

Center Code: NYUM Transplant Program (Organ): Kidney Release Date: January 5, 2021

Based on Data Available: October 31, 2020

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#### **User Guide**

Due to the pandemic's effect on the transplant system, adjustments have been made for this data reporting cycle and potentially future reporting cycles as well. For transplant programs, this means that SRTR will not include patient follow-up starting from March 12, 2020, the day prior to the emergency declaration, i.e., waitlist survival, transplant rate, and outcomes will not be assessed after that date.

This report contains a wide range of useful information about the kidney transplant program at State University of New York Upstate Medical University (NYUM). The report has three main sections:

- A. Program Summary
- B. Waiting List Information
- C. Transplant Information

The Program Summary is a one-page summary highlighting characteristics of the program, including the number of candidates on the waiting list, the number of transplants performed at the program, the number of patients being cared for by the program, and patient outcomes, including outcomes while on the waiting list (the transplant rate and the death rate while on the waiting list) and outcomes after transplant (patient and graft survival probabilities). If the program performed transplants in both adults and children, survival probabilities for adults and children (pediatrics) are provided separately. For each of the outcomes measures presented, a comparison is provided showing what would be expected at this program if it were performing as similar programs around the country perform when treating similar patients. More details regarding these outcome measures are provided in Sections B and C of the report.

The Waiting List Information section contains more detailed information on how many candidates are on the waiting list at the program, the types of candidates on the waiting list, how long candidates typically have to wait for a transplant at this program, how frequently candidates successfully receive a transplant, and how often candidates on the waiting list die before receiving a transplant.

Table B1 shows the activity on this program's waiting list during two recent 1-year periods and provides comparisons to all programs within this program's OPTN region (see http://optn.transplant.hrsa.gov/members/regions.asp for information on OPTN regions) and the nation as a whole. Tables B2 and B3 describe the candidates on the waiting list at this program, with comparisons to candidates waiting in the same donor service area (OPO/DSA) the OPTN region, and the nation as a whole.

Table B4 shows how many candidates were removed from the waiting list because they received a transplant. The program's transplant rate is calculated as the number of candidates who received a transplant divided by the person-years observed at the program (person-years is a combination of how many candidates were on the waiting list along with how long each candidate was followed since some candidates are not on the waiting list for the entire year). The transplant rate and comparisons to what would be expected at this program are presented in Figures B1 and B2. Figure B1 shows the transplant rate compared to what was expected at this program. The expected transplant rate is an estimate of what we would expect at this program if it were performing transplants at rates similar to other programs in the US with similar candidates on their waiting lists. The expected rate is only an estimate, and is made with a certain level of uncertainty. This uncertainty is shown in Figure B2. Figure B2 displays the ratio of the observed to the expected transplant rate. A ratio of 1 indicates that the



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observed transplant rate was equal to the expected transplant rate, while a ratio less than 1 indicates the observed rate was lower than expected rate and a ratio greater than 1 indicates the observed rate was higher than the expected rate. However, the level of uncertainty must be considered when interpreting these numbers. The 95% interval is also shown on Figure B2. This interval provides a range within which the true ratio of observed to expected transplant rates is likely to be. If this confidence interval includes (crosses) 1.0, then we cannot say that this program's observed transplant rate is different from what would be expected. The observed transplant rate at this program was 20.7 per 100 person-years. Transplant rates are also provided for adult and pediatric patients separately along with comparisons to adult and pediatric rates in the DSA, the OPTN region, and the nation. Transplant rates are also presented excluding transplants from a living donor (Table B4D and Figures B1D-B3D). Please refer to the PSR Technical Methods documentation available at http://www.srtr.org for more detail regarding how expected rates are calculated.

The death rate (also known as the mortality rate) for candidates on the waiting list is presented in Table B5 and Figures B4-B6. These data are presented in the same way as the transplant rate data in the previous section. The intent of this table and figures is to describe risk of death once candidates are listed rather than while they are listed, but before they are transplanted. Therefore, time at risk and deaths after removal from the waiting list for reasons other than transplant, transfer to another transplant program, or recovery (no longer needing a transplant), and before any subsequent transplant, are included. As with transplant rates, mortality rates should be interpreted carefully taking into consideration the interval displayed in Figure B5. For a complete description of how observed and expected mortality rates are calculated, please refer to the technical documentation available at http://www.srtr.org.

Survival from listing is presented in Table B6 and Figures B7-B9. These data are presented in the same way as the waiting list mortality rate data in the previous section. The intent of this table and figures is to describe risk of death once candidates are listed rather than while they are listed, including after a transplant. As with transplant rates, mortality rates should be interpreted carefully taking into consideration the interval displayed in Figure B8. For a complete description of how observed and expected mortality rates are calculated, please refer to the technical documentation available at http://www.srtr.org.

Table B7 presents information on what happens to candidates on the waiting list by three different time points after listing: 6 months, 12 months, and 18 months. The table displays percentages of candidates who have died, been removed from the waiting list, been transplanted, or been transferred or lost-to-follow-up. Tables B8 and B9 provide more detail regarding how many candidates have received a deceased donor transplant by certain time points during the first 3 years after being put on the transplant waiting list. Each row of Tables B8 and B9 presents the percent of candidates who received a deceased donor transplant by each time point. Table B10 presents data on the time it took for different percentages of patients to be transplanted for candidates added to the list between 07/01/2014 and 12/31/2019. The time it took for 5% (the 5th percentile) of patients to receive a transplant at this program was 1.4 months. If "Not Observed" is displayed in the table, then too few candidates received transplants before 06/30/2020 to calculate a particular percentile of transplant times.



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Table B11 contains a summary of the offer acceptance practices of the program. The offer acceptance ratio indicates whether the program is more or less likely to accept offers than the average program. If the offer acceptance ratio is greater than 1.0, then the program tends to accept more offers than average; if the offer acceptance ratio is less than 1.0, then the program tends to accept fewer offers than average. Figure B10 shows the distribution of program offer acceptance rates as well as the offer acceptance rate for this program. Figures B11 - B14 similarly show offer acceptance rates for subsets of offers.

The Transplant Information section begins with descriptions of transplant recipients in Tables C1 and C2. Data on recipients of deceased donor transplants are presented (Tables C1D and C2D); if applicable, data on recipients of living donor transplants are presented separately (Tables C1L and C2L). Comparisons to the region and the nation as a whole are provided. A description of the deceased donors used at this program is provided in Table C3D, along with characteristics of living donors in Table C3L, if applicable. Finally, information on the transplant procedure for deceased and living donor transplants is presented in Tables C4D and C4L, respectively.

Starting with Table C5, transplant outcomes are presented along with comparisons to what would be expected at this program and what happened in the nation as a whole. Tables C5-C10 present information on graft survival (survival of the transplanted organ), with data presented separately for adult and pediatric recipients. Patients are followed from the time of transplant until either failure of the transplanted organ or death, whichever comes first. Please refer to the technical methods for more information on these calculations (http://www.srtr.org).

While Tables C5-C10 present data on graft survival, Tables C11-C16 present information on patient survival. For these tables, patients are followed from the time of transplant until death, regardless of whether the transplant is functioning or the patient required another transplant to survive.

Tables C17 and C18 summarize the multiorgan transplant outcomes at this program. The summary statistics in these tables are descriptive and are not risk-adjusted for different donor and candidate characteristics.

Table D1 shows the rates of follow-up for living donors.

Additional information regarding the technical methods and the risk adjustment models used to estimate expected event rates is available on the SRTR website at http://www.srtr.org. We welcome and encourage feedback on these reports. Please feel free to share feedback with the SRTR at the following e-mail: srtr@srtr.org.



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## **A. Program Summary**

Figure A1. Waiting list and transplant activity

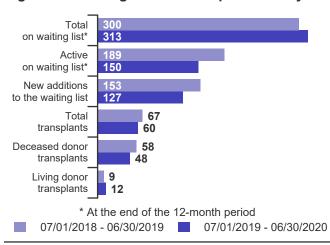


Table A1. Census of transplant recipients

Recipients	07/01/2018- 06/30/2019	07/01/2019- 06/30/2020
Transplanted at this center	67	60
Followed by this center*	536	538
transplanted at this progran	n 530	531
transplanted elsewhere	6	7

<sup>\*</sup> Recipients followed are transplant recipients for whom the center has submitted a post-transplant follow-up form for a transplant that took place before the 12-month interval for each column.

Figure A2. Transplant rates 07/01/2018 - 03/12/2020

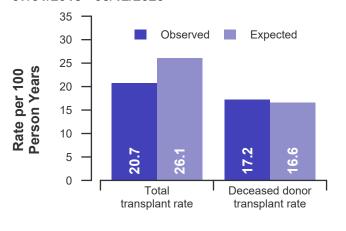


Figure A3. Waiting list mortality rates 07/01/2018 - 03/12/2020



Figure A4. First-year adult graft and patient survival: 07/01/2017 - 12/31/2019

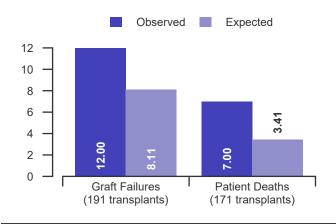
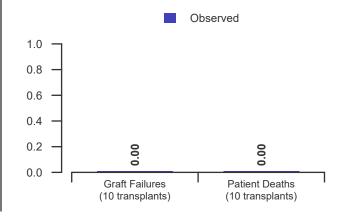


Figure A5. First-year pediatric graft and patient survival: 07/01/2017 - 12/31/2019





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Table B1. Waiting list activity summary: 07/01/2018 - 06/30/2020

		its for center	Activity for 07/01/2019 to 06/30/2020 as percent of registrants on waiting list on 07/01/2019			
Waiting List Registrations	07/01/2018- 06/30/2019	07/01/2019- 06/30/2020	This Center (%)	OPTN Region (%)	U.S. (%)	
On waiting list at start Additions	287	300	100.0	100.0	100.0	
New listings at this center	153	127	42.3	35.2	39.3	
Removals						
Transferred to another center	1	10	3.3	2.4	1.7	
Received living donor transplant*	9	11	3.7	6.3	5.7	
Received deceased donor transplant*	55	46	15.3	12.2	16.6	
Died	11	12	4.0	5.9	4.1	
Transplanted at another center	3	3	1.0	2.5	3.8	
Deteriorated	40	20	6.7	3.3	4.0	
Recovered	0	0	0.0	0.1	0.2	
Other reasons	21	12	4.0	4.3	5.0	
On waiting list at end of period	300	313	104.3	98.2	98.2	

<sup>\*</sup> These patients were removed from waiting list with removal code indicating transplant; this may not equal the number of transplants performed at this center during the specified period.



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Table B2. Demographic characteristics of waiting list candidates
Candidates registered on the waiting list between 07/01/2019 and 06/30/2020

Domographic Characteristic		iting List Regi 019 to 06/30/2		All Waiting List Registrations on 06/30/2020 (%)			
Demographic Characteristic	This Center (N=127)	OPTN Region (N=2,751)	U.S. (N=39,776)	This Center (N=313)	OPTN Region (N=7,678)	U.S. (N=99,301)	
All (%)	100.0	100.0	100.0	100.0	100.0	100.0	
Ethnicity/Race (%)*							
White	74.8	39.6	41.7	68.7	30.1	35.2	
African-American	16.5	30.7	28.5	21.1	36.2	32.1	
Hispanic/Latino	2.4	17.8	19.7	3.8	20.6	21.0	
Asian	6.3	10.7	8.1	4.5	12.2	9.9	
Other	0.0	1.2	1.9	1.9	8.0	1.8	
Unknown	0.0	0.0	0.0	0.0	0.0	0.0	
Age (%)							
<2 years	0.0	0.1	0.1	0.0	0.1	0.1	
2-11 years	0.0	0.6	0.9	0.0	0.5	0.6	
12-17 years	1.6	1.3	1.5	1.0	1.4	1.0	
18-34 years	14.2	11.4	10.7	10.5	10.0	10.3	
35-49 years	18.9	21.6	24.2	22.4	24.7	26.9	
50-64 years	44.1	42.1	41.3	44.1	44.1	43.4	
65-69 years	13.4	12.5	13.3	13.1	11.5	12.1	
70+ years	7.9	10.5	8.1	8.9	7.7	5.6	
Gender (%)							
Male	59.8	63.8	62.1	63.3	62.5	62.1	
Female	40.2	36.2	37.9	36.7	37.5	37.9	

<sup>\*</sup> Race and ethnicity are reported together as a single data element, reflecting their data collection (either race or ethnicity is required, but not both). Patients formerly coded as white and Hispanic are coded as Hispanic. Race and ethnicity sum to 100%.



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Table B3. Medical characteristics of waiting list candidates
Candidates registered on the waiting list between 07/01/2019 and 06/30/2020

Medical Characteristic		iting List Regis		All Waiting List Registrations on 06/30/2020 (%)			
medical Characteristic	This Center (N=127)	OPTN Region (N=2,751)	U.S. (N=39,776)	This Center (N=313)	OPTN Region (N=7,678)	U.S. (N=99,301)	
All (%)	100.0	100.0	100.0	100.0	100.0	100.0	
Blood Type (%)							
0	51.2	47.7	49.1	52.7	51.3	53.8	
A	37.0	30.8	32.4	33.9	27.4	27.2	
В	7.1	17.3	14.8	9.6	17.9	16.5	
AB	4.7	4.2	3.8	3.8	3.4	2.5	
Unknown	0.0	0.0	0.0	0.0	0.0	0.0	
Previous Transplant (%)							
Yes	11.0	13.9	12.7	14.1	15.0	13.7	
No	89.0	86.1	87.3	85.9	85.0	86.3	
Unknown	0.0	0.0	0.0	0.0	0.0	0.0	
Initial CPRA (%)							
0-9%	86.6	88.4	80.5	73.5	88.8	80.0	
10-79%	5.5	7.3	12.4	14.1	7.0	12.6	
80+%	7.9	4.3	6.9	12.5	4.2	7.3	
Unknown	0.0	0.0	0.2	0.0	0.0	0.1	
Primary Disease (%)*							
Glomerular Diseases	20.5	18.1	18.8	20.8	17.5	18.8	
Tubular and Interstitial Diseases	7.9	4.2	3.8	4.8	3.8	3.6	
Polycystic Kidneys	14.2	7.3	7.5	9.9	6.4	6.9	
Congenital, Familial, Metabolic	3.1	1.9	2.1	3.2	1.5	1.8	
Diabetes	29.9	34.1	35.4	31.0	36.0	36.9	
Renovascular & Vascular Disease	s 0.0	0.1	0.2	0.3	0.1	0.2	
Neoplasms	0.0	0.5	0.3	0.0	0.4	0.3	
Hypertensive Nephrosclerosis	11.8	21.4	19.8	18.8	23.9	21.2	
Other	12.6	11.8	11.7	10.9	10.0	9.9	
Missing*	0.0	0.5	0.4	0.3	0.4	0.4	

<sup>\*</sup> When "retransplant" is indicated, the primary disease is passed forward from the prior transplant in order to indicate the initial primary disease causing organ failure. "Missing" may include some patients for whom retransplant is indicated but no prior diagnosis can be found.



