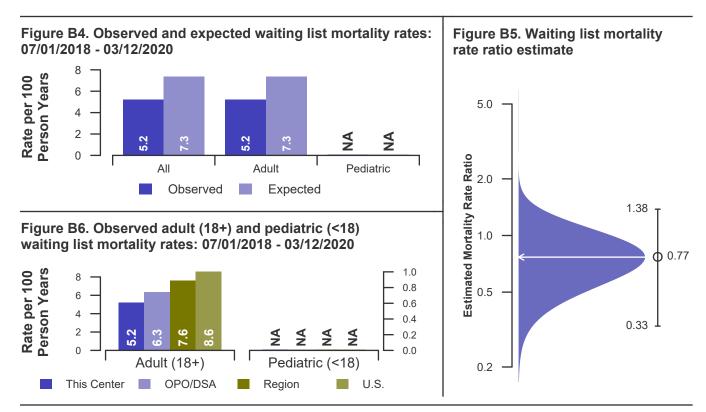
B. Waiting List Information

Table B5. Waiting list mortality rates: 07/01/2018 - 03/12/2020

Waiting List Registrations	This Center	OPO/DSA	Region	U.S.
All Candidates				
Count on waiting list at start*	61	104	361	4,006
Person Years**	114.8	214.8	737.9	7,365.6
Number of deaths	6	20	61	676
Adult (18+) Candidates				
Count on waiting list at start*	61	91	324	3,584
Person Years**	114.8	189.8	672.0	6,604.0
Number of deaths	6	12	51	565
Pediatric (<18) Candidates				
Count on waiting list at start*				
Person Years**				
Number of deaths				

* Counts in this table may be lower than similar counts in other waiting list tables, such as Table B1. A small percentage (~1%) of patients are found to have died or been transplanted before being removed from the waiting list, so these patients are excluded if the event occurs prior to the start of the study period. Inactive time on the waiting list is included in the calculations for this table.

** Person years are calculated as days (converted to fractional years). The number of days from July 1 or from the date of first wait listing until death, transplant, 60 days after recovery, transfer or March 12, 2020.





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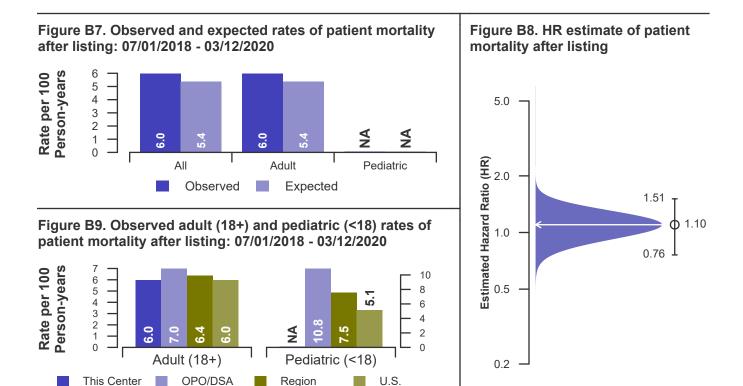
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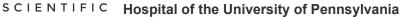
Table B6. Rates of patient mortality after listing: 07/01/2018 - 03/12/2020

Waiting List Registrations	This Center	OPO/DSA	Region	U.S.
All Patients				
Count at risk during the evaluation period	434	875	2,646	25,361
Person-years*	520.3	1,043.5	3,182.3	30,874.9
Number of Deaths	31	77	206	1,810
Adult (18+) Patients				
Count at risk during the evaluation period	434	771	2,370	21,649
Person-years*	520.3	932.8	2,863.3	26,386.5
Number of Deaths	31	65	182	1,580
Pediatric (<18) Patients				
Count at risk during the evaluation period	0	104	276	3,712
Person-years*	0.0	110.7	319.0	4,488.4
Number of Deaths	0	12	24	230

* Person-years are calculated as days (converted to fractional years). The number of days from 07/01/2018, or from the date of first wait listing until death, reaching 5 years after listing or March 12, 2020.

** Patient mortality after listing describes the relative survival experience of patients after listing. It depends on many factors, some of which are outside of the control of the transplant program. For example, availability of organs may not be the same in every part of the country.







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B. Waiting List Information

Table B7. Waiting list candidate status after listing Candidates registered on waiting list between 01/01/2018 and 12/31/2018

Waiting list status (survival status)		Center (N Is Since L	,		• •	. (N=4,712) s Since Listing			
	6	12	18	6	12	18			
Alive on waiting list (%)	48.1	30.8	26.9	40.3	26.5	19.2			
Died on the waiting list without transplant (%)	0.0	0.0	0.0	3.8	4.5	4.9			
Removed without transplant (%):									
Condition worsened (status unknown)	0.0	0.0	0.0	3.2	4.1	4.8			
Condition improved (status unknown)	0.0	1.9	1.9	0.9	2.0	2.6			
Refused transplant (status unknown)	0.0	0.0	0.0	0.1	0.3	0.3			
Other	0.0	0.0	0.0	1.5	2.9	4.0			
Transplant (living or deceased donor) (%):									
Functioning (alive)	48.1	55.8	44.2	46.1	51.0	31.7			
Failed-Retransplanted (alive)	0.0	0.0	0.0	0.1	0.2	0.2			
Failed-alive not retransplanted	0.0	0.0	0.0	0.0	0.0	0.0			
Died	1.9	5.8	5.8	2.7	4.0	5.2			
Status Yet Unknown*	0.0	3.8	19.2	0.4	3.4	25.9			
Lost or Transferred (status unknown) (%)	1.9	1.9	1.9	0.6	1.1	1.3			
TOTAL (%)	100.0	100.0	100.0	100.0	100.0	100.0			
 Total % known died on waiting list or after transplant	1.9	5.8	5.8	6.6	8.5	10.1			
Total % known died or removed as unstable	1.9	5.8	5.8	9.7	12.6	14.8			
Total % removed for transplant	50.0	65.4	69.2	49.5	58.6	63.0			
Total % with known functioning transplant (alive)	48.1	55.8	44.2	46.1	51.0	31.7			