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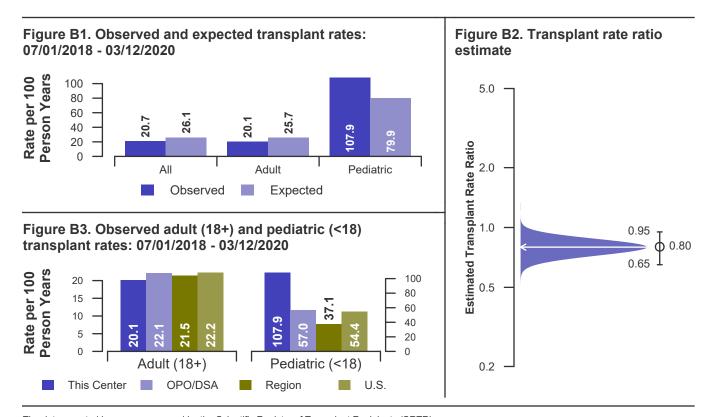
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Table B4. Transplant rates: 07/01/2018 - 03/12/2020

Waiting List Registrations	This Center	OPO/DSA	Region	U.S.
All Candidates				
Count on waiting list at start*	286	663	7,650	100,697
Person Years**	507.0	1,183.7	13,213.1	171,846.3
Removals for Transplant	105	265	2,877	38,985
Adult (18+) Candidates				
Count on waiting list at start*	284	657	7,497	99,206
Person Years**	503.3	1,173.1	12,954.7	169,185.1
Removals for transpant	101	259	2,781	37,536
Pediatric (<18) Candidates				
Count on waiting list at start*	2	6	153	1,491
Person Years**	3.7	10.5	258.5	2,661.2
Removals for transplant	4	6	96	1,449

<sup>\*</sup> Counts in this table may be lower than similar counts in other waiting list tables, such as Table B1. A small percentage (~1%) of patients are found to have died or been transplanted before being removed from the waiting list, so these patients are excluded if the event occurs prior to the start of the study period. Inactive time on the waiting list is included in the calculations for this table.

<sup>\*\*</sup> Person years are calculated as days (converted to fractional years). The number of days from July 1 or from the date of first wait listing until death, transplant, removal from the waiting list or March 12, 2020.





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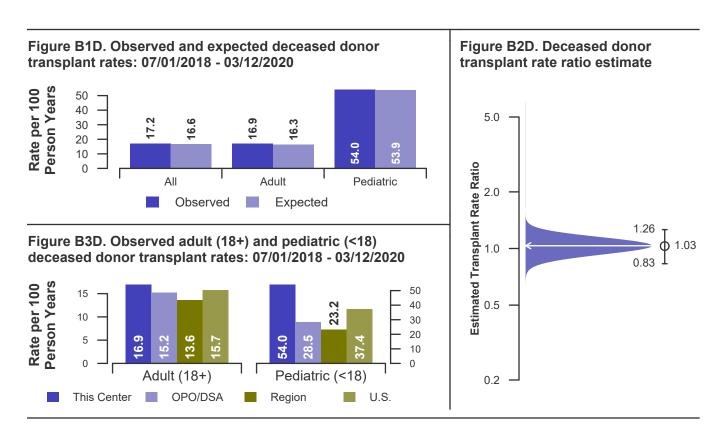
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Table B4D. Deceased donor transplant rates: 07/01/2018 - 03/12/2020

Waiting List Registrations	This Center	OPO/DSA	Region	U.S.
All Candidates				
Count on waiting list at start*	286	663	7,650	100,697
Person Years**	507.0	1,183.7	13,213.1	171,846.3
Removals for Transplant	87	181	1,822	27,603
Adult (18+) Candidates				
Count on waiting list at start*	284	657	7,497	99,206
Person Years**	503.3	1,173.1	12,954.7	169,185.1
Removals for transpant	85	178	1,762	26,609
Pediatric (<18) Candidates				
Count on waiting list at start*	2	6	153	1,491
Person Years**	3.7	10.5	258.5	2,661.2
Removals for transplant	2	3	60	994

<sup>\*</sup> Counts in this table may be lower than similar counts in other waiting list tables, such as Table B1. A small percentage (~1%) of patients are found to have died or been transplanted before being removed from the waiting list, so these patients are excluded if the event occurs prior to the start of the study period. Inactive time on the waiting list is included in the calculations for this table.

<sup>\*\*</sup> Person years are calculated as days (converted to fractional years). The number of days from July 1 or from the date of first wait listing until death, transplant, removal from the waiting list or March 12, 2020.





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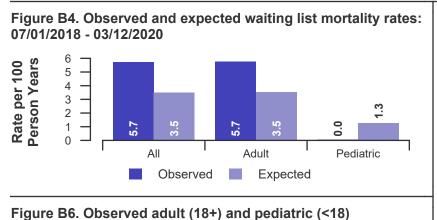
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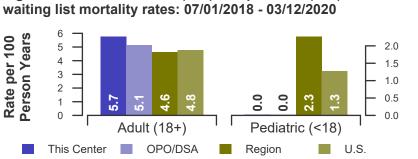
Table B5. Waiting list mortality rates: 07/01/2018 - 03/12/2020

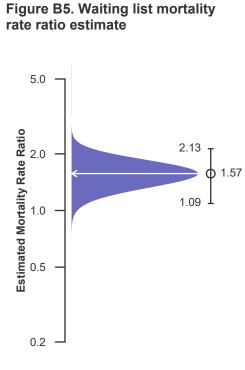
Waiting List Registrations	This Center	OPO/DSA	Region	U.S.
All Candidates				
Count on waiting list at start*	286	663	7,650	100,697
Person Years**	578.3	1,278.7	13,965.9	184,662.2
Number of deaths	33	65	641	8,721
Adult (18+) Candidates				
Count on waiting list at start*	284	657	7,497	99,206
Person Years**	574.6	1,266.7	13,700.3	181,914.0
Number of deaths	33	65	635	8,686
Pediatric (<18) Candidates				
Count on waiting list at start*	2	6	153	1,491
Person Years**	3.7	11.9	265.6	2,748.2
Number of deaths	0	0	6	35

<sup>\*</sup> Counts in this table may be lower than similar counts in other waiting list tables, such as Table B1. A small percentage (~1%) of patients are found to have died or been transplanted before being removed from the waiting list, so these patients are excluded if the event occurs prior to the start of the study period. Inactive time on the waiting list is included in the calculations for this table.

<sup>\*\*</sup> Person years are calculated as days (converted to fractional years). The number of days from July 1 or from the date of first wait listing until death, transplant, 60 days after recovery, transfer or March 12, 2020.









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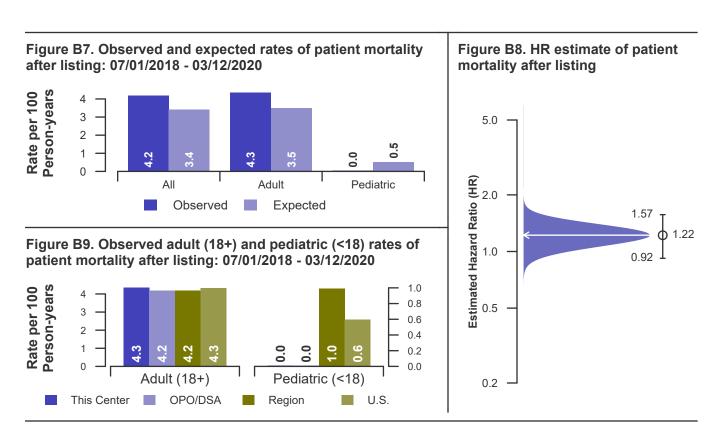
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Table B6. Rates of patient mortality after listing: 07/01/2018 - 03/12/2020

Waiting List Registrations	This Center	OPO/DSA	Region	U.S.
All Patients				
Count at risk during the evaluation period	934	1,950	20,902	288,816
Person-years*	1,242.8	2,594.1	27,348.9	381,306.4
Number of Deaths	52	105	1,121	16,073
Adult (18+) Patients				
Count at risk during the evaluation period	901	1,883	20,305	280,336
Person-years*	1,199.0	2,504.5	26,543.0	369,696.7
Number of Deaths	52	105	1,113	16,004
Pediatric (<18) Patients				
Count at risk during the evaluation period	33	67	597	8,480
Person-years*	43.8	89.6	805.9	11,609.7
Number of Deaths	0	0	8	69

<sup>\*</sup> Person-years are calculated as days (converted to fractional years). The number of days from 07/01/2018, or from the date of first wait listing until death, reaching 7 years after listing or March 12, 2020.

<sup>\*\*</sup> Patient mortality after listing describes the relative survival experience of patients after listing. It depends on many factors, some of which are outside of the control of the transplant program. For example, availability of organs may not be the same in every part of the country.





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Table B7. Waiting list candidate status after listing Candidates registered on waiting list between 01/01/2018 and 12/31/2018

Waiting list status (survival status)		Center (National Control of Contr	,	U.S. (N=39,987) Months Since Listing 6 12 18			
Alive on waiting list (%)	78.5	64.5	54.0	77.4	64.2	54.1	
Died on the waiting list without transplant (%)	0.5	2.0	3.0	1.0	1.9	2.8	
Removed without transplant (%):							
Condition worsened (status unknown)	3.0	5.5	8.5	0.6	1.4	2.4	
Condition improved (status unknown)	0.0	0.0	0.0	0.1	0.1	0.2	
Refused transplant (status unknown)	0.5	0.5	1.0	0.0	0.1	0.2	
Other	0.5	1.5	3.0	0.8	1.6	2.6	
Transplant (living donor from waiting list only) (%	o):						
Functioning (alive)	3.0	5.0	4.5	6.7	10.1	7.7	
Failed-Retransplanted (alive)	0.0	0.0	0.0	0.0	0.0	0.0	
Failed-alive not retransplanted	0.0	0.0	0.0	0.0	0.0	0.0	
Died	0.0	0.0	0.0	0.0	0.1	0.1	
Status Yet Unknown**	0.0	0.0	1.0	0.1	0.6	5.0	
Transplant (deceased donor) (%):							
Functioning (alive)	13.5	19.5	17.0	11.1	14.6	11.6	
Failed-Retransplanted (alive)	0.0	0.0	0.0	0.0	0.0	0.0	
Failed-alive not retransplanted	0.5	0.0	0.0	0.0	0.0	0.1	
Died	0.0	0.0	1.5	0.2	0.4	0.7	
Status Yet Unknown*	0.0	1.0	5.5	1.7	4.0	11.4	
Lost or Transferred (status unknown) (%)	0.0	0.5	1.0	0.2	0.7	1.3	
TOTAL (%)	100.0	100.0	100.0	100.0	100.0	100.0	
Total % known died on waiting list or after transplant	0.5	2.0	4.5	1.2	2.4	3.6	
Total % known died or removed as unstable	3.5	7.5	13.0	1.9	3.8	6.0	
Total % removed for transplant	17.0	25.5	29.5	19.9	29.9	36.5	
Total % with known functioning transplant (alive)	16.5	24.5	21.5	17.8	24.7	19.3	

<sup>\*</sup> Follow-up form covering specified time period not yet completed, and possibly has not become due.



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## **B. Waiting List Information**

Table B8. Percent of candidates with deceased donor transplants: demographic characteristics Candidates registered on the waiting list between 07/01/2014 and 06/30/2017

		Percent transplanted at time periods since listing									
Characteristic		This Center							United States		
	N	30 day	1 year	2 years	3 years	N	30 day	1 year	2 years	3 years	
All	321	4.7	38.0	53.3	57.0	93,662	3.6	16.0	22.6	27.8	
Ethnicity/Race*											
White	242	3.7	39.7	52.5	56.6	37,390	3.9	17.0	23.7	28.8	
African-American	61	8.2	32.8	55.7	57.4	29,527	3.5	16.2	22.7	27.9	
Hispanic/Latino	5	0.0	0.0	20.0	20.0	17,534	3.7	15.6	22.0	27.4	
Asian	10	10.0	40.0	70.0	80.0	7,645	2.0	11.2	17.6	23.0	
Other	3	0.0	66.7	66.7	66.7	1,566	4.5	19.5	25.9	31.6	
Unknown	0					0					
Age											
<2 years	0					128	5.5	36.7	53.9	68.0	
2-11 years	1	0.0	0.0	0.0	100.0	852	8.3	48.4	62.0	70.8	
12-17 years	7	14.3	71.4	100.0	100.0	1,407	7.8	49.3	62.2	67.9	
18-34 years	53	1.9	34.0	56.6	58.5	9,563	3.5	17.6	25.9	32.9	
35-49 years	86	3.5	34.9	52.3	53.5	23,762	3.3	15.0	21.9	27.7	
50-64 years	103	5.8	40.8	52.4	59.2	40,111	3.6	14.8	20.7	25.5	
65-69 years	50	8.0	42.0	56.0	60.0	12,262	3.7	14.8	20.6	25.1	
70+ years	21	0.0	28.6	33.3	33.3	5,577	3.3	15.3	20.9	25.1	
Gender											
Male	199	5.5	40.2	55.3	59.3	58,067	3.8	15.6	21.7	26.8	
Female	122	3.3	34.4	50.0	53.3	35,595	3.4	16.8	24.0	29.5	

<sup>\*</sup> Race and ethnicity are reported together as a single data element, reflecting their data collection (either race or ethnicity is required, but not both). Patients formerly coded as white and Hispanic are coded as Hispanic. Race and ethnicity sum to 100%.



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## **B. Waiting List Information**

Table B9. Percent of candidates with deceased donor transplants: medical characteristics Candidates registered on the waiting list between 07/01/2014 and 06/30/2017

Characteristic	Percent transplanted at time periods since listing This Center United States									
	N	30 day	1 year	2 years	3 years	N	30 day	1 year	2 years	3 years
All	321	4.7	38.0	53.3	57.0	93,662	3.6	16.0	22.6	27.8
Blood Type										
Ο	159	4.4	31.4	47.2	52.2	46,625	3.3	14.0	19.5	24.0
A	113	4.4	48.7	65.5	66.4	29,375	4.4	19.0	26.9	33.2
В	35	0.0	22.9	37.1	45.7	14,175	2.4	13.1	19.2	24.0
AB	14	21.4	64.3	64.3	64.3	3,487	6.0	30.6	41.5	49.3
Previous Transplant										
Yes	51	0.0	15.7	29.4	29.4	12,856	2.7	16.4	24.4	29.8
No	270	5.6	42.2	57.8	62.2	80,806	3.8	16.0	22.3	27.5
Peak PRA/CPRA										
0-9%	228	5.7	43.0	59.6	63.6	75,317	3.9	15.5	21.6	26.8
10-79%	50	4.0	34.0	50.0	54.0	10,787	2.5	15.7	22.8	28.2
80+%	43	0.0	16.3	23.3	25.6	7,475	2.6	22.4	32.3	37.4
Unknown	0					7	100.0	100.0	100.0	100.0
Primary Disease*										
Glomerular Diseases	74	6.8	41.9	60.8	62.2	17,106	2.9	16.8	25.0	31.6
Tubular & Interstitial Diseases	16	6.2	37.5	56.2	62.5	3,474	4.6	19.5	27.3	32.6
Polycystic Kidneys	29	3.4	34.5	51.7	55.2	6,196	2.1	14.6	22.1	28.9
Congenital, Familial, Metabolic	9	0.0	44.4	66.7	66.7	1,840	4.9	29.2	39.0	46.6
Diabetes	80	5.0	32.5	41.2	45.0	32,973	2.4	11.7	16.6	20.7
Renovascular & Vascular Diseases	1	0.0	0.0	0.0	100.0	142	6.3	20.4	27.5	33.8
Neoplasms	2	0.0	50.0	50.0	50.0	288	5.6	22.2	28.5	34.7
Hypertensive Nephrosclerosis	62	3.2	35.5	50.0	53.2	20,390	3.4	15.9	22.6	28.2
Other	47	4.3	44.7	63.8	70.2	10,889	9.1	25.7	32.9	37.5
Missing*	1	0.0	100.0	100.0	100.0	364	1.4	11.0	16.5	20.9

<sup>\*</sup> When "retransplant" is indicated, the primary disease is passed forward from the prior transplant in order to indicate the initial primary disease causing organ failure. "Missing" may include some patients for whom retransplant is indicated but no prior diagnosis can be found.



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## **B.** Waiting List Information

Table B10. Time to transplant for waiting list candidates\*

Candidates registered on the waiting list between 07/01/2014 and 12/31/2019

	Months to Transplant**			
Percentile	Center	OPO/DSA	Region	U.S.
5th	1.4	1.6	0.4	0.9
10th	2.3	3.2	1.5	2.5
25th	8.0	10.4	8.5	9.7
50th (median time to transplant)	28.5	40.0	45.0	42.4
75th	Not Observed	Not Observed	Not Observed	Not Observed

<sup>\*</sup> If cells contain "Not Observed" fewer than that percentile of patients had received a transplant. For example, the 50th percentile of time to transplant is the time when 50% of candidates have received transplants. If waiting times are long, then the 50th percentile may not be observed during the follow-up period for this table. Also, if more than 50% of candidates are removed from the list due to death or other reasons before receiving transplants, then the 50th percentile of time to transplant will not be observed.

<sup>\*\*</sup> Censored on 06/30/2020. Calculated as the months after listing, during which the corresponding percent of all patients initially listed had received a transplant.



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Table B11. Offer Acceptance Practices: 07/01/2019 - 03/12/2020

Offers Acceptance Characteristics	This Center	OPO/DSA	Region	U.S.
Overall				
Number of Offers	2,167	6,329	132,631	1,489,166
Number of Acceptances	33	77	691	11,332
Expected Acceptances	19.0	58.5	561.8	11,315.1
Offer Acceptance Ratio*	1.66	1.30	1.23	1.00
95% Credible Interval**	[1.16, 2.26]			
Low-KDRI Donors (KDRI < 1.05)				
Number of Offers	260	764	16,083	148,107
Number of Acceptances	10	28	164	3,735
Expected Acceptances	7.4	20.8	145.5	3,727.8
Offer Acceptance Ratio*	1.27	1.31	1.13	1.00
95% Credible Interval**	[0.66, 2.09]			
Medium-KDRI Donors (1.05 < KDRI < 1.75)				
Number of Offers	1,293	3,875	86,896	1,024,741
Number of Acceptances	20	41	423	6,217
Expected Acceptances	9.0	30.8	310.5	6,209.0
Offer Acceptance Ratio*	1.99	1.31	1.36	1.00
95% Credible Interval**	[1.25, 2.91]			
High-KDRI Donors (KDRI > 1.75)				
Number of Offers	614	1,690	29,652	316,318
Number of Acceptances	3	8	104	1,380
Expected Acceptances	2.6	6.9	105.7	1,378.3
Offer Acceptance Ratio*	1.09	1.12	0.98	1.00
95% Credible Interval**	[0.35, 2.24]			
Hard-to-Place Kidneys (Over 100 Offers)				
Number of Offers	1,783	5,254	119,656	1,289,977
Number of Acceptances	2	2	268	1,603
Expected Acceptances	1.4	4.5	146.4	1,598.9
Offer Acceptance Ratio*	1.17	0.62	1.82	1.00
95% Credible Interval**	[0.32, 2.57]			

<sup>\*</sup> The offer acceptance ratio estimates the relative offer acceptance practice of State University of New York Upstate Medical University (NYUM) compared to the national offer acceptance practice. A ratio above one indicates the program is more likely to accept an offer compared to national offer acceptance practices (e.g., an offer acceptance ratio of 1.25 indicates a 25% more likely to accept an offer), while a ratio below one indicates the program is less likely to accept an offer compared to national offer acceptance practices (e.g., an offer acceptance ratio of 0.75 indicates a 25% less likely to accept an offer).

\*\* As an example, the 95% Credible Interval for the overall offer acceptance ratio, [1.16, 2.26], indicates the location of NYUM's true offer acceptance ratio with 95% probability. The best estimate is 66% more likely to accept an offer compared to national acceptance behavior, but NYUM's performance could plausibly range from 16% higher acceptance up to 126% higher acceptance.



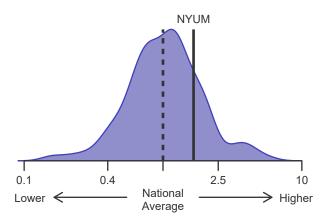
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Figure B10. Offer acceptance: Overall

Figure B11. Offer acceptance: Low-KDRI



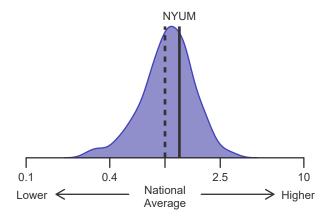
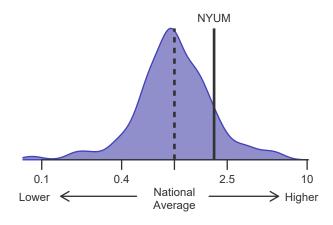


Figure B12. Offer acceptance: Medium-KDRI

Figure B13. Offer acceptance: High-KDRI



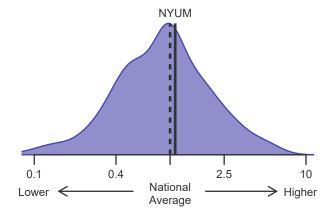
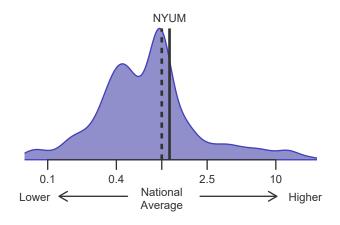


Figure B14. Offer acceptance: Offer number > 100





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## **C. Transplant Information**

# Table C1D. Deceased donor transplant recipient demographic characteristics Patients transplanted between 07/01/2019 and 06/30/2020

	Perce	Percentage in each category		
Characteristic	Center (N=48)	Region (N=953)	U.S. (N=16,870)	
Ethnicity/Race (%)*				
White	75.0	37.1	37.8	
African-American	22.9	33.7	32.2	
Hispanic/Latino	0.0	17.8	20.3	
Asian	2.1	10.3	7.8	
Other	0.0	1.0	1.9	
Unknown	0.0	0.0	0.0	
Age (%)				
<2 years	0.0	0.0	0.1	
2-11 years	0.0	0.8	1.2	
12-17	0.0	0.8	1.6	
18-34	18.8	9.3	10.3	
35-49 years	27.1	21.7	23.9	
50-64 years	41.7	43.4	40.3	
65-69 years	10.4	14.3	13.2	
70+ years	2.1	9.5	9.5	
Gender (%)				
Male	54.2	64.4	60.4	
Female	45.8	35.6	39.6	

<sup>\*</sup> Race and ethnicity are reported together as a single data element, reflecting their data collection (either race or ethnicity is required, but not both). Patients formerly coded as white and Hispanic are coded as Hispanic. Race and ethnicity sum to 100%.



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## C. Transplant Information

# Table C1L. Living donor transplant recipient demographic characteristics Patients transplanted between 07/01/2019 and 06/30/2020

	Perce	Percentage in each category		
Characteristic	Center (N=12)	Region (N=501)	U.S. (N=5,777)	
Ethnicity/Race (%)*				
White	91.7	63.3	65.1	
African-American	0.0	13.6	12.4	
Hispanic/Latino	0.0	15.8	14.7	
Asian	0.0	7.0	6.4	
Other	8.3	0.4	1.4	
Unknown	0.0	0.0	0.0	
Age (%)				
<2 years	0.0	0.0	0.2	
2-11 years	0.0	0.6	1.7	
12-17	16.7	2.0	1.7	
18-34	8.3	15.0	15.9	
35-49 years	25.0	23.8	25.7	
50-64 years	50.0	35.3	35.3	
65-69 years	0.0	12.4	10.9	
70+ years	0.0	11.0	8.7	
Gender (%)				
Male	83.3	65.1	62.3	
Female	16.7	34.9	37.7	

<sup>\*</sup> Race and ethnicity are reported together as a single data element, reflecting their data collection (either race or ethnicity is required, but not both). Patients formerly coded as white and Hispanic are coded as Hispanic. Race and ethnicity sum to 100%.



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## C. Transplant Information

# Table C2D. Deceased donor transplant recipient medical characteristics Patients transplanted between 07/01/2019 and 06/30/2020

	Percentage in each category		
Characteristic	Center (N=48)	Region (N=953)	U.S. (N=16,870)
Blood Type (%)			
0	45.8	46.2	45.5
A	43.8	34.1	35.2
В	6.2	14.9	14.1
AB	4.2	4.8	5.3
Previous Transplant (%)			
Yes	16.7	14.5	12.6
No	83.3	85.5	87.4
Peak PRA/CPRA Prior to Transplant (%)			
0-9%	50.0	69.3	61.2
10-79%	29.2	16.8	22.8
80+ %	20.8	14.0	16.0
Unknown	0.0	0.0	0.0
Body Mass Index (%)			
0-20	16.7	10.1	9.4
21-25	14.6	31.8	27.1
26-30	29.2	29.5	30.6
31-35	29.2	17.6	21.1
36-40	8.3	7.1	8.1
41+	2.1	2.3	1.5
Unknown	0.0	1.6	2.2
Primary Disease (%)*			
Glomerular Diseases	31.2	21.9	21.2
Tubular and Interstitial Disease	10.4	3.9	4.0
Polycystic Kidneys	14.6	7.9	7.5
Congenital, Familial, Metabolic	2.1	1.4	2.6
Diabetes	16.7	28.5	30.1
Renovascular & Vascular Diseases	2.1	0.2	0.2
Neoplasms	0.0	0.4	0.4
Hypertensive Nephrosclerosis	14.6	21.9	23.0
Other Kidney	6.2	13.6	10.7
Missing*	2.1	0.2	0.2

<sup>\*</sup> When "retransplant" is indicated, the primary disease is passed forward from the prior transplant in order to indicate the initial primary disease causing organ failure. "Missing" may include some patients for whom retransplant is indicated but no prior diagnosis can be found.



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## **C. Transplant Information**

## Table C2L. Living donor transplant recipient medical characteristics Patients transplanted between 07/01/2019 and 06/30/2020

	Percei	Percentage in each category		
Characteristic	Center (N=12)	Region (N=501)	U.S. (N=5,777)	
Blood Type (%)				
0	58.3	42.3	43.4	
A	33.3	34.9	38.8	
В	8.3	18.8	14.1	
AB	0.0	4.0	3.7	
Previous Transplant (%)				
Yes	0.0	12.4	10.3	
No	100.0	87.6	89.7	
Peak PRA/CPRA Prior to Transplant (%)				
0-9%	66.7	83.6	74.8	
10-79%	33.3	13.2	20.0	
80+ %	0.0	3.2	5.2	
Unknown	0.0	0.0	0.0	
Body Mass Index (%)				
0-20	0.0	11.0	11.3	
21-25	16.7	28.3	27.7	
26-30	33.3	31.1	31.6	
31-35	41.7	21.4	19.5	
36-40	8.3	6.0	7.7	
41+	0.0	1.0	1.1	
Unknown	0.0	1.2	1.1	
Primary Disease (%)*				
Glomerular Diseases	41.7	27.7	28.0	
Tubular and Interstitial Disease	0.0	6.2	5.5	
Polycystic Kidneys	8.3	10.0	13.1	
Congenital, Familial, Metabolic	0.0	2.8	3.8	
Diabetes	16.7	25.0	24.8	
Renovascular & Vascular Diseases	0.0	0.0	0.3	
Neoplasms	0.0	0.2	0.4	
Hypertensive Nephrosclerosis	0.0	17.0	15.1	
Other Kidney	33.3	10.8	8.9	
Missing*	0.0	0.4	0.2	

<sup>\*</sup> When "retransplant" is indicated, the primary disease is passed forward from the prior transplant in order to indicate the initial primary disease causing organ failure. "Missing" may include some patients for whom retransplant is indicated but no prior diagnosis can be found.



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## **C. Transplant Information**

# Table C3D. Deceased donor characteristics Transplants performed between 07/01/2019 and 06/30/2020

	Percentage in each category		
Donor Characteristic	Center (N=48)	Region (N=953)	U.S. (N=16,870)
Cause of Death (%)			
Deceased: Stroke	18.8	18.9	22.7
Deceased: MVA	14.6	9.0	13.3
Deceased: Other	66.7	72.1	63.9
Ethnicity/Race (%)*			
White	81.2	68.0	66.8
African-American	8.3	12.9	13.2
Hispanic/Latino	10.4	14.4	15.8
Asian	0.0	4.1	2.9
Other	0.0	0.6	1.2
Not Reported	0.0	0.0	0.0
Age (%)			
<2 years	2.1	1.0	0.8
2-11 years	4.2	2.6	2.5
12-17	8.3	2.9	3.8
18-34	35.4	31.3	33.6
35-49 years	25.0	31.4	31.7
50-64 years	18.8	27.8	25.2
65-69 years	6.2	2.4	2.0
70+ years	0.0	0.5	0.5
Gender (%)			
Male	60.4	62.1	63.0
Female	39.6	37.9	37.0
Blood Type (%)			
0	47.9	48.8	47.2
A	45.8	36.2	37.6
В	6.2	11.5	11.7
AB	0.0	3.5	3.5
Unknown	0.0	0.0	0.0

<sup>\*</sup> Race and ethnicity are reported together as a single data element, reflecting their data collection (either race or ethnicity is required, but not both). Patients formerly coded as white and Hispanic are coded as Hispanic. Race and ethnicity sum to 100%.



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## **C. Transplant Information**

Table C3L. Living donor characteristics
Transplants performed between 07/01/2019 and 06/30/2020

	Perce	Percentage in each category		
Donor Characteristic	Center (N=12)	Region (N=501)	U.S. (N=5,777)	
Ethnicity/Race (%)*				
White	100.0	65.1	71.5	
African-American	0.0	13.8	7.9	
Hispanic/Latino	0.0	13.6	14.0	
Asian	0.0	7.2	4.9	
Other	0.0	0.4	1.6	
Not Reported	0.0	0.0	0.0	
Age (%)				
0-11 years	0.0	0.0	0.0	
12-17	0.0	0.0	0.0	
18-34	16.7	26.3	25.9	
35-49 years	75.0	39.5	38.3	
50-64 years	8.3	28.7	29.8	
65-69 years	0.0	3.6	4.5	
70+ years	0.0	1.8	1.5	
Gender (%)				
Male	41.7	40.7	35.1	
Female	58.3	59.3	64.9	
Blood Type (%)				
0	75.0	62.5	62.6	
A	16.7	23.4	27.4	
В	8.3	12.8	8.6	
AB	0.0	1.4	1.4	
Unknown	0.0	0.0	0.0	

<sup>\*</sup> Race and ethnicity are reported together as a single data element, reflecting their data collection (either race or ethnicity is required, but not both). Patients formerly coded as white and Hispanic are coded as Hispanic. Race and ethnicity sum to 100%.