* Follow-up form covering specified time period not yet completed, and possibly has not become due.



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B. Waiting List Information

Table B8. Percent of candidates with deceased donor transplants: demographic characteristics Candidates registered on the waiting list between 07/01/2014 and 06/30/2017

Characteristic	Percent transplanted at time periods since listing This Center United States									
	Ν				3 years	Ν				3 years
All	245	24.5	71.0	73.5	75.9	13,465	16.1	54.0	62.5	65.2
Ethnicity/Race*										
White	149	26.2	75.8	76.5	79.9	8,260	16.2	53.7	62.4	65.2
African-American	78	16.7	62.8	67.9	69.2	3,128	14.4	50.7	59.9	62.4
Hispanic/Latino	9	33.3	66.7	77.8	77.8	1,410	16.4	58.9	66.3	68.9
Asian	7	57.1	71.4	71.4	71.4	530	23.0	62.1	69.6	72.1
Other	2	50.0	50.0	50.0	50.0	137	19.0	60.6	65.0	65.0
Unknown	0					0				
Age										
<2 years	0					812	19.0	60.7	61.9	62.2
2-11 years	0					602	14.1	62.6	69.9	72.4
12-17 years	0					533	25.0	72.8	80.3	81.6
18-34 years	36	22.2	75.0	75.0	77.8	1,257	16.2	50.2	58.3	61.8
35-49 years	72	26.4	66.7	70.8	70.8	2,527	12.2	47.8	58.9	62.1
50-64 years	113	23.9	71.7	74.3	78.8	5,717	15.5	53.1	62.5	65.6
65-69 years	24	25.0	75.0	75.0	75.0	1,717	17.5	54.5	62.5	64.5
70+ years	0					300	31.3	64.7	66.0	66.7
Gender										
Male	169	20.7	69.8	73.4	76.3	9,601	14.8	52.7	62.1	65.0
Female	76	32.9	73.7	73.7	75.0	3,864	19.4	57.0	63.6	65.9

* Race and ethnicity are reported together as a single data element, reflecting their data collection (either race or ethnicity is required, but not both). Patients formerly coded as white and Hispanic are coded as Hispanic. Race and ethnicity sum to 100%.



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B. Waiting List Information

 Table B9. Percent of candidates with deceased donor transplants: medical characteristics

 Candidates registered on the waiting list between 07/01/2014 and 06/30/2017

Characteristic	Percent transplanted at time periods since listing This Center United States									
	Ν	30 day	1 year	2 years	3 years	Ν	30 day	1 year	2 years	3 years
All	245	24.5	71.0	73.5	75.9	13,465	16.1	54.0	62.5	65.2
Blood Type										
0	98	12.2	56.1	60.2	66.3	5,951	10.1	44.9	54.9	58.2
A	92	33.7	81.5	82.6	82.6	4,947	19.5	60.0	67.5	69.9
В	48	25.0	79.2	81.2	81.2	1,918	19.7	60.2	68.2	70.4
AB	7	71.4	85.7	85.7	85.7	648	34.3	73.0	77.8	78.9
Previous Transplant										
Yes	8	0.0	25.0	25.0	37.5	572	11.9	43.5	49.0	51.9
No	237	25.3	72.6	75.1	77.2	12,893	16.3	54.4	63.1	65.8
Primary Disease										
Cardiomyopathy	170	25.3	74.7	77.6	80.0	7,633	16.9	55.9	65.2	67.9
Coronary Artery Disease	47	25.5	78.7	80.9	83.0	3,670	15.2	51.5	60.1	63.3
Retransplant/Graft Failure	7	0.0	14.3	14.3	28.6	486	11.1	43.2	48.8	51.9
Valvular Heart Disease	1	100.0	100.0	100.0	100.0	138	18.1	59.4	64.5	66.7
Congenital Heart Disease	19	15.8	36.8	36.8	36.8	1,344	14.8	53.9	60.1	61.8
Other	1	100.0	100.0	100.0	100.0	194	20.6	46.4	53.1	54.1
Missing	0					0				
Medical Urgency Status at Lis	sting									
Old Status 1	0					0				
Status 1A	39	43.6	71.8	74.4	74.4	4,226	30.7	68.6	72.1	73.1
Status 1B	125	27.2	80.0	83.2	84.8	5,351	12.9	55.1	65.4	68.3
Status 2	78	11.5	59.0	60.3	65.4	3,437	4.3	36.0	48.1	52.5
Unknown	3	0.0	0.0	0.0	0.0	451	6.7	39.7	48.6	51.9