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## **C. Transplant Information**

Table C4D. Deceased donor transplant characteristics
Transplants performed between 07/01/2019 and 06/30/2020

Transplants performed between 07/01/2019 and 06/30/2020	Percentage in each category		
Transplant Characteristic	Center (N=48)	Region (N=953)	U.S. (N=16,870)
Cold Ischemic Time (Hours): Local (%)			
Deceased: 0-11 hr	20.7	34.1	34.3
Deceased: 12-21 hr	55.2	48.3	47.8
Deceased: 22-31 hr	20.7	15.3	14.6
Deceased: 32-41 hr	3.4	1.1	1.6
Deceased: 42+ hr	0.0	0.4	0.5
Not Reported	0.0	0.8	1.2
Cold Ischemic Time (Hours): Shared (%)			
Deceased: 0-11 hr	0.0	10.2	9.2
Deceased: 12-21 hr	36.8	15.4	36.3
Deceased: 22-31 hr	42.1	37.8	38.4
Deceased: 32-41 hr	21.1	26.6	11.7
Deceased: 42+ hr	0.0	6.9	3.1
Not Reported	0.0	3.1	1.3
Level of Mismatch (%) A Locus Mismatches (%)			
0	14.6	11.1	11.2
1	29.2	36.8	39.7
2	56.2	51.5	48.9
Not Reported	0.0	0.5	0.2
B Locus Mismatches (%)			
0	22.9	6.7	7.0
1	25.0	23.1	25.0
2	52.1	69.7	67.7
Not Reported	0.0	0.5	0.2
DR Locus Mismatches (%)			
0	20.8	13.0	16.0
1	50.0	45.1	47.7
2	29.2	41.3	36.1
Not Reported	0.0	0.5	0.2
Total Mismatches (%)	0.0	0.0	0.2
0	14.6	4.8	4.5
1	2.1	1.3	1.1
2	2.1	3.4	4.7
3	14.6	11.8	13.9
4	18.8	22.9	27.3
5	33.3	37.0	33.0
6	14.6	18.4	15.2
Not Reported	0.0	0.5	0.2
Procedure Type (%)	0.0	0.0	0.2
Kidney alone	100.0	94.1	93.8
Kidney and another organ	0.0	5.9	6.2
Dialysis in First Week After Transplant (%)	0.0	0.0	0.2
Yes	18.8	34.7	28.6
No	81.2	65.0	70.9
Not Reported	0.0	0.3	0.5
·	0.0	U.S	0.5
Sharing (%) Local	60.4	49.5	69.6
Shared	39.6	50.5	30.4
Median Time in Hospital After Transplant*	4.0 Days	6.0 Days	5.0 Days

<sup>\*</sup> Multiple organ transplants are excluded from this statistic.



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## C. Transplant Information

# Table C4L. Living donor transplant characteristics Transplants performed between 07/01/2019 and 06/30/2020

	Percentage in each category		
Transplant Characteristic	Center	Region	Ú.S.
	(N=12)	(N=501)	(N=5,777)
Relation with Donor (%)			
Related	50.0	42.5	37.9
Unrelated	50.0	57.5	61.7
Not Reported	0.0	0.0	0.3
Level of Mismatch (%)			
A Locus Mismatches (%)			
0	16.7	15.0	16.2
1	50.0	50.5	48.6
2	33.3	33.7	31.6
Not Reported	0.0	0.8	3.5
B Locus Mismatches (%)			
0	0.0	10.0	9.7
1	58.3	47.3	41.6
2	41.7	41.9	45.1
Not Reported	0.0	0.8	3.6
DR Locus Mismatches (%)			
0	0.0	18.2	15.1
1	66.7	52.3	48.4
2	33.3	28.7	33.0
Not Reported	0.0	0.8	3.5
Total Mismatches (%)			
0	0.0	4.2	4.3
1	0.0	4.6	4.1
2	16.7	13.0	11.5
3	16.7	27.3	23.0
4	33.3	14.4	18.2
5	25.0	25.3	22.8
6	8.3	10.4	12.5
Not Reported	0.0	0.8	3.6
Procedure Type (%)			
Kidney alone	100.0	100.0	100.0
Kidney and another organ	0.0	0.0	0.0
Dialysis in First Week After Transplant (%)			
Yes	8.3	5.0	2.7
No	91.7	95.0	96.8
Not Reported	0.0	0.0	0.5
Median Time in Hospital After Transplant*	3.0 Days	4.0 Days	4.0 Days

<sup>\*</sup> Multiple organ transplants are excluded from this statistic.



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## C. Transplant Information

Table C5. Adult (18+) 1-month survival with a functioning graft Single organ transplants performed between 07/01/2017 and 12/31/2019 Deaths and retransplants are considered graft failures

Deaths and retransplants are considered graft failures	NYUM	U.S.
Number of transplants evaluated	191	50,251
Estimated probability of surviving with a functioning graft at 1 month (unadjusted for patient and donor characteristics)	96.86%	98.67%
Expected probability of surviving with a functioning graft at 1 month (adjusted for patient and donor characteristics)	98.60%	
Number of observed graft failures (including deaths) during the first month after transplant	6	669
Number of expected graft failures (including deaths) during the first month after transplant	2.65	
Estimated hazard ratio*	1.72	
95% credible interval for the hazard ratio**	[0.74, 3.10]	

<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected graft failure rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected graft failure rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's graft failure rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

Figure C1. Adult (18+) 1-month graft failure HR estimate

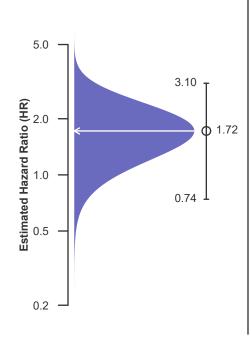
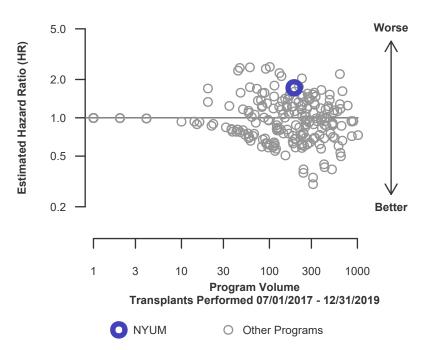


Figure C2. Adult (18+) 1-month graft failure HR program comparison



<sup>\*\*</sup> The 95% credible interval, [0.74, 3.10], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 72% higher risk of graft failure compared to an average program, but NYUM's performance could plausibly range from 26% reduced risk up to 210% increased risk.



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## C. Transplant Information

Table C5D. Adult (18+) 1-month survival with a functioning deceased donor graft Single organ transplants performed between 07/01/2017 and 12/31/2019 Deaths and retransplants are considered graft failures

Deaths and retransplants are considered graft failures	NYUM	U.S.
Number of transplants evaluated	156	34,632
Estimated probability of surviving with a functioning graft at 1 month (unadjusted for patient and donor characteristics)	96.79%	98.42%
Expected probability of surviving with a functioning graft at 1 month (adjusted for patient and donor characteristics)	98.47%	
Number of observed graft failures (including deaths) during the first month after transplant	5	547
Number of expected graft failures (including deaths) during the first month after transplant	2.37	
Estimated hazard ratio*	1.60	
95% credible interval for the hazard ratio**	[0.64, 2.99]	

<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected graft failure rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected graft failure rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's graft failure rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

Figure C1D. Adult (18+) 1-month deceased donor graft failure HR estimate

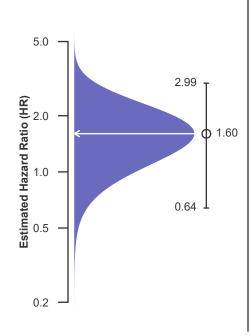
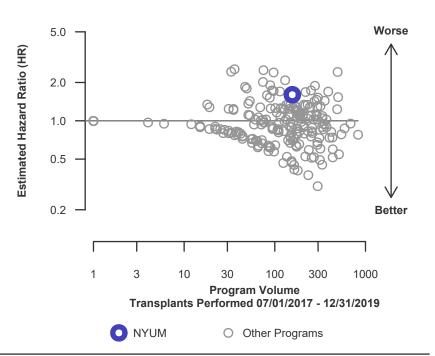


Figure C2D. Adult (18+) 1-month deceased donor graft failure HR program comparison



<sup>\*\*</sup> The 95% credible interval, [0.64, 2.99], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 60% higher risk of graft failure compared to an average program, but NYUM's performance could plausibly range from 36% reduced risk up to 199% increased risk.



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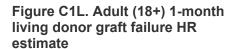
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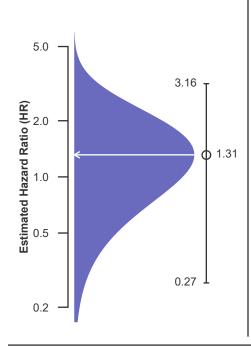
Table C5L. Adult (18+) 1-month survival with a functioning living donor graft Single organ transplants performed between 07/01/2017 and 12/31/2019 Deaths and retransplants are considered graft failures

Deaths and retransplants are considered graft failures	NYUM	U.S.
Number of transplants evaluated	35	15,619
Estimated probability of surviving with a functioning graft at 1 month (unadjusted for patient and donor characteristics)	97.14%	99.22%
Expected probability of surviving with a functioning graft at 1 month (adjusted for patient and donor characteristics)	99.19%	
Number of observed graft failures (including deaths) during the first month after transplant	1	122
Number of expected graft failures (including deaths) during the first month after transplant	0.28	
Estimated hazard ratio*	1.31	
95% credible interval for the hazard ratio**	[0.27, 3.16]	

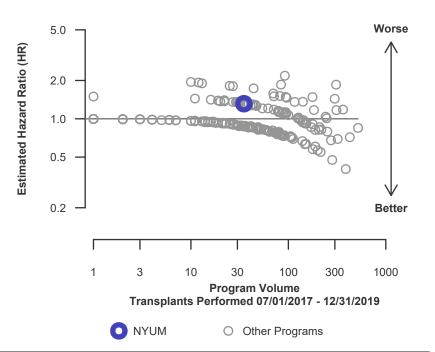
<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected graft failure rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected graft failure rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's graft failure rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.27, 3.16], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 31% higher risk of graft failure compared to an average program, but NYUM's performance could plausibly range from 73% reduced risk up to 216% increased risk.





# Figure C2L. Adult (18+) 1-month living donor graft failure HR program comparison





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## **C. Transplant Information**

Table C6. Adult (18+) 1-year survival with a functioning graft Single organ transplants performed between 07/01/2017 and 12/31/2019 Deaths and retransplants are considered graft failures

Deaths and retransplants are considered graft failures	NYUM	U.S.
Number of transplants evaluated	191	50,251
Estimated probability of surviving with a functioning graft at 1 year (unadjusted for patient and donor characteristics)	93.39%	95.75%
Expected probability of surviving with a functioning graft at 1 year (adjusted for patient and donor characteristics)	95.43%	
Number of observed graft failures (including deaths) during the first year after transplant	12	1,946
Number of expected graft failures (including deaths) during the first year after transplant	8.11	
Estimated hazard ratio*	1.38	
95% credible interval for the hazard ratio**	[0.76, 2.20]	

<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected graft failure rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected graft failure rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's graft failure rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

Figure C3. Adult (18+) 1-year graft failure HR estimate

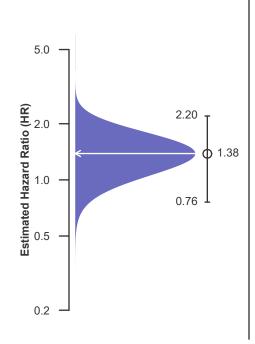
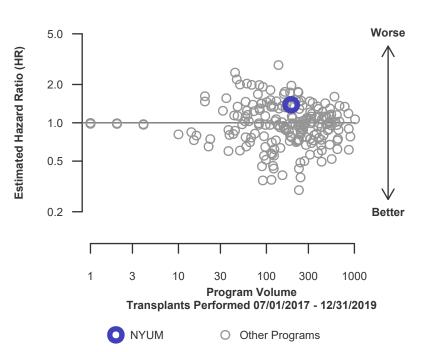


Figure C4. Adult (18+) 1-year graft failure HR program comparison



<sup>\*\*</sup> The 95% credible interval, [0.76, 2.20], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 38% higher risk of graft failure compared to an average program, but NYUM's performance could plausibly range from 24% reduced risk up to 120% increased risk.



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## **C. Transplant Information**

Table C6D. Adult (18+) 1-year survival with a functioning deceased donor graft Single organ transplants performed between 07/01/2017 and 12/31/2019 Deaths and retransplants are considered graft failures

NYUM	U.S.
156	34,632
92.54%	94.73%
94.87%	
11	1,661
7.44	
1.38	
[0.73, 2.22]	
	156 92.54% 94.87% 11 7.44 1.38

<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected graft failure rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected graft failure rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's graft failure rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

Figure C3D. Adult (18+) 1-year deceased donor graft failure HR estimate

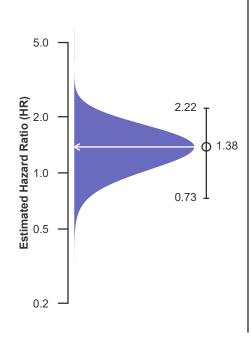
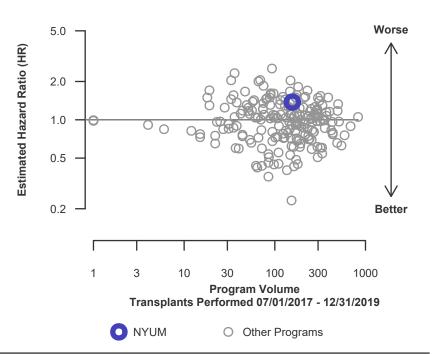


Figure C4D. Adult (18+) 1-year deceased donor graft failure HR program comparison



<sup>\*\*</sup> The 95% credible interval, [0.73, 2.22], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 38% higher risk of graft failure compared to an average program, but NYUM's performance could plausibly range from 27% reduced risk up to 122% increased risk.