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B. Waiting List Information

Table B10. Time to transplant for waiting list candidates*Candidates registered on the waiting list between 07/01/2014 and 12/31/2019

		Months to	Fransplant**	
Percentile	Center	OPO/DSA	Region	U.S.
5th	0.2	0.2	0.2	0.2
10th	0.3	0.4	0.5	0.4
25th	1.1	1.3	1.5	1.4
50th (median time to transplant)	4.3	5.4	7.1	6.9
75th	46.9	Not Observed	Not Observed	Not Observed

* If cells contain "Not Observed" fewer than that percentile of patients had received a transplant. For example, the 50th percentile of time to transplant is the time when 50% of candidates have received transplants. If waiting times are long, then the 50th percentile may not be observed during the follow-up period for this table. Also, if more than 50% of candidates are removed from the list due to death or other reasons before receiving transplants, then the 50th percentile of time to transplant will not be observed.

** Censored on 06/30/2020. Calculated as the months after listing, during which the corresponding percent of all patients initially listed had received a transplant.



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B. Waiting List Information

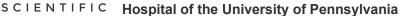
Table B11. Offer Acceptance Practices: 07/01/2019 - 03/12/2020

Offers Acceptance Characteristics	This Center	OPO/DSA	Region	U.S.
Overall				
Number of Offers	809	1,311	4,504	35,218
Number of Acceptances	45	92	224	2,275
Expected Acceptances	27.9	72.4	208.9	2,272.6
Offer Acceptance Ratio*	1.57	1.26	1.07	1.00
95% Credible Interval**	[1.15, 2.05]			
PHS increased infectious risk				
Number of Offers	318	523	1,856	12,275
Number of Acceptances	19	32	86	732
Expected Acceptances	11.6	27.9	84.5	730.1
Offer Acceptance Ratio*	1.54	1.14	1.02	1.00
95% Credible Interval**	[0.96, 2.27]			
Ejection fraction < 60	• • •			
Number of Offers	360	552	1,819	12,822
Number of Acceptances	19	32	74	643
Expected Acceptances	10.3	23.2	64.5	652.4
Offer Acceptance Ratio*	1.70	1.35	1.14	0.99
95% Credible Interval**	[1.05, 2.50]			
Donor Age >= 40				
Number of Offers	365	544	1,859	13,975
Number of Acceptances	19	36	72	484
Expected Acceptances	9.7	18.1	51.8	492.7
Offer Acceptance Ratio*	1.80	1.89	1.37	0.98
95% Credible Interval**	[1.11, 2.65]			
Hard-to-Place Hearts (Over 50 Offers)				
Number of Offers	339	437	1,690	10,412
Number of Acceptances	7	14	26	147
Expected Acceptances	3.3	5.1	15.5	151.1
Offer Acceptance Ratio*	1.71	2.26	1.60	0.97
95% Credible Interval**	[0.78, 2.99]			
Donor more than 500 miles away				
Number of Offers	240	343	1,302	11,573
Number of Acceptances	5	9	26	468
Expected Acceptances	3.3	9.9	35.9	485.7
Offer Acceptance Ratio*	1.32	0.93	0.74	0.96
95% Credible Interval**	[0.53, 2.46]			

* The offer acceptance ratio estimates the relative offer acceptance practice of Hospital of the University of Pennsylvania (PAUP) compared to the national offer acceptance practice. A ratio above one indicates the program is more likely to accept an offer compared to national offer acceptance practices (e.g., an offer acceptance ratio of 1.25 indicates a 25% more likely to accept an offer), while a ratio below one indicates the program is less likely to accept an offer compared to national offer acceptance ratio of 0.75 indicates a 25% less likely to accept an offer).

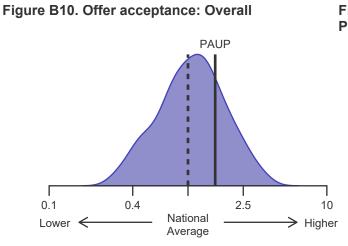
** As an example, the 95% Credible Interval for the overall offer acceptance ratio, [1.15, 2.05], indicates the location of PAUP's true offer acceptance ratio with 95% probability. The best estimate is 57% more likely to accept an offer compared to national acceptance behavior, but PAUP's performance could plausibly range from 15% higher acceptance up to 105% higher acceptance.

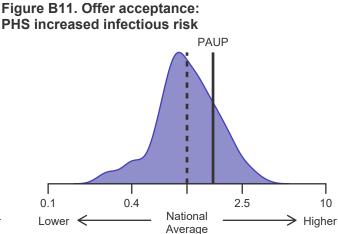
The data reported here were prepared by the Scientific Registry of Transplant Recipients (SRTR) under contract with the Health Resources and Services Administration (HRSA). See User Guide for pandemic-related follow-up limits.