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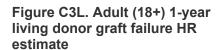
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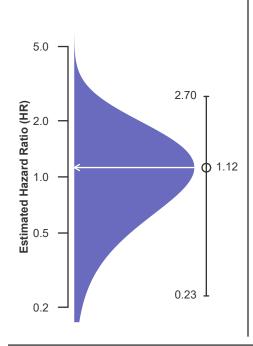
Table C6L. Adult (18+) 1-year survival with a functioning living donor graft Single organ transplants performed between 07/01/2017 and 12/31/2019 Deaths and retransplants are considered graft failures

Deaths and retransplants are considered grant failures	NYUM	U.S.
Number of transplants evaluated	35	15,619
Estimated probability of surviving with a functioning graft at 1 year (unadjusted for patient and donor characteristics)	97.14%	98.02%
Expected probability of surviving with a functioning graft at 1 year (adjusted for patient and donor characteristics)	97.93%	
Number of observed graft failures (including deaths) during the first year after transplant	1	285
Number of expected graft failures (including deaths) during the first year after transplant	0.67	
Estimated hazard ratio*	1.12	
95% credible interval for the hazard ratio**	[0.23, 2.70]	

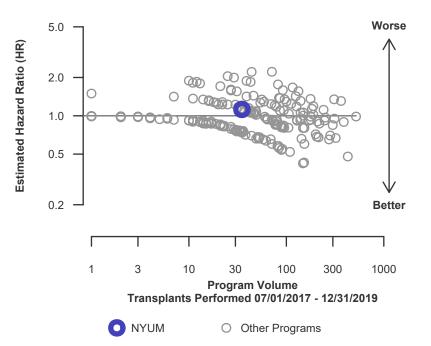
<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected graft failure rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected graft failure rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's graft failure rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.23, 2.70], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 12% higher risk of graft failure compared to an average program, but NYUM's performance could plausibly range from 77% reduced risk up to 170% increased risk.





# Figure C4L. Adult (18+) 1-year living donor graft failure HR program comparison





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## C. Transplant Information

Table C7. Adult (18+) 3-year survival with a functioning graft Single organ transplants performed between 01/01/2015 and 06/30/2017 Deaths and retransplants are considered graft failures

Deaths and retransplants are considered graft failures	NYUM	U.S.
Number of transplants evaluated	211	42,472
Estimated probability of surviving with a functioning graft at 3 years (unadjusted for patient and donor characteristics)	87.65%	89.69%
Expected probability of surviving with a functioning graft at 3 years (adjusted for patient and donor characteristics)	88.68%	
Number of observed graft failures (including deaths) during the first 3 years after transplant	26	4,352
Number of expected graft failures (including deaths) during the first 3 years after transplant	22.99	
Estimated hazard ratio*	1.12	
95% credible interval for the hazard ratio**	[0.74, 1.57]	

<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected graft failure rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected graft failure rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's graft failure rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

Figure C5. Adult (18+) 3-year graft failure HR estimate

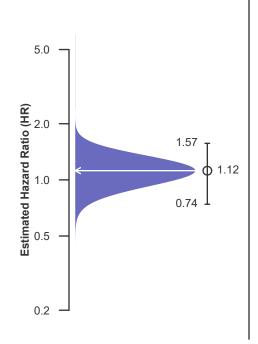
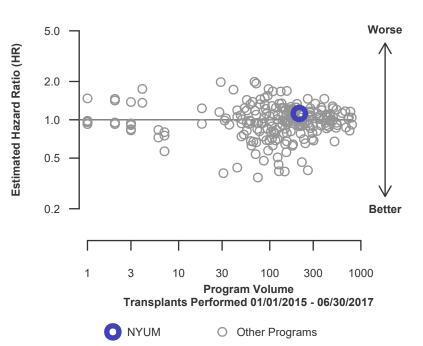


Figure C6. Adult (18+) 3-year graft failure HR program comparison



<sup>\*\*</sup> The 95% credible interval, [0.74, 1.57], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 12% higher risk of graft failure compared to an average program, but NYUM's performance could plausibly range from 26% reduced risk up to 57% increased risk.



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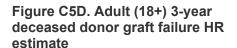
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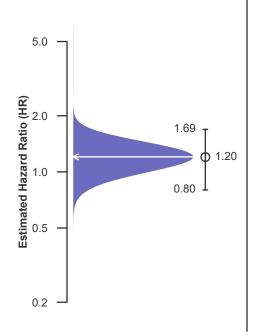
Table C7D. Adult (18+) 3-year survival with a functioning deceased donor graft Single organ transplants performed between 01/01/2015 and 06/30/2017 Deaths and retransplants are considered graft failures

Deaths and retransplants are considered graft failures	NYUM	U.S.
Number of transplants evaluated	182	29,060
Estimated probability of surviving with a functioning graft at 3 years (unadjusted for patient and donor characteristics)	85.68%	87.51%
Expected probability of surviving with a functioning graft at 3 years (adjusted for patient and donor characteristics)	87.80%	
Number of observed graft failures (including deaths) during the first 3 years after transplant	26	3,610
Number of expected graft failures (including deaths) during the first 3 years after transplant	21.25	
Estimated hazard ratio*	1.20	
95% credible interval for the hazard ratio**	[0.80, 1.69]	

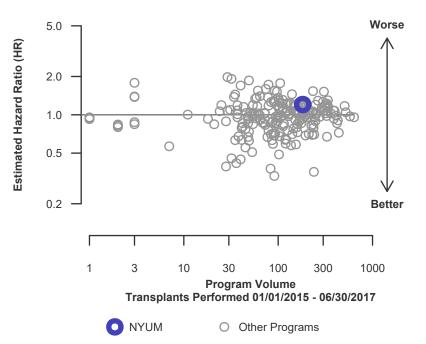
<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected graft failure rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected graft failure rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's graft failure rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.80, 1.69], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 20% higher risk of graft failure compared to an average program, but NYUM's performance could plausibly range from 20% reduced risk up to 69% increased risk.





# Figure C6D. Adult (18+) 3-year deceased donor graft failure HR program comparison





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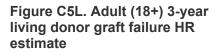
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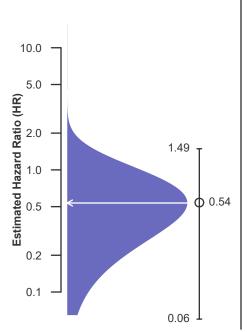
Table C7L. Adult (18+) 3-year survival with a functioning living donor graft Single organ transplants performed between 01/01/2015 and 06/30/2017 Deaths and retransplants are considered graft failures

Deaths and retransplants are considered graft failures	NYUM	U.S.
Number of transplants evaluated	29	13,412
Estimated probability of surviving with a functioning graft at 3 years (unadjusted for patient and donor characteristics)	100.00%	94.42%
Expected probability of surviving with a functioning graft at 3 years (adjusted for patient and donor characteristics)	94.15%	
Number of observed graft failures (including deaths) during the first 3 years after transplant	0	742
Number of expected graft failures (including deaths) during the first 3 years after transplant	1.74	
Estimated hazard ratio*	0.54	
95% credible interval for the hazard ratio**	[0.06, 1.49]	

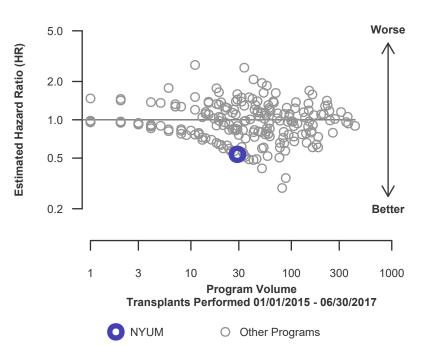
<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected graft failure rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected graft failure rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's graft failure rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.06, 1.49], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 46% lower risk of graft failure compared to an average program, but NYUM's performance could plausibly range from 94% reduced risk up to 49% increased risk.





## Figure C6L. Adult (18+) 3-year living donor graft failure HR program comparison





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## C. Transplant Information

Table C8. Pediatric (<18) 1-month survival with a functioning graft Single organ transplants performed between 07/01/2017 and 12/31/2019 Deaths and retransplants are considered graft failures

Deaths and retransplants are considered graft failures	NYUM	U.S.
Number of transplants evaluated	10	2,123
Estimated probability of surviving with a functioning graft at 1 month (unadjusted for patient and donor characteristics)	100.00%	98.92%
Expected probability of surviving with a functioning graft at 1 month (adjusted for patient and donor characteristics)	98.90%	
Number of observed graft failures (including deaths) during the first month after transplant	0	23
Number of expected graft failures (including deaths) during the first month after transplant	0.11	
Estimated hazard ratio*	0.95	
95% credible interval for the hazard ratio**	[0.11, 2.64]	

<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected graft failure rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected graft failure rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's graft failure rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

Figure C7. Pediatric (<18)
1-month graft failure HR estimate

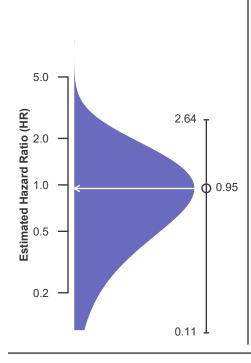
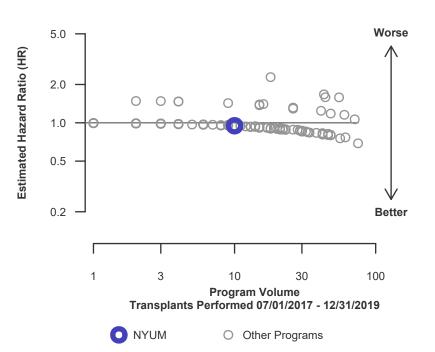


Figure C8. Pediatric (<18) 1-month graft failure HR program comparison



<sup>\*\*</sup> The 95% credible interval, [0.11, 2.64], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 5% lower risk of graft failure compared to an average program, but NYUM's performance could plausibly range from 89% reduced risk up to 164% increased risk.



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Table C8D. Pediatric (<18) 1-month survival with a functioning deceased donor graft Single organ transplants performed between 07/01/2017 and 12/31/2019 Deaths and retransplants are considered graft failures

Deaths and retransplants are considered grant failures	NYUM	U.S.
Number of transplants evaluated	7	1,428
Estimated probability of surviving with a functioning graft at 1 month (unadjusted for patient and donor characteristics)	100.00%	98.74%
Expected probability of surviving with a functioning graft at 1 month (adjusted for patient and donor characteristics)	98.74%	
Number of observed graft failures (including deaths) during the first month after transplant	0	18
Number of expected graft failures (including deaths) during the first month after transplant	0.09	
Estimated hazard ratio*	0.96	
95% credible interval for the hazard ratio**	[0.12, 2.67]	

<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected graft failure rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected graft failure rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's graft failure rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

Figure C7D. Pediatric (<18) 1-month deceased donor graft failure HR estimate

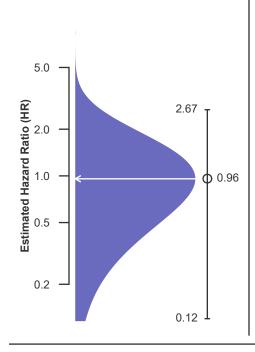
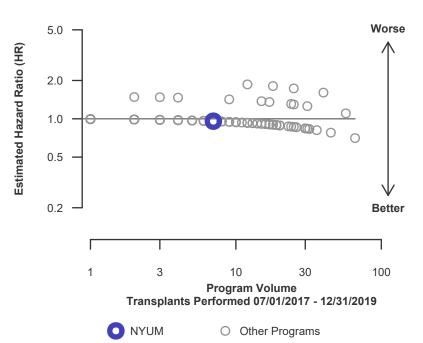


Figure C8D. Pediatric (<18) 1-month deceased donor graft failure HR program comparison



<sup>\*\*</sup> The 95% credible interval, [0.12, 2.67], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 4% lower risk of graft failure compared to an average program, but NYUM's performance could plausibly range from 88% reduced risk up to 167% increased risk.



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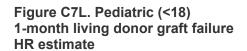
## C. Transplant Information

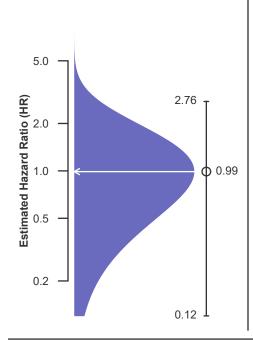
Table C8L. Pediatric (<18) 1-month survival with a functioning living donor graft Single organ transplants performed between 07/01/2017 and 12/31/2019 Deaths and retransplants are considered graft failures

Deaths and retransplants are considered graft failures	NYUM	U.S.
Number of transplants evaluated	3	695
Estimated probability of surviving with a functioning graft at 1 month (unadjusted for patient and donor characteristics)	100.00%	99.28%
Expected probability of surviving with a functioning graft at 1 month (adjusted for patient and donor characteristics)	99.28%	
Number of observed graft failures (including deaths) during the first month after transplant	0	5
Number of expected graft failures (including deaths) during the first month after transplant	0.02	
Estimated hazard ratio*	0.99	
95% credible interval for the hazard ratio**	[0.12, 2.76]	

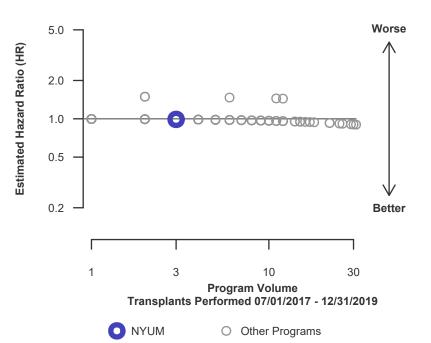
<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected graft failure rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected graft failure rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's graft failure rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.12, 2.76], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 1% lower risk of graft failure compared to an average program, but NYUM's performance could plausibly range from 88% reduced risk up to 176% increased risk.





## Figure C8L. Pediatric (<18) 1-month living donor graft failure HR program comparison





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Table C9. Pediatric (<18) 1-year survival with a functioning graft Single organ transplants performed between 07/01/2017 and 12/31/2019 Deaths and retransplants are considered graft failures

Deaths and retransplants are considered graft failures	NYUM	U.S.
Number of transplants evaluated	10	2,123
Estimated probability of surviving with a functioning graft at 1 year (unadjusted for patient and donor characteristics)	100.00%	98.18%
Expected probability of surviving with a functioning graft at 1 year (adjusted for patient and donor characteristics)	98.15%	
Number of observed graft failures (including deaths) during the first year after transplant	0	36
Number of expected graft failures (including deaths) during the first year after transplant	0.18	
Estimated hazard ratio*	0.92	
95% credible interval for the hazard ratio**	[0.11, 2.55]	

<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected graft failure rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected graft failure rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's graft failure rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

Figure C9. Pediatric (<18) 1-year graft failure HR estimate

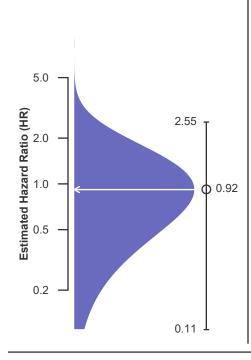
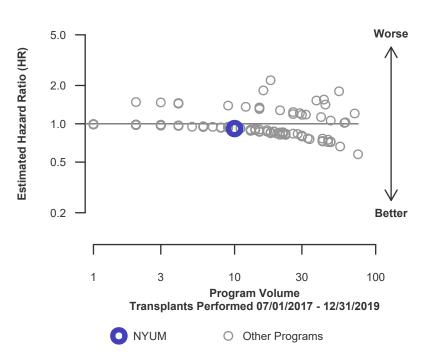


Figure C10. Pediatric (<18) 1-year graft failure HR program comparison



<sup>\*\*</sup> The 95% credible interval, [0.11, 2.55], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 8% lower risk of graft failure compared to an average program, but NYUM's performance could plausibly range from 89% reduced risk up to 155% increased risk.



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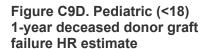
## C. Transplant Information

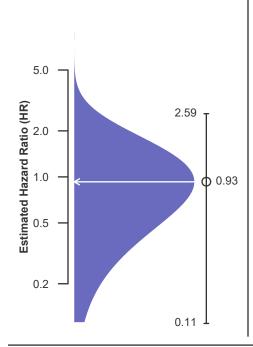
Table C9D. Pediatric (<18) 1-year survival with a functioning deceased donor graft Single organ transplants performed between 07/01/2017 and 12/31/2019 Deaths and retransplants are considered graft failures

Deaths and retransplants are considered graft failures	NYUM	U.S.
Number of transplants evaluated	7	1,428
Estimated probability of surviving with a functioning graft at 1 year (unadjusted for patient and donor characteristics)	100.00%	97.80%
Expected probability of surviving with a functioning graft at 1 year (adjusted for patient and donor characteristics)	97.80%	
Number of observed graft failures (including deaths) during the first year after transplant	0	29
Number of expected graft failures (including deaths) during the first year after transplant	0.15	
Estimated hazard ratio*	0.93	
95% credible interval for the hazard ratio**	[0.11, 2.59]	

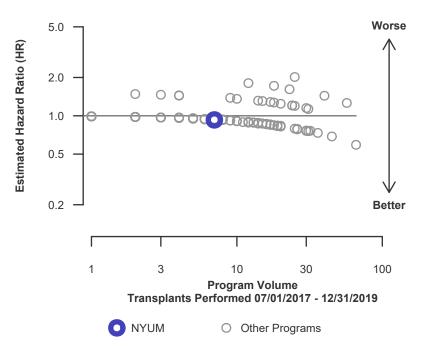
<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected graft failure rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected graft failure rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's graft failure rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.11, 2.59], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 7% lower risk of graft failure compared to an average program, but NYUM's performance could plausibly range from 89% reduced risk up to 159% increased risk.





## Figure C10D. Pediatric (<18) 1-year deceased donor graft failure HR program comparison





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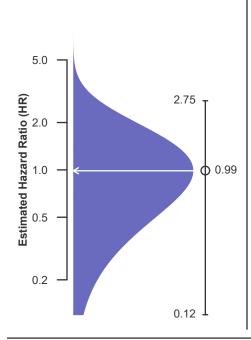
Table C9L. Pediatric (<18) 1-year survival with a functioning living donor graft Single organ transplants performed between 07/01/2017 and 12/31/2019 Deaths and retransplants are considered graft failures

Deaths and retransplants are considered graft failures	NYUM	U.S.
Number of transplants evaluated	3	695
Estimated probability of surviving with a functioning graft at 1 year (unadjusted for patient and donor characteristics)	100.00%	98.95%
Expected probability of surviving with a functioning graft at 1 year (adjusted for patient and donor characteristics)	98.95%	
Number of observed graft failures (including deaths) during the first year after transplant	0	7
Number of expected graft failures (including deaths) during the first year after transplant	0.03	
Estimated hazard ratio*	0.99	
95% credible interval for the hazard ratio**	[0.12, 2.75]	

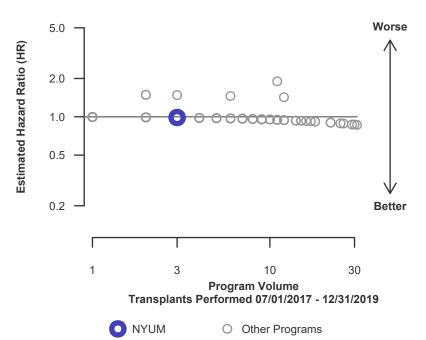
<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected graft failure rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected graft failure rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's graft failure rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.12, 2.75], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 1% lower risk of graft failure compared to an average program, but NYUM's performance could plausibly range from 88% reduced risk up to 175% increased risk.





## Figure C10L. Pediatric (<18) 1-year living donor graft failure HR program comparison





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Table C10. Pediatric (<18) 3-year survival with a functioning graft Single organ transplants performed between 01/01/2015 and 06/30/2017 Deaths and retransplants are considered graft failures

Deaths and retransplants are considered graft failures	NYUM	U.S.
Number of transplants evaluated	9	2,049
Estimated probability of surviving with a functioning graft at 3 years (unadjusted for patient and donor characteristics)	100.00%	93.22%
Expected probability of surviving with a functioning graft at 3 years (adjusted for patient and donor characteristics)	92.73%	
Number of observed graft failures (including deaths) during the first 3 years after transplant	0	138
Number of expected graft failures (including deaths) during the first 3 years after transplant	0.68	
Estimated hazard ratio*	0.75	
95% credible interval for the hazard ratio**	[0.09, 2.08]	

<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected graft failure rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected graft failure rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's graft failure rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

Figure C11. Pediatric (<18) 3-year graft failure HR estimate

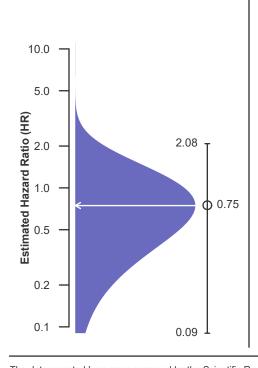
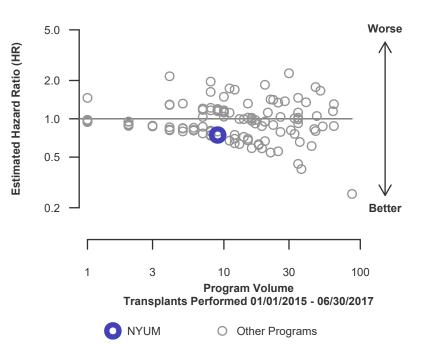


Figure C12. Pediatric (<18) 3-year graft failure HR program comparison



<sup>\*\*</sup> The 95% credible interval, [0.09, 2.08], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 25% lower risk of graft failure compared to an average program, but NYUM's performance could plausibly range from 91% reduced risk up to 108% increased risk.



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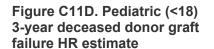
## C. Transplant Information

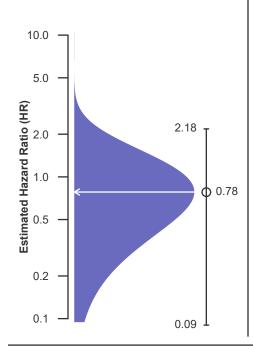
Table C10D. Pediatric (<18) 3-year survival with a functioning deceased donor graft Single organ transplants performed between 01/01/2015 and 06/30/2017 Deaths and retransplants are considered graft failures

Deaths and retransplants are considered graπ failures	NYUM	U.S.
Number of transplants evaluated	6	1,403
Estimated probability of surviving with a functioning graft at 3 years (unadjusted for patient and donor characteristics)	100.00%	91.97%
Expected probability of surviving with a functioning graft at 3 years (adjusted for patient and donor characteristics)	91.11%	
Number of observed graft failures (including deaths) during the first 3 years after transplant	0	112
Number of expected graft failures (including deaths) during the first 3 years after transplant	0.56	
Estimated hazard ratio*	0.78	
95% credible interval for the hazard ratio**	[0.09, 2.18]	

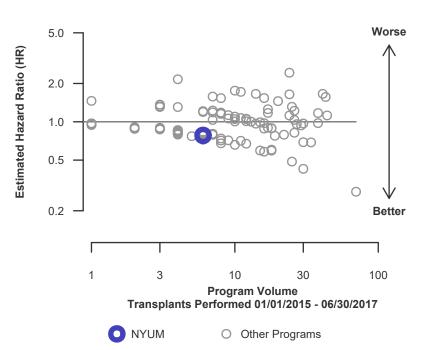
<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected graft failure rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected graft failure rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's graft failure rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.09, 2.18], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 22% lower risk of graft failure compared to an average program, but NYUM's performance could plausibly range from 91% reduced risk up to 118% increased risk.





## Figure C12D. Pediatric (<18) 3-year deceased donor graft failure HR program comparison





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## C. Transplant Information

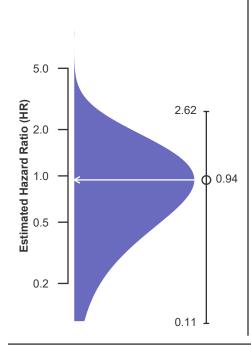
Table C10L. Pediatric (<18) 3-year survival with a functioning living donor graft Single organ transplants performed between 01/01/2015 and 06/30/2017 Deaths and retransplants are considered graft failures

Deaths and retransplants are considered graft failures	NYUM	U.S.
Number of transplants evaluated	3	646
Estimated probability of surviving with a functioning graft at 3 years (unadjusted for patient and donor characteristics)	100.00%	95.96%
Expected probability of surviving with a functioning graft at 3 years (adjusted for patient and donor characteristics)	95.96%	
Number of observed graft failures (including deaths) during the first 3 years after transplant	0	26
Number of expected graft failures (including deaths) during the first 3 years after transplant	0.12	
Estimated hazard ratio*	0.94	
95% credible interval for the hazard ratio**	[0.11, 2.62]	

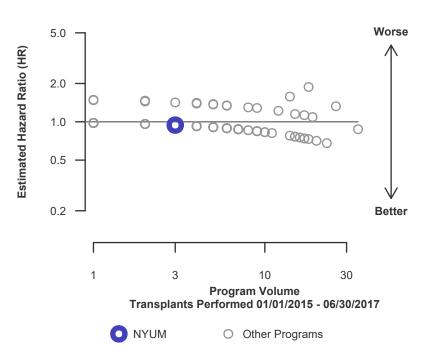
<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected graft failure rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected graft failure rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's graft failure rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.11, 2.62], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 6% lower risk of graft failure compared to an average program, but NYUM's performance could plausibly range from 89% reduced risk up to 162% increased risk.





## Figure C12L. Pediatric (<18) 3-year living donor graft failure HR program comparison





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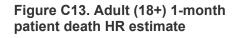
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Table C11. Adult (18+) 1-month patient survival
Single organ transplants performed between 07/01/2017 and 12/31/2019
Retransplants excluded

Retransplants excluded	NYUM	U.S.
Number of transplants evaluated	171	44,658
Estimated probability of surviving at 1 month (unadjusted for patient and donor characteristics)	98.25%	99.56%
Expected probability of surviving at 1 month (adjusted for patient and donor characteristics)	99.61%	
Number of observed deaths during the first month after transplant	3	198
Number of expected deaths during the first month after transplant	0.67	
Estimated hazard ratio*	1.87	
95% credible interval for the hazard ratio**	[0.61, 3.84]	

<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected patient death rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected patient death rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's patient death rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.61, 3.84], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 87% higher risk of patient death compared to an average program, but NYUM's performance could plausibly range from 39% reduced risk up to 284% increased risk.



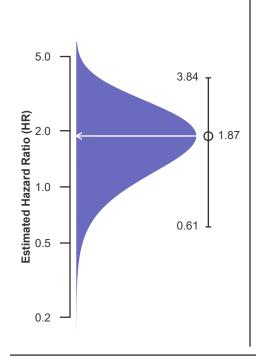
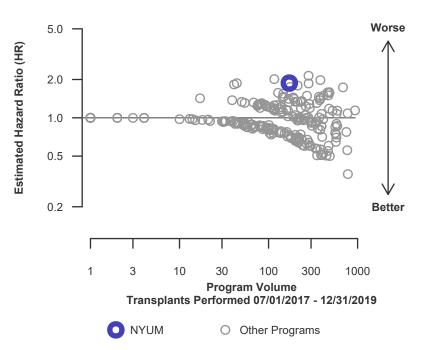


Figure C14. Adult (18+) 1-month patient death HR program comparison





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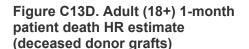
## C. Transplant Information

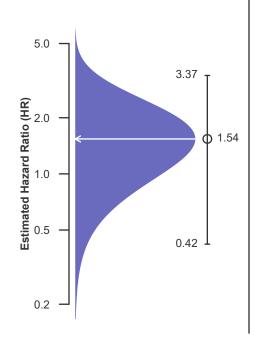
Table C11D. Adult (18+) 1-month patient survival (deceased donor graft recipients) Single organ transplants performed between 07/01/2017 and 12/31/2019 Retransplants excluded

Retransplants excluded	NYUM	U.S.
Number of transplants evaluated	139	30,522
Estimated probability of surviving at 1 month (unadjusted for patient and donor characteristics)	98.56%	99.45%
Expected probability of surviving at 1 month (adjusted for patient and donor characteristics)	99.57%	
Number of observed deaths during the first month after transplant	2	167
Number of expected deaths during the first month after transplant	0.60	
Estimated hazard ratio*	1.54	
95% credible interval for the hazard ratio**	[0.42, 3.37]	

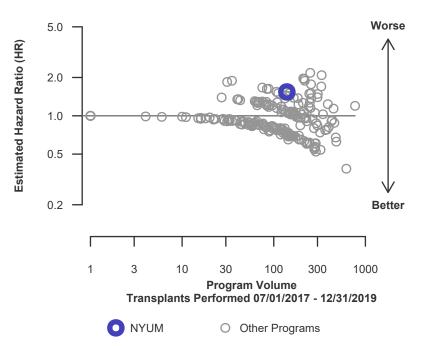
<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected patient death rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected patient death rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's patient death rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.42, 3.37], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 54% higher risk of patient death compared to an average program, but NYUM's performance could plausibly range from 58% reduced risk up to 237% increased risk.





## Figure C14D. Adult (18+) 1-month patient death HR program comparison (deceased donor grafts)





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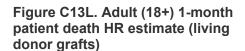
## C. Transplant Information

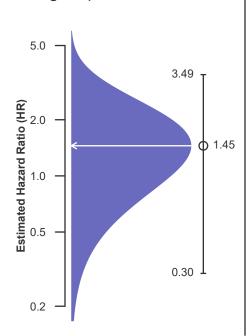
Table C11L. Adult (18+) 1-month patient survival (living donor graft recipients) Single organ transplants performed between 07/01/2017 and 12/31/2019 Retransplants excluded

Retialisplants excluded	NYUM	U.S.
Number of transplants evaluated	32	14,136
Estimated probability of surviving at 1 month (unadjusted for patient and donor characteristics)	96.88%	99.78%
Expected probability of surviving at 1 month (adjusted for patient and donor characteristics)	99.78%	
Number of observed deaths during the first month after transplant	1	31
Number of expected deaths during the first month after transplant	0.07	
Estimated hazard ratio*	1.45	
95% credible interval for the hazard ratio**	[0.30, 3.49]	

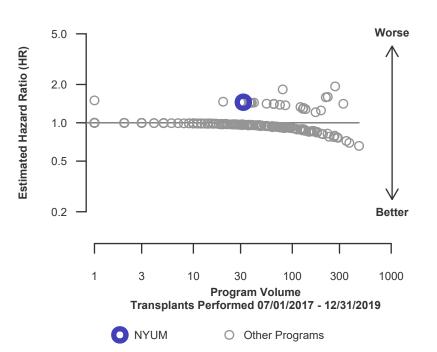
<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected patient death rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected patient death rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's patient death rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.30, 3.49], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 45% higher risk of patient death compared to an average program, but NYUM's performance could plausibly range from 70% reduced risk up to 249% increased risk.