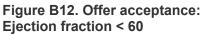


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B. Waiting List Information







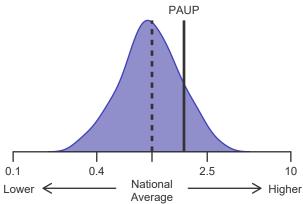


Figure B14. Offer acceptance: Offer number > 50

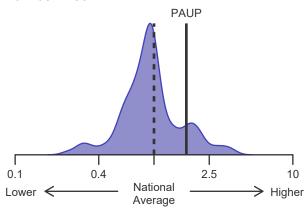
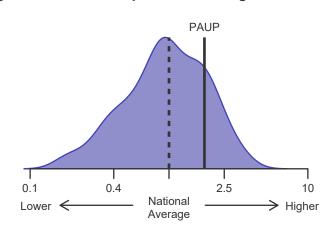
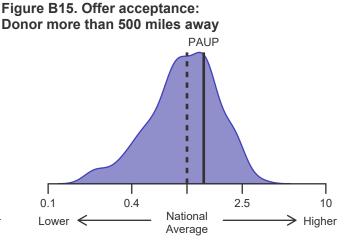


Figure B13. Offer acceptance: Donor age >= 40







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C. Transplant Information

Table C1D. Deceased donor transplant recipient demographic characteristicsPatients transplanted between 07/01/2019 and 06/30/2020

	Percei	Percentage in each category		
Characteristic	Center (N=59)	Region (N=328)	U.S. (N=3,519)	
Ethnicity/Race (%)*				
White	66.1	61.6	60.1	
African-American	23.7	30.2	24.3	
Hispanic/Latino	6.8	5.8	10.9	
Asian	3.4	2.1	3.9	
Other	0.0	0.3	0.9	
Unknown	0.0	0.0	0.0	
Age (%)				
<2 years	0.0	2.7	4.5	
2-11 years	0.0	4.0	4.6	
12-17	0.0	3.4	4.2	
18-34	8.5	8.5	9.7	
35-49 years	16.9	17.7	18.5	
50-64 years	61.0	44.8	41.2	
65-69 years	13.6	15.9	14.2	
70+ years	0.0	3.0	3.2	
Gender (%)				
Male	76.3	70.1	70.8	
Female	23.7	29.9	29.2	

* Race and ethnicity are reported together as a single data element, reflecting their data collection (either race or ethnicity is required, but not both). Patients formerly coded as white and Hispanic are coded as Hispanic. Race and ethnicity sum to 100%.



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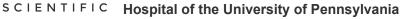
C. Transplant Information

Table C2D. Deceased donor transplant recipient medical characteristics Patients transplanted between 07/01/2019 and 06/30/2020

	Percentage in each category		
Characteristic	Center (N=59)	Region (N=328)	U.S. (N=3,519)
Blood Type (%)			
0	30.5	32.9	39.9
A	40.7	41.8	39.2
В	27.1	18.0	15.8
AB	1.7	7.3	5.1
Previous Transplant (%)			
Yes	0.0	0.6	3.3
No	100.0	99.4	96.7
Body Mass Index (%)			
0-20	6.8	13.7	17.1
21-25	28.8	22.0	27.3
26-30	32.2	32.9	30.4
31-35	23.7	25.0	19.1
36-40	8.5	5.8	5.0
41+	0.0	0.3	0.5
Unknown	0.0	0.3	0.7
Primary Disease (%)			~~ -
Cardiomyopathy	72.9	68.6	62.5
Coronary Artery Disease	20.3	23.2	24.8
Retransplant/Graft Failure	0.0	0.0	0.0
Valvular Heart Disease	1.7	0.6	1.1
Congenital Heart Disease	5.1	7.0	10.3
Other	0.0	0.6	1.2
Missing	0.0	0.0	0.2
Medical Urgency Status at Transplant (%)			10.1
Status 1A	0.0	8.2	10.4
Status 1B	0.0	1.8	2.4
Status 2	0.0	0.6	0.7
Adult Status 1	6.8	7.0	7.4
Adult Status 2	40.7	37.2	40.4
Adult Status 3	20.3	13.7	15.7
Adult Status 4	27.1	24.7	18.5
Adult Status 5	0.0	1.2	1.0
Adult Status 6	5.1	5.5	3.6
Recipient Medical Condition at Transplant (%)	22.0	40.0	22.0
Not Hospitalized	33.9	40.2	33.0
Hospitalized	8.5	13.4	14.8
	57.6	46.0	52.0
Unknown Recipient Mechanical Ventileted or Organ Perfusion Suppo	0.0	0.3	0.2
Recipient Mechanical, Ventilated or Organ-Perfusion Suppor			10 7
No Support Mechanism	8.5	21.3	19.7
Devices*	61.0	63.4	63.6
Other Support Mechanism	30.5	14.9	16.6
Unknown	0.0	0.3	0.1

* Devices include ventricular assist devices (VAD), extracorporeal membrane oxygenation (ECMO), intraaortic balloon pump (IABP), and total artificial heart (TAH).

The data reported here were prepared by the Scientific Registry of Transplant Recipients (SRTR) under contract with the Health Resources and Services Administration (HRSA). See User Guide for pandemic-related follow-up limits.





TRANSPLANT

Center Code: PAUP Transplant Program (Organ): Heart Release Date: January 5, 2021

Based on Data Available: October 31, 2020

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C. Transplant Information

Table C3D. Deceased donor characteristics Transplants performed between 07/01/2019 and 06/30/2020

	Percentage in each category		
Donor Characteristic	Center (N=59)	Region (N=328)	U.S. (N=3,519)
Cause of Death (%)			
Deceased: Stroke	20.3	16.2	13.6
Deceased: MVA	11.9	13.4	18.4
Deceased: Other	67.8	70.4	68.0
Ethnicity/Race (%)*			
White	76.3	67.7	61.7
African-American	13.6	18.6	16.5
Hispanic/Latino	8.5	10.7	18.5
Asian	1.7	2.7	2.0
Other	0.0	0.3	1.2
Not Reported	0.0	0.0	0.0
Age (%)			
<2 years	0.0	1.8	3.4
2-11 years	0.0	4.0	4.7
12-17	0.0	4.6	6.7
18-34	39.0	43.9	51.6
35-49 years	45.8	35.1	27.8
50-64 years	15.3	10.7	5.7
65-69 years	0.0	0.0	0.0
70+ years	0.0	0.0	0.0
Gender (%)			
Male	71.2	68.6	71.6
Female	28.8	31.4	28.4
Blood Type (%)			
0	50.8	47.9	51.9
A	32.2	36.3	35.1
В	15.3	12.8	11.3
AB	1.7	3.0	1.7
Unknown	0.0	0.0	0.0

* Race and ethnicity are reported together as a single data element, reflecting their data collection (either race or ethnicity is required, but not both). Patients formerly coded as white and Hispanic are coded as Hispanic. Race and ethnicity sum to 100%.



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C. Transplant Information

Table C4D. Deceased donor transplant characteristicsTransplants performed between 07/01/2019 and 06/30/2020

	Percentage in each category		
Transplant Characteristic	Center (N=59)	Region (N=328)	U.S. (N=3,519)
Total Ischemic Time (Minutes): Local (%)			
Deceased: 0-90 min	0.0	4.9	9.5
Deceased: 91-180 min	80.0	75.6	63.2
Deceased: 181-270 min	20.0	17.1	23.9
Deceased: 271-360 min	0.0	0.0	2.0
Deceased: 361+ min	0.0	1.2	0.7
Not Reported	0.0	1.2	0.8
Total Ischemic Time (Minutes): Shared (%)			
Deceased: 0-90 min	0.0	0.0	0.3
Deceased: 91-180 min	23.5	20.3	16.6
Deceased: 181-270 min	67.6	73.6	67.8
Deceased: 271-360 min	8.8	4.1	12.1
Deceased: 361+ min	0.0	1.2	2.6
Not Reported	0.0	0.8	0.7
Procedure Type (%)			
Heart alone	94.9	95.4	91.8
Heart and another organ	5.1	4.6	8.2
Sharing (%)			
Local	42.4	25.0	26.2
Shared	57.6	75.0	73.8
Median Time in Hospital After Transplant*	19.0 Days	17.0 Days	17.0 Days

* Multiple organ transplants are excluded from this statistic.



REGISTRY OFCenter Code: PAUPTRANSPLANTTransplant Program (Organ): Heart
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C. Transplant Information

Table C5D. Adult (18+) 1-month survival with a functioning deceased donor graftSingle organ transplants performed between 07/01/2017 and 12/31/2019Deaths and retransplants are considered graft failures

	PAUP	0.8.
Number of transplants evaluated	112	6,750
Estimated probability of surviving with a functioning graft at 1 month (unadjusted for patient and donor characteristics)	98.21%	96.61%
Expected probability of surviving with a functioning graft at 1 month (adjusted for patient and donor characteristics)	97.31%	
Number of observed graft failures (including deaths) during the first month after transplant	2	229
Number of expected graft failures (including deaths) during the first month after transplant	3.04	
Estimated hazard ratio*	0.79	
95% credible interval for the hazard ratio**	[0.22, 1.74]	

* The hazard ratio provides an estimate of how Hospital of the University of Pennsylvania (PAUP)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected graft failure rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected graft failure rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If PAUP's graft failure rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

** The 95% credible interval, [0.22, 1.74], indicates the location of PAUP's true hazard ratio with 95% probability. The best estimate is 21% lower risk of graft failure compared to an average program, but PAUP's performance could plausibly range from 78% reduced risk up to 74% increased risk.

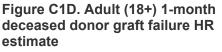
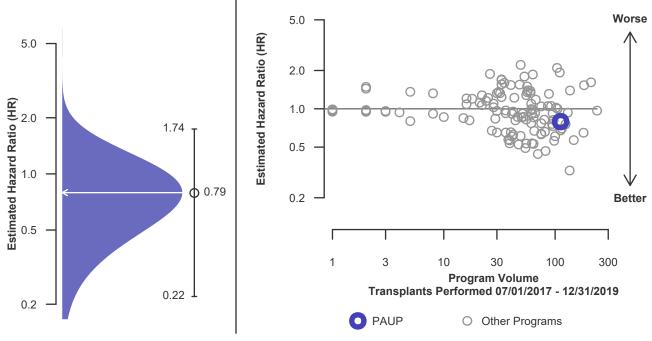


Figure C2D. Adult (18+) 1-month deceased donor graft failure HR program comparison