## Figure C14L. Adult (18+) 1-month patient death HR program comparison (living donor grafts)





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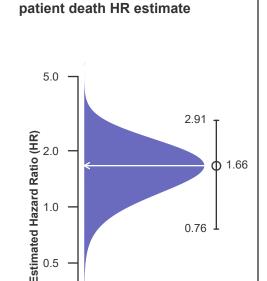
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## **C. Transplant Information**

Table C12. Adult (18+) 1-year patient survival Single organ transplants performed between 07/01/2017 and 12/31/2019 Retransplants excluded

Retransplants excluded	NYUM	U.S.
Number of transplants evaluated	171	44,658
Estimated probability of surviving at 1 year (unadjusted for patient and donor characteristics)	95.71%	97.61%
Expected probability of surviving at 1 year (adjusted for patient and donor characteristics)	97.84%	
Number of observed deaths during the first year after transplant	7	943
Number of expected deaths during the first year after transplant	3.41	
Estimated hazard ratio*	1.66	
95% credible interval for the hazard ratio**	[0.76, 2.91]	

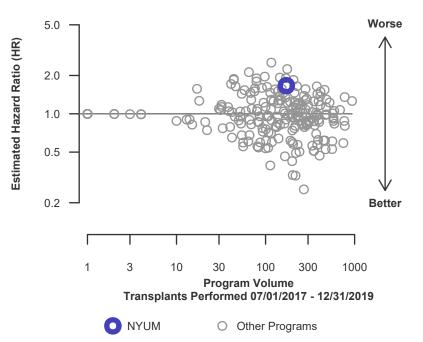
<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected patient death rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected patient death rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's patient death rate were precisely the expected rate, the estimated hazard ratio would be 1.0.



0.2

Figure C15. Adult (18+) 1-year





<sup>\*\*</sup> The 95% credible interval, [0.76, 2.91], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 66% higher risk of patient death compared to an average program, but NYUM's performance could plausibly range from 24% reduced risk up to 191% increased risk.



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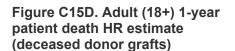
## C. Transplant Information

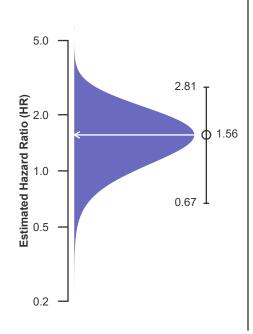
Table C12D. Adult (18+) 1-year patient survival (deceased donor graft recipients) Single organ transplants performed between 07/01/2017 and 12/31/2019

Retransplants excluded	NYUM	U.S.
Number of transplants evaluated	139	30,522
Estimated probability of surviving at 1 year (unadjusted for patient and donor characteristics)	95.44%	96.96%
Expected probability of surviving at 1 year (adjusted for patient and donor characteristics)	97.58%	
Number of observed deaths during the first year after transplant	6	818
Number of expected deaths during the first year after transplant	3.13	
Estimated hazard ratio*	1.56	
95% credible interval for the hazard ratio**	[0.67, 2.81]	

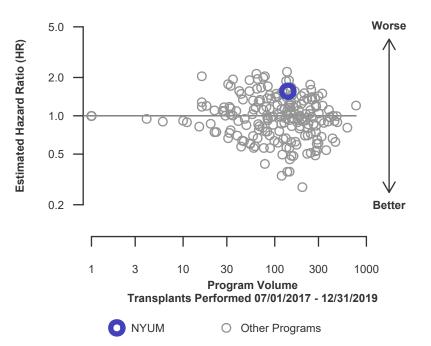
<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected patient death rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected patient death rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's patient death rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.67, 2.81], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 56% higher risk of patient death compared to an average program, but NYUM's performance could plausibly range from 33% reduced risk up to 181% increased risk.





## Figure C16D. Adult (18+) 1-year patient death HR program comparison (deceased donor grafts)





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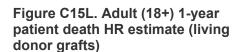
## C. Transplant Information

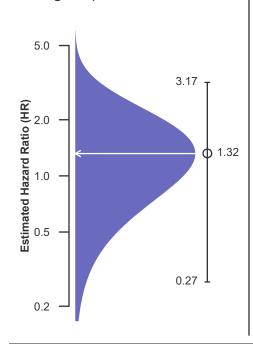
Table C12L. Adult (18+) 1-year patient survival (living donor graft recipients) Single organ transplants performed between 07/01/2017 and 12/31/2019 Retransplants excluded

Retransplants excluded	NYUM	U.S.
Number of transplants evaluated	32	14,136
Estimated probability of surviving at 1 year (unadjusted for patient and donor characteristics)	96.88%	99.01%
Expected probability of surviving at 1 year (adjusted for patient and donor characteristics)	99.01%	
Number of observed deaths during the first year after transplant	1	125
Number of expected deaths during the first year after transplant	0.28	
Estimated hazard ratio*	1.32	
95% credible interval for the hazard ratio**	[0.27, 3.17]	

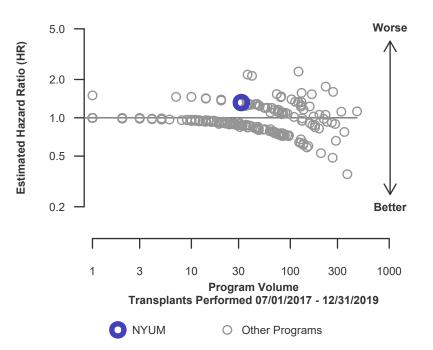
<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected patient death rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected patient death rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's patient death rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.27, 3.17], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 32% higher risk of patient death compared to an average program, but NYUM's performance could plausibly range from 73% reduced risk up to 217% increased risk.





## Figure C16L. Adult (18+) 1-year patient death HR program comparison (living donor grafts)





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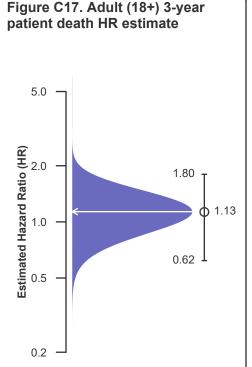
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Table C13. Adult (18+) 3-year patient survival Single organ transplants performed between 01/01/2015 and 06/30/2017 Retransplants excluded

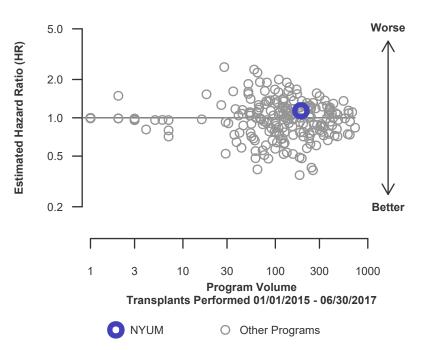
Retransplants excluded	NYUM	U.S.
Number of transplants evaluated	189	36,746
Estimated probability of surviving at 3 years (unadjusted for patient and donor characteristics)	93.61%	94.00%
Expected probability of surviving at 3 years (adjusted for patient and donor characteristics)	94.35%	
Number of observed deaths during the first 3 years after transplant	12	2,189
Number of expected deaths during the first 3 years after transplant	10.34	
Estimated hazard ratio*	1.13	
95% credible interval for the hazard ratio**	[0.62, 1.80]	

<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected patient death rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected patient death rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's patient death rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.62, 1.80], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 13% higher risk of patient death compared to an average program, but NYUM's performance could plausibly range from 38% reduced risk up to 80% increased risk.









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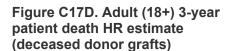
## C. Transplant Information

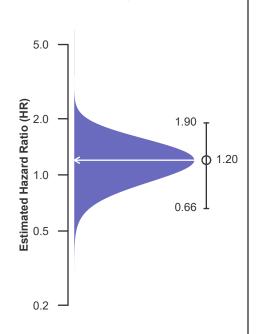
Table C13D. Adult (18+) 3-year patient survival (deceased donor graft recipients) Single organ transplants performed between 01/01/2015 and 06/30/2017

Retransplants excluded	NYUM	U.S.
Number of transplants evaluated	161	24,671
Estimated probability of surviving at 3 years (unadjusted for patient and donor characteristics)	92.51%	92.54%
Expected probability of surviving at 3 years (adjusted for patient and donor characteristics)	93.77%	
Number of observed deaths during the first 3 years after transplant	12	1,829
Number of expected deaths during the first 3 years after transplant	9.68	
Estimated hazard ratio*	1.20	
95% credible interval for the hazard ratio**	[0.66, 1.90]	

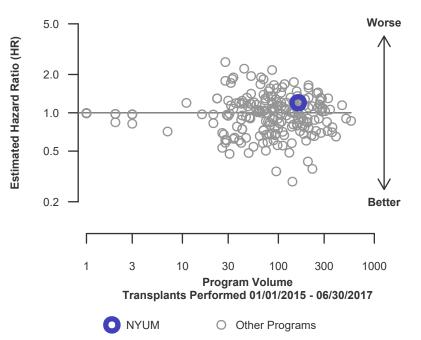
<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected patient death rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected patient death rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's patient death rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.66, 1.90], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 20% higher risk of patient death compared to an average program, but NYUM's performance could plausibly range from 34% reduced risk up to 90% increased risk.





## Figure C18D. Adult (18+) 3-year patient death HR program comparison (deceased donor grafts)





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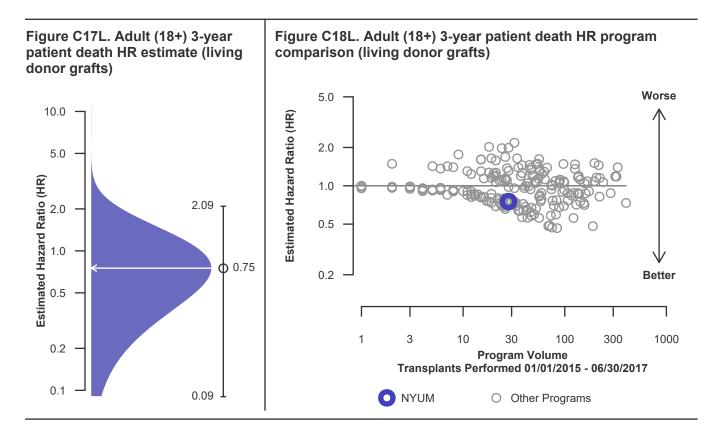
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Table C13L. Adult (18+) 3-year patient survival (living donor graft recipients) Single organ transplants performed between 01/01/2015 and 06/30/2017 Retransplants excluded

Retialispiants excluded	NYUM	U.S.
Number of transplants evaluated	28	12,075
Estimated probability of surviving at 3 years (unadjusted for patient and donor characteristics)	100.00%	96.99%
Expected probability of surviving at 3 years (adjusted for patient and donor characteristics)	97.66%	
Number of observed deaths during the first 3 years after transplant	0	360
Number of expected deaths during the first 3 years after transplant	0.66	
Estimated hazard ratio*	0.75	
95% credible interval for the hazard ratio**	[0.09, 2.09]	

<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected patient death rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected patient death rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's patient death rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.09, 2.09], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 25% lower risk of patient death compared to an average program, but NYUM's performance could plausibly range from 91% reduced risk up to 109% increased risk.





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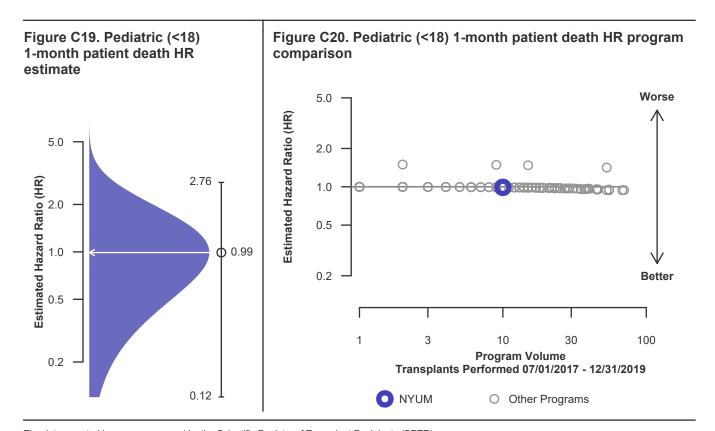
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Table C14. Pediatric (<18) 1-month patient survival Single organ transplants performed between 07/01/2017 and 12/31/2019 Retransplants excluded

Retransplants excluded	NYUM	U.S.
Number of transplants evaluated	10	1,938
Estimated probability of surviving at 1 month (unadjusted for patient and donor characteristics)	100.00%	99.79%
Expected probability of surviving at 1 month (adjusted for patient and donor characteristics)	99.80%	
Number of observed deaths during the first month after transplant	0	4
Number of expected deaths during the first month after transplant	0.02	
Estimated hazard ratio*	0.99	
95% credible interval for the hazard ratio**	[0.12, 2.76]	

<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected patient death rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected patient death rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's patient death rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.12, 2.76], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 1% lower risk of patient death compared to an average program, but NYUM's performance could plausibly range from 88% reduced risk up to 176% increased risk.





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## C. Transplant Information

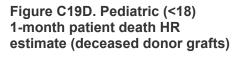
Table C14D. Pediatric (<18) 1-month patient survival (deceased donor graft recipients) Single organ transplants performed between 07/01/2017 and 12/31/2019

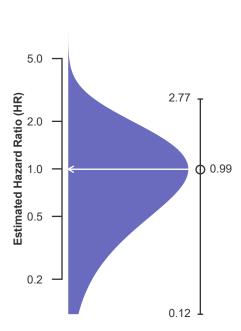
Retransplants excluded

Retialispiants excluded	NYUM	U.S.
Number of transplants evaluated	7	1,290
Estimated probability of surviving at 1 month (unadjusted for patient and donor characteristics)	100.00%	99.84%
Expected probability of surviving at 1 month (adjusted for patient and donor characteristics)	99.85%	
Number of observed deaths during the first month after transplant	0	2
Number of expected deaths during the first month after transplant	0.01	
Estimated hazard ratio*	0.99	
95% credible interval for the hazard ratio**	[0.12, 2.77]	

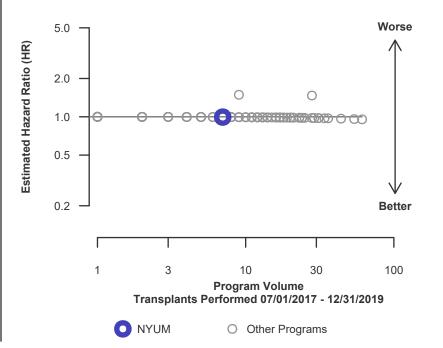
<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected patient death rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected patient death rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's patient death rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.12, 2.77], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 1% lower risk of patient death compared to an average program, but NYUM's performance could plausibly range from 88% reduced risk up to 177% increased risk.