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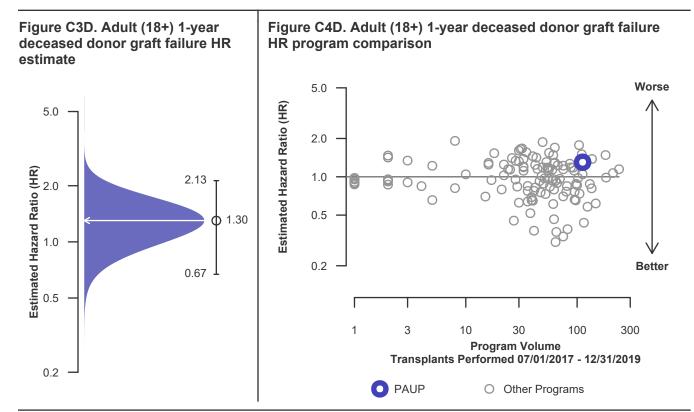
C. Transplant Information

Table C6D. Adult (18+) 1-year survival with a functioning deceased donor graftSingle organ transplants performed between 07/01/2017 and 12/31/2019Deaths and retransplants are considered graft failures

	PAUP	0.8.
Number of transplants evaluated	112	6,750
Estimated probability of surviving with a functioning graft at 1 year (unadjusted for patient and donor characteristics)	90.06%	91.32%
Expected probability of surviving with a functioning graft at 1 year (adjusted for patient and donor characteristics)	92.94%	
Number of observed graft failures (including deaths) during the first year after transplant	10	551
Number of expected graft failures (including deaths) during the first year after transplant	7.22	
Estimated hazard ratio*	1.30	
95% credible interval for the hazard ratio**	[0.67, 2.13]	

* The hazard ratio provides an estimate of how Hospital of the University of Pennsylvania (PAUP)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected graft failure rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected graft failure rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If PAUP's graft failure rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

** The 95% credible interval, [0.67, 2.13], indicates the location of PAUP's true hazard ratio with 95% probability. The best estimate is 30% higher risk of graft failure compared to an average program, but PAUP's performance could plausibly range from 33% reduced risk up to 113% increased risk.





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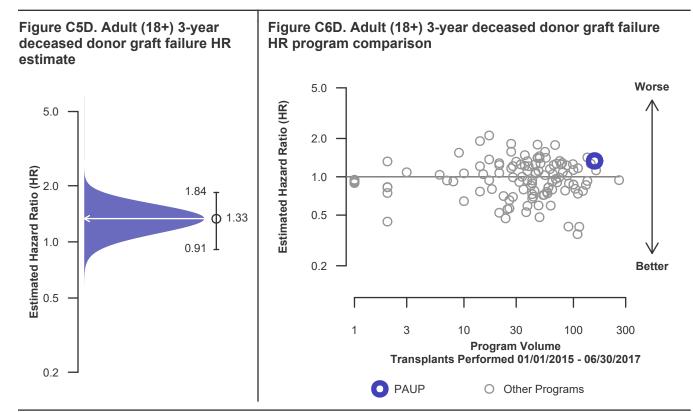
C. Transplant Information

Table C7D. Adult (18+) 3-year survival with a functioning deceased donor graftSingle organ transplants performed between 01/01/2015 and 06/30/2017Deaths and retransplants are considered graft failures

	PAUP	0.8.
Number of transplants evaluated	156	6,028
Estimated probability of surviving with a functioning graft at 3 years (unadjusted for patient and donor characteristics)	81.38%	85.47%
Expected probability of surviving with a functioning graft at 3 years (adjusted for patient and donor characteristics)	85.88%	
Number of observed graft failures (including deaths) during the first 3 years after transplant	29	873
Number of expected graft failures (including deaths) during the first 3 years after transplant	21.26	
Estimated hazard ratio*	1.33	
95% credible interval for the hazard ratio**	[0.91, 1.84]	

* The hazard ratio provides an estimate of how Hospital of the University of Pennsylvania (PAUP)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected graft failure rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected graft failure rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If PAUP's graft failure rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

** The 95% credible interval, [0.91, 1.84], indicates the location of PAUP's true hazard ratio with 95% probability. The best estimate is 33% higher risk of graft failure compared to an average program, but PAUP's performance could plausibly range from 9% reduced risk up to 84% increased risk.





REGISTRY OFCenter Code: PAUPTRANSPLANTTransplant Program (Organ): Heart
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C. Transplant Information

Table C8D. Pediatric (<18) 1-month survival with a functioning deceased donor graft</th>Single organ transplants performed between 07/01/2017 and 12/31/2019Deaths and retransplants are considered graft failures

This center did not perform any transplants relevant to this table during 07/01/2017-12/31/2019

Figure C7D. Pediatric (<18) 1-month deceased donor graft failure HR estimate	Figure C8D. Pediatric (<18) 1-month deceased donor graft failure HR program comparison
This center did not perform any	This center did not perform any
transplants relevant to	transplants relevant to
this figure during	this figure during
07/01/2017-12/31/2019	07/01/2017-12/31/2019



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C. Transplant Information

Table C9D. Pediatric (<18) 1-year survival with a functioning deceased donor graft Single organ transplants performed between 07/01/2017 and 12/31/2019 Deaths and retransplants are considered graft failures

This center did not perform any transplants relevant to this table during 07/01/2017-12/31/2019

Figure C9D. Pediatric (<18) 1-year deceased donor graft failure HR estimate	Figure C10D. Pediatric (<18) 1-year deceased donor graft failure HR program comparison
This center did not perform any	This center did not perform any
transplants relevant to	transplants relevant to
this figure during	this figure during
07/01/2017-12/31/2019	07/01/2017-12/31/2019



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Release Date: January 5, 2021RECIPIENTSBased on Data Available: October 31, 2020

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C. Transplant Information

Table C10D. Pediatric (<18) 3-year survival with a functioning deceased donor graft Single organ transplants performed between 01/01/2015 and 06/30/2017 Deaths and retransplants are considered graft failures

> This center did not perform any transplants relevant to this table during 01/01/2015-06/30/2017

Figure C11D. Pediatric (<18) 3-year deceased donor graft failure HR estimate	Figure C12D. Pediatric (<18) 3-year deceased donor graft failure HR program comparison
This center did not perform any	This center did not perform any
transplants relevant to	transplants relevant to
this figure during	this figure during
01/01/2015-06/30/2017	01/01/2015-06/30/2017



REGISTRY OFCenter Code: PAUPTRANSPLANTTransplant Program (Organ): Heart
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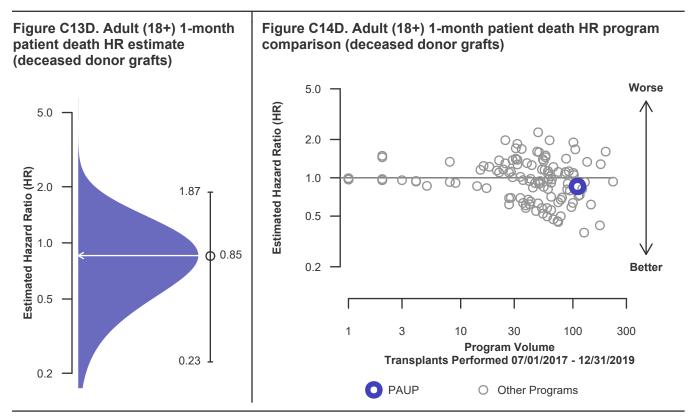
C. Transplant Information

Table C11D. Adult (18+) 1-month patient survival (deceased donor graft recipients) Single organ transplants performed between 07/01/2017 and 12/31/2019 Retransplants excluded PAUP

	FAUF	0.3.
Number of transplants evaluated	110	6,582
Estimated probability of surviving at 1 month (unadjusted for patient and donor characteristics)	98.18%	96.98%
Expected probability of surviving at 1 month (adjusted for patient and donor characteristics)	97.58%	
Number of observed deaths during the first month after transplant	2	199
Number of expected deaths during the first month after transplant	2.68	
Estimated hazard ratio*	0.85	
95% credible interval for the hazard ratio**	[0.23, 1.87]	

* The hazard ratio provides an estimate of how Hospital of the University of Pennsylvania (PAUP)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected patient death rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected patient death rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If PAUP's patient death rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

** The 95% credible interval, [0.23, 1.87], indicates the location of PAUP's true hazard ratio with 95% probability. The best estimate is 15% lower risk of patient death compared to an average program, but PAUP's performance could plausibly range from 77% reduced risk up to 87% increased risk.





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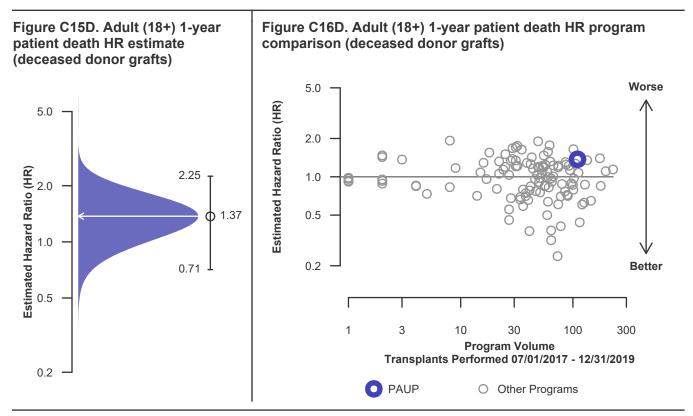
C. Transplant Information

Table C12D. Adult (18+) 1-year patient survival (deceased donor graft recipients) Single organ transplants performed between 07/01/2017 and 12/31/2019 Retransplants excluded PAUP

	FAUF	0.5.
Number of transplants evaluated	110	6,582
Estimated probability of surviving at 1 year (unadjusted for patient and donor characteristics)	89.86%	91.67%
Expected probability of surviving at 1 year (adjusted for patient and donor characteristics)	93.17%	
Number of observed deaths during the first year after transplant	10	514
Number of expected deaths during the first year after transplant	6.74	
Estimated hazard ratio*	1.37	
95% credible interval for the hazard ratio**	[0.71, 2.25]	

* The hazard ratio provides an estimate of how Hospital of the University of Pennsylvania (PAUP)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected patient death rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected patient death rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If PAUP's patient death rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

** The 95% credible interval, [0.71, 2.25], indicates the location of PAUP's true hazard ratio with 95% probability. The best estimate is 37% higher risk of patient death compared to an average program, but PAUP's performance could plausibly range from 29% reduced risk up to 125% increased risk.





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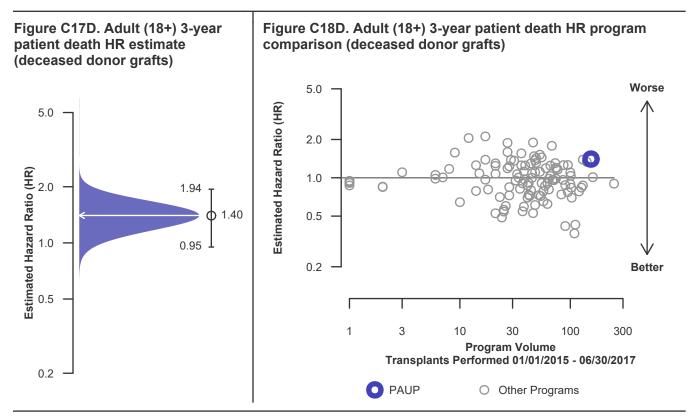
C. Transplant Information

Table C13D. Adult (18+) 3-year patient survival (deceased donor graft recipients) Single organ transplants performed between 01/01/2015 and 06/30/2017 Retransplants excluded PAUP

	PAUP	0.5.
Number of transplants evaluated	154	5,900
Estimated probability of surviving at 3 years (unadjusted for patient and donor characteristics)	81.14%	85.96%
Expected probability of surviving at 3 years (adjusted for patient and donor characteristics)	86.40%	
Number of observed deaths during the first 3 years after transplant	29	826
Number of expected deaths during the first 3 years after transplant	20.10	
Estimated hazard ratio*	1.40	
95% credible interval for the hazard ratio**	[0.95, 1.94]	

* The hazard ratio provides an estimate of how Hospital of the University of Pennsylvania (PAUP)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected patient death rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected patient death rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If PAUP's patient death rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

** The 95% credible interval, [0.95, 1.94], indicates the location of PAUP's true hazard ratio with 95% probability. The best estimate is 40% higher risk of patient death compared to an average program, but PAUP's performance could plausibly range from 5% reduced risk up to 94% increased risk.





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C. Transplant Information

Table C14D. Pediatric (<18) 1-month patient survival (deceased donor graft recipients) Single organ transplants performed between 07/01/2017 and 12/31/2019 Retransplants excluded

> This center did not perform any transplants relevant to this table during 07/01/2017-12/31/2019

Figure C19D. Pediatric (<18) 1-month patient death HR estimate (deceased donor grafts)	Figure C20D. Pediatric (<18) 1-month patient death HR program comparison (deceased donor grafts)
This center did not perform any	This center did not perform any
transplants relevant to	transplants relevant to
this figure during	this figure during
07/01/2017-12/31/2019	07/01/2017-12/31/2019



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C. Transplant Information

Table C15D. Pediatric (<18) 1-year patient survival (deceased donor graft recipients)</th>Single organ transplants performed between 07/01/2017 and 12/31/2019Retransplants excluded

This center did not perform any transplants relevant to this table during 07/01/2017-12/31/2019

Figure C21D. Pediatric (<18) 1-year patient death HR estimate (deceased donor grafts)	Figure C22D. Pediatric (<18) 1-year patient death HR program comparison (deceased donor grafts)
This center did not perform any	This center did not perform any
transplants relevant to	transplants relevant to
this figure during	this figure during
07/01/2017-12/31/2019	07/01/2017-12/31/2019



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C. Transplant Information

Table C16D. Pediatric (<18) 3-year patient survival (deceased donor graft recipients)</th>Single organ transplants performed between 01/01/2015 and 06/30/2017Retransplants excluded

This center did not perform any transplants relevant to this table during 01/01/2015-06/30/2017

Figure C23D. Pediatric (<18) 3-year patient death HR estimate (deceased donor grafts)	Figure C24D. Pediatric (<18) 3-year patient death HR program comparison (deceased donor grafts)
This center did not perform any	This center did not perform any
transplants relevant to	transplants relevant to
this figure during	this figure during
01/01/2015-06/30/2017	01/01/2015-06/30/2017



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C. Transplant Information

Table C17. Multi-organ transplant graft survival: 07/01/2017 - 12/31/2019

Adult (18+) Transplants	First-Year Outcomes						
Transplant Type	Transp Perfor PAUP-TX1	ned Graft Failures		med Graft Failures Graf		Estimate Graft Sເ PAUP-TX1	
Heart-Lung Kidney-Heart Liver-Heart	7 3 5	81 507 96	2 0 1	12 59 11	71.4% 100.0% 80.0%	84.0% 88.1% 88.1%	

Pediatric (<18) Transplants

No pediatric (<18) multi-organ transplants were performed

Table C18. Multi-organ transplant patient survival: 07/01/2017 - 12/31/2019

Adult (18+) Transplants	First-Year Outcomes					
Transplant Type	Transp Perfor PAUP-TX1	med	Patient I PAUP-TX1	Deaths USA	Estima Patient S PAUP-TX1	
Heart-Lung Kidney-Heart Liver-Heart	7 3 5	81 507 96	2 0 1	12 58 11	71.4% 100.0% 80.0%	84.0% 88.3% 88.0%

Pediatric (<18) Transplants

No pediatric (<18) multi-organ transplants were performed