# Figure C20D. Pediatric (<18) 1-month patient death HR program comparison (deceased donor grafts)





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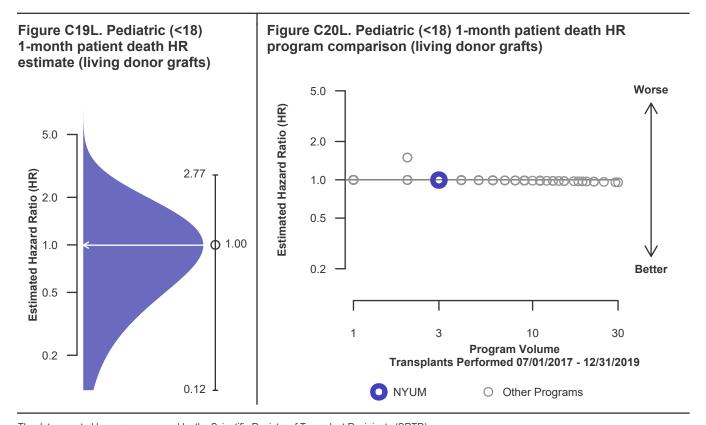
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Table C14L. Pediatric (<18) 1-month patient survival (living donor graft recipients) Single organ transplants performed between 07/01/2017 and 12/31/2019 Retransplants excluded

Retransplants excluded	NYUM	U.S.
Number of transplants evaluated	3	648
Estimated probability of surviving at 1 month (unadjusted for patient and donor characteristics)	100.00%	99.69%
Expected probability of surviving at 1 month (adjusted for patient and donor characteristics)	99.69%	
Number of observed deaths during the first month after transplant	0	2
Number of expected deaths during the first month after transplant	0.01	
Estimated hazard ratio*	1.00	
95% credible interval for the hazard ratio**	[0.12, 2.77]	

<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected patient death rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected patient death rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's patient death rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.12, 2.77], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 0% lower risk of patient death compared to an average program, but NYUM's performance could plausibly range from 88% reduced risk up to 177% increased risk.





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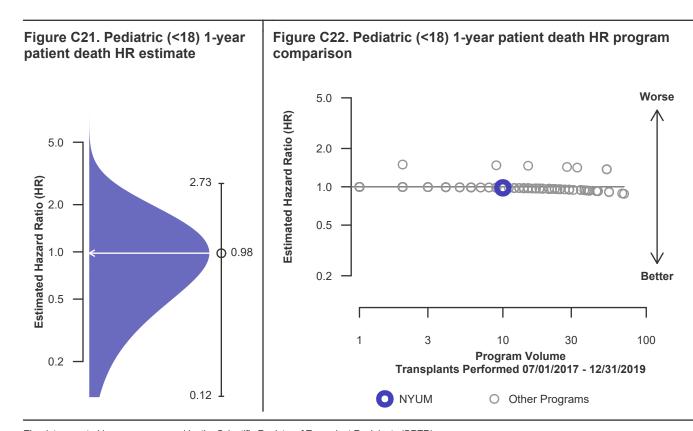
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Table C15. Pediatric (<18) 1-year patient survival Single organ transplants performed between 07/01/2017 and 12/31/2019 Retransplants excluded

NYUM	U.S.
10	1,938
100.00%	99.61%
99.60%	
0	7
0.04	
0.98	
[0.12, 2.73]	
	10 100.00% 99.60% 0 0.04 0.98

<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected patient death rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected patient death rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's patient death rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.12, 2.73], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 2% lower risk of patient death compared to an average program, but NYUM's performance could plausibly range from 88% reduced risk up to 173% increased risk.





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## C. Transplant Information

Table C15D. Pediatric (<18) 1-year patient survival (deceased donor graft recipients) Single organ transplants performed between 07/01/2017 and 12/31/2019 Retransplants excluded

Retransplants excluded	NYUM	U.S.
Number of transplants evaluated	7	1,290
Estimated probability of surviving at 1 year (unadjusted for patient and donor characteristics)	100.00%	99.56%
Expected probability of surviving at 1 year (adjusted for patient and donor characteristics)	99.57%	
Number of observed deaths during the first year after transplant	0	5
Number of expected deaths during the first year after transplant	0.03	
Estimated hazard ratio*	0.99	
95% credible interval for the hazard ratio**	[0.12, 2.75]	

<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected patient death rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected patient death rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's patient death rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

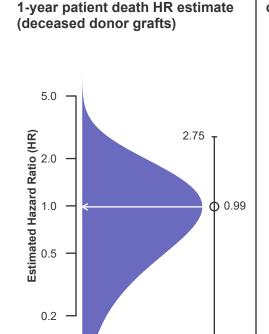
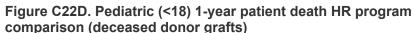
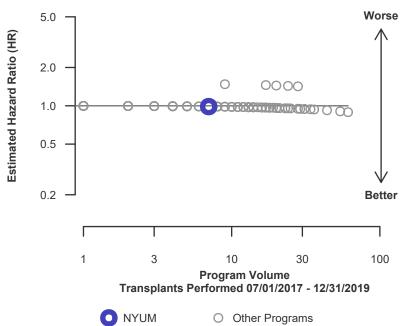


Figure C21D. Pediatric (<18)





0.12

<sup>\*\*</sup> The 95% credible interval, [0.12, 2.75], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 1% lower risk of patient death compared to an average program, but NYUM's performance could plausibly range from 88% reduced risk up to 175% increased risk.



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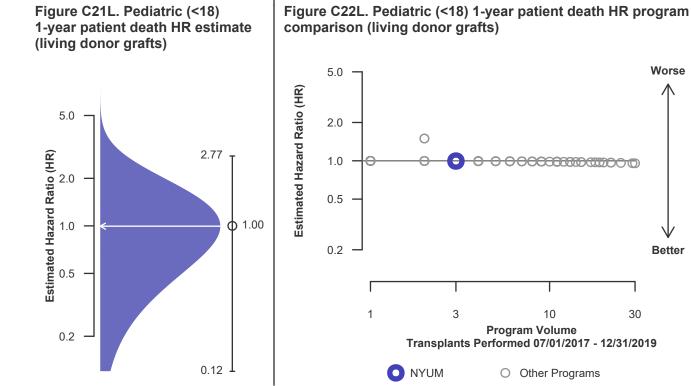
## C. Transplant Information

Table C15L. Pediatric (<18) 1-year patient survival (living donor graft recipients) Single organ transplants performed between 07/01/2017 and 12/31/2019 Retransplants excluded

Retransplants excluded	NYUM	U.S.
Number of transplants evaluated	3	648
Estimated probability of surviving at 1 year (unadjusted for patient and donor characteristics)	100.00%	99.69%
Expected probability of surviving at 1 year (adjusted for patient and donor characteristics)	99.69%	
Number of observed deaths during the first year after transplant	0	2
Number of expected deaths during the first year after transplant	0.01	
Estimated hazard ratio*	1.00	
95% credible interval for the hazard ratio**	[0.12, 2.77]	

<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected patient death rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected patient death rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's patient death rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.12, 2.77], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 0% lower risk of patient death compared to an average program, but NYUM's performance could plausibly range from 88% reduced risk up to 177% increased risk.



Worse

**Better** 



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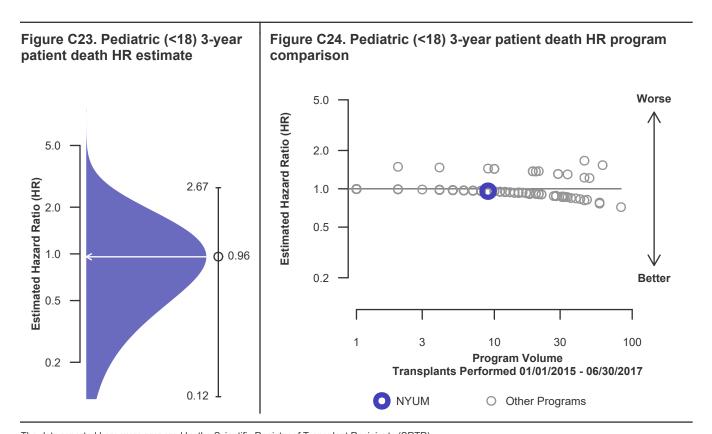
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Table C16. Pediatric (<18) 3-year patient survival Single organ transplants performed between 01/01/2015 and 06/30/2017 Retransplants excluded

Retransplants excluded	NYUM	U.S.
Number of transplants evaluated	9	1,841
Estimated probability of surviving at 3 years (unadjusted for patient and donor characteristics)	100.00%	99.02%
Expected probability of surviving at 3 years (adjusted for patient and donor characteristics)	99.02%	
Number of observed deaths during the first 3 years after transplant	0	18
Number of expected deaths during the first 3 years after transplant	0.09	
Estimated hazard ratio*	0.96	
95% credible interval for the hazard ratio**	[0.12, 2.67]	

<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected patient death rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected patient death rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's patient death rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.12, 2.67], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 4% lower risk of patient death compared to an average program, but NYUM's performance could plausibly range from 88% reduced risk up to 167% increased risk.





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## C. Transplant Information

Table C16D. Pediatric (<18) 3-year patient survival (deceased donor graft recipients) Single organ transplants performed between 01/01/2015 and 06/30/2017 Retransplants excluded

Retransplants excluded	NYUM	U.S.
Number of transplants evaluated	6	1,248
Estimated probability of surviving at 3 years (unadjusted for patient and donor characteristics)	100.00%	99.12%
Expected probability of surviving at 3 years (adjusted for patient and donor characteristics)	99.12%	
Number of observed deaths during the first 3 years after transplant	0	11
Number of expected deaths during the first 3 years after transplant	0.05	
Estimated hazard ratio*	0.97	
95% credible interval for the hazard ratio**	[0.12, 2.71]	

<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected patient death rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected patient death rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's patient death rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

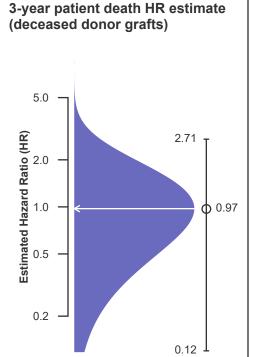
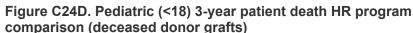
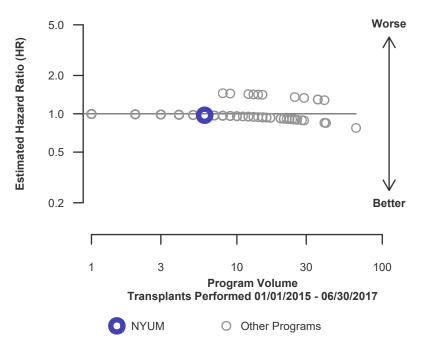


Figure C23D. Pediatric (<18)





<sup>\*\*</sup> The 95% credible interval, [0.12, 2.71], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 3% lower risk of patient death compared to an average program, but NYUM's performance could plausibly range from 88% reduced risk up to 171% increased risk.



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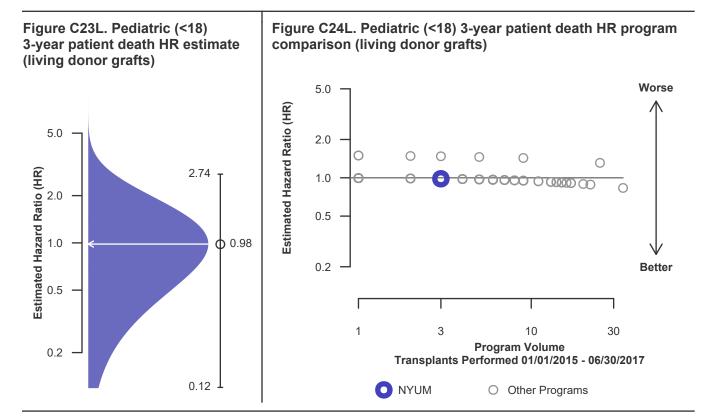
## C. Transplant Information

Table C16L. Pediatric (<18) 3-year patient survival (living donor graft recipients) Single organ transplants performed between 01/01/2015 and 06/30/2017

Retransplants excluded	NYUM	U.S.
Number of transplants evaluated	3	593
Estimated probability of surviving at 3 years (unadjusted for patient and donor characteristics)	100.00%	98.82%
Expected probability of surviving at 3 years (adjusted for patient and donor characteristics)	98.82%	
Number of observed deaths during the first 3 years after transplant	0	7
Number of expected deaths during the first 3 years after transplant	0.04	
Estimated hazard ratio*	0.98	
95% credible interval for the hazard ratio**	[0.12, 2.74]	

<sup>\*</sup> The hazard ratio provides an estimate of how State University of New York Upstate Medical University (NYUM)'s results compare with what was expected based on modeling the transplant outcomes from all U.S. programs. A ratio above 1 indicates higher than expected patient death rates (e.g., a hazard ratio of 1.5 would indicate 50% higher risk), and a ratio below 1 indicates lower than expected patient death rates (e.g., a hazard ratio of 0.75 would indicate 25% lower risk). If NYUM's patient death rate were precisely the expected rate, the estimated hazard ratio would be 1.0.

<sup>\*\*</sup> The 95% credible interval, [0.12, 2.74], indicates the location of NYUM's true hazard ratio with 95% probability. The best estimate is 2% lower risk of patient death compared to an average program, but NYUM's performance could plausibly range from 88% reduced risk up to 174% increased risk.





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## C. Transplant Information

Table C17. Multi-organ transplant graft survival: 07/01/2017 - 12/31/2019

Adult (18+) Transplants First-Year Outcomes

Transplant Type		Transplants Performed		Kidney Graft Failures		Estimated Kidney Graft Survival	
	NYUM-TX1	USA	NYUM-TX1	USA	NYUM-TX1	USA	
Kidney-Pancreas	6	2,109	1	76	83.3%	96.2%	

#### Pediatric (<18) Transplants

No pediatric (<18) multi-organ transplants were performed

Table C18. Multi-organ transplant patient survival: 07/01/2017 - 12/31/2019

Adult (18+) Transplants First-Year Outcomes

Transplant Type	•	Transplants Performed		Patient Deaths		Estimated Patient Survival	
	NYUM-TX1	USA	NYUM-TX1	USA	NYUM-TX1	USA	
Kidney-Pancreas	6	2,109	1	46	83.3%	97.6%	

#### Pediatric (<18) Transplants

No pediatric (<18) multi-organ transplants were performed



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Transplant Program (Organ): Kidney

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## **D. Living Donor Information**

Table D1. Living donor summary: 07/01/2017 - 06/30/2020

	This Center		United States			
Living Donor Follow-Up	07/2017- 06/2018	07/2018- 06/2019	07/2019- 12/2019	07/2017- 06/2018	07/2018- 06/2019	07/2019- 12/2019
Number of Living Donors	23	9	6	6,151	6,685	3,483
<b>6-Month Follow-Up</b> Donors due for follow-up	23	9	6	6,150	6,682	3,468
Timely clinical data	22 95.7%	7 77.8%	5 83.3%	5,395 87.7%	5,832 87.3%	2,529 72.9%
Timely lab data	22 95.7%	8 88.9%	6 100.0%	5,213 84.8%	5,629 84.2%	2,252 64.9%
<b>12-Month Follow-Up</b> Donors due for follow-up	23	9		6,148	6,681	
Timely clinical data	21 91.3%	6 66.7%		5,095 82.9%	5,075 76.0%	
Timely lab data	21 91.3%	6 66.7%		4,818 78.4%	4,562 68.3%	
<b>24-Month Follow-Up</b> Donors due for follow-up	23			6,147		
Timely clinical data	14 60.9%			4,134 67.3%		
Timely lab data	14 60.9%			3,575 58.2%		

Follow-up completion standards through 2 years post-donation were implemented in policy on February 1, 2013